



## A repartitioning hypergraph model for dynamic load balancing<sup>☆</sup>

Umit V. Catalyurek<sup>a,b,\*</sup>, Erik G. Boman<sup>c</sup>, Karen D. Devine<sup>c</sup>, Doruk Bozdağ<sup>b</sup>, Robert T. Heaphy<sup>c</sup>, Lee Ann Riesen<sup>c</sup>

<sup>a</sup> The Ohio State University, Department of Biomedical Informatics, Columbus, OH 43210, United States

<sup>b</sup> The Ohio State University, Department of Electrical and Computer Engineering, Columbus, OH 43210, United States

<sup>c</sup> Sandia National Laboratories, Department of Scalable Algorithms, Albuquerque, NM 87185, United States<sup>1</sup>

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### ABSTRACT

In parallel adaptive applications, the computational structure of the applications changes over time, leading to load imbalances even though the initial load distributions were balanced. To restore balance and to keep communication volume low in further iterations of the applications, dynamic load balancing (repartitioning) of the changed computational structure is required. Repartitioning differs from static load balancing (partitioning) due to the additional requirement of minimizing migration cost to move data from an existing partition to a new partition. In this paper, we present a novel repartitioning hypergraph model for dynamic load balancing that accounts for both communication volume in the application and migration cost to move data, in order to minimize the overall cost. The use of a hypergraph-based model allows us to accurately model communication costs rather than approximate them with graph-based models. We show that the new model can be realized using hypergraph partitioning with fixed vertices and describe our parallel multilevel implementation within the Zoltan load balancing toolkit. To the best of our knowledge, this is the first implementation for dynamic load balancing based on hypergraph partitioning. To demonstrate the effectiveness of our approach, we conducted experiments on a Linux cluster with 1024 processors. The results show that, in terms of reducing total cost, our new model compares favorably to the graph-based dynamic load balancing approaches, and multilevel approaches improve the repartitioning quality significantly.

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### 1. Introduction

An important component of many scientific computing applications is the *assignment* of computational load onto a set of processors. In the literature, a two-step approach is commonly employed to perform this assignment: first tasks are *partitioned* into *load-balanced* clusters of tasks; then these clusters are *mapped* to processors [8,37]. In the partitioning step, for an application where work and data dependencies are known, a common goal is to minimize the inter-processor communication due to those

dependencies, while maintaining a computational load balance among processors. Partitioning occurs at the start of a computation (*static partitioning*), but often, reassignment of work is done during a computation (*dynamic partitioning* or *repartitioning*) as the work distribution changes over the course of the computation. For instance, a computational mesh in an adaptive mesh refinement simulation is updated between time-steps. Therefore, after several steps, even an initially balanced assignment of work to processors may suffer serious imbalances. To maintain the balance in subsequent computation steps, a repartitioning procedure that moves data among processors needs to be applied periodically.

Repartitioning is a well-studied problem [14,15,21,27,33,39–41,44,46,47] that has multiple objectives with complicated trade-offs among them:

- (1) balanced load in the new data distribution;
- (2) low communication cost within the application (as determined by the new distribution);
- (3) low data migration cost to move data from the old to the new distribution; and
- (4) short repartitioning time.

Total application execution time is commonly modeled [31,39] as follows to account for these objectives:

$$t_{tot} = \alpha(t_{comp} + t_{comm}) + t_{mig} + t_{repart}. \quad (1)$$

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\* Corresponding author at: The Ohio State University, Department of Biomedical Informatics, 3190 Graves Hall, 333 W 10th Ave, Columbus, OH 43210, United States.

E-mail addresses: [umit@bmi.osu.edu](mailto:umit@bmi.osu.edu) (U.V. Catalyurek), [egboman@sandia.gov](mailto:egboman@sandia.gov) (E.G. Boman), [kddevin@sandia.gov](mailto:kddevin@sandia.gov) (K.D. Devine), [bozdağ@ece.osu.edu](mailto:bozdağ@ece.osu.edu) (D. Bozdağ), [lafisk@sandia.gov](mailto:lafisk@sandia.gov) (L.A. Riesen).

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Here,  $t_{comp}$  and  $t_{comm}$  denote the application's computation and communication times, respectively, in a single iteration of the application;  $t_{mig}$  is the data migration time from existing to new distribution; and  $t_{repart}$  is the time to compute the new distribution (also called *repartitioning time*, hence the name). The parameter  $\alpha$  indicates how many iterations (e.g., time-steps in a simulation) of the application are executed between each load-balance operation.

The computation time  $t_{comp}$  of a parallel application is minimized when the computational load is evenly distributed on the set of processors. Since achieving load balance is the main constraint on repartitioning algorithms, we can safely assume that the computational load will be balanced; hence  $t_{comp}$  is inherently minimized by the repartitioning algorithm. Further, we will assume that the time required to produce a new partitioning is much smaller than  $\alpha t_{comp}$ . This is typical in scientific computing applications, where often a linear or nonlinear solve is required at each time-step; so  $t_{comp}$  is relatively large. (If explicit numerical methods are used,  $t_{comp}$  is smaller but then usually  $\alpha$  is large.) As a result,  $t_{comp}$  and  $t_{repart}$  in (1) can be ignored; the cost function to be minimized by the repartitioning algorithm reduces to

$$cost_{time} = \alpha t_{comm} + t_{mig}. \quad (2)$$

Because time for communication depends on a number of architecture-specific factors (e.g., network topology, message latency), general partitioning models typically assume that the time spent in communication is proportional to the “volume” of communication, i.e., the amount of data being sent [23]. Thus, the cost function to be minimized by the repartitioning algorithm becomes

$$cost_{vol} = \alpha b_{comm} + b_{mig} \quad (3)$$

where  $b_{comm}$  is the amount of data sent in each iteration of the application and  $b_{mig}$  is the amount of data sent during migration.

The main contributions of this work are two-fold. First, we present a *repartitioning hypergraph model* that minimizes the sum of total communication volume in the application and migration cost to move data, as stated in (3). Hypergraphs accurately model the actual application communication cost and have greater applicability than graphs (e.g., hypergraphs can represent non-symmetric and/or non-square systems) [11]. Therefore, the actual value of  $b_{comm}$  is considered in the proposed model, rather than its approximation as in the case of graph-based models [23]. Furthermore, in our repartitioning hypergraph model, communication and migration costs are appropriately combined to allow reuse of existing hypergraph partitioners for repartitioning.

Second, we present a new hypergraph-based parallel repartitioning tool. The new model can be realized effectively with a hypergraph partitioning tool that provides hypergraph partitioning with fixed vertices. Although serial hypergraph partitioners with this feature exist ([3,12]), to the best of our knowledge our implementation in the Zoltan Dynamic Load Balancing Toolkit [18] is the first parallel hypergraph partitioner that can handle fixed vertices.

Our repartitioning hypergraph model was first introduced in our preliminary work in [10]. This current paper provides a more detailed description of the repartitioning hypergraph model, as well as background and the related work, and extends the experimental results significantly. In this paper, we also present repartitioning results up to 1024 processors. In addition, we present results from two real applications and include results for a new variation of repartitioning not presented before.

The remainder of this paper is organized as follows. In Section 2, we discuss previous work on dynamic load balancing. We present preliminaries for hypergraph partitioning and multilevel partitioning in Section 3. The details of the proposed repartitioning hypergraph model are presented in Section 4. Section 5 describes the parallel hypergraph-based repartitioning algorithm developed within the Zoltan toolkit. Section 6 includes a detailed empirical comparison of various graph- and hypergraph-based repartitioning approaches. Finally, in Section 7, we give our conclusions and suggest future work.

## 2. Related work

Dynamic load balancing approaches can be classified into three main categories: *scratch-remap*, *incremental* and *repartitioning*. In scratch-remap methods, the computational model representing the modified structure of the application is partitioned *from scratch* without accounting for existing part assignments. Then, old and new partitions are remapped to minimize the migration cost [33,40]. In incremental methods, existing part assignments are used as initial assignments and *incrementally* improved by using a sub-optimal cost function that minimizes either data migration cost (*diffusive* methods) or application communication cost (*refinement* methods). In repartitioning methods, existing part assignments are taken into account to minimize both data migration cost and application communication cost as stated in (3).

Another way of classifying dynamic load balancing methods is with respect to the computational models they use. There are three computational models commonly used in the literature. These are *coordinate-based*, *graph-based* and *hypergraph-based* models. Table 1 summarizes properties of dynamic load balancing approaches in each category.

Some of the early dynamic load balancing techniques are coordinate-based approaches such as *Recursive Coordinate Bisection* [4] and *Space-Filling Curves* [34,36,45]. These approaches can be applied either from scratch or incrementally. They require geometric coordinates and do not model communication or migration costs explicitly. Still, due to the structure of the application data, they often work reasonably well for mesh partitioning.

Diffusive methods have been one of the most studied incremental dynamic load balancing techniques in the literature [14, 27,38,44,46]. In diffusive load balancing, extra work on overloaded processors is distributed to neighboring processors that have less than average loads. This strategy inherently limits data migration cost. Some diffusive methods explicitly try to minimize application communication cost using an approximation model (e.g., [38]); however, since each minimization is done independently, these methods are not equivalent to global minimization of total costs in (3).

Even though scratch-remap schemes achieve low communication volume, they often result in high migration cost. On the other hand, incremental methods result in low migration cost, but they may incur moderate to high communication volume. In dynamic load balancing, it is desirable that the repartitioning algorithm is sensitive to the iteration parameter  $\alpha$ , so that the relative weight of communication cost to migration cost in (3) can be adjusted by the application developer. *Skewed Graph Partitioning* introduced by Hendrickson et al. [26] gives such a control to the application developer, by giving each vertex a *desire* to stay in its current processor. Schloegel et al. [39] proposed a parallel adaptive repartitioning scheme, where the relative importance of migration time against communication time is set by a user-provided parameter. Their work is based on the multilevel graph partitioning paradigm, and this parameter is taken into account in the refinement phase of the multilevel scheme. Aykanat et al. [2] proposed a graph-based repartitioning model, called *RM model*, where the original computational graph is augmented with new vertices and edges to account for the migration cost. Then, repartitioning with fixed vertices is applied to the graph using RM-METIS, a serial repartitioning tool that the authors developed, by modifying the graph partitioning tool METIS [29]. Although the approaches of Hendrickson et al. [26], Schloegel et al. [39] and Aykanat et al. [2] attempt to minimize both communication and migration costs, their applicability is limited to problems with symmetric, bi-directional dependencies. A hypergraph-based model is proposed in a concurrent work of Cambazoglu and Aykanat [9] for the adaptive screen partitioning problem in the context of image-space-parallel direct

**Table 1**

Classification of dynamic load balancing approaches, with their relative migration costs, application communication costs, and communication model.

Category	Property	Coordinate-based	Graph-based	Hypergraph-based
Scratch-remap	Migration cost	High	High	High
	Communication cost	High	Low	Low
	Communication model	None	Approximate	Accurate
Incremental	Migration cost	Moderate	Low	Low
	Communication cost	High	Moderate	Moderate
	Communication model	None	Approximate	Accurate
Repartitioning	Migration cost	n/a	Low	Low
	Communication cost	n/a	Low	Low
	Communication model	None	Approximate	Accurate

volume rendering of unstructured grids. Despite the fact that the limitations mentioned above for graph-based models do not apply, their model accounts only for migration cost since communication occurs merely for data replication (migration) in that problem.

### 3. Preliminaries

In this section, we present a brief description of hypergraph partitioning with fixed vertices as well as the multilevel partitioning paradigm.

#### 3.1. Hypergraph partitioning with fixed vertices

Hypergraphs can be viewed as generalizations of graphs where an edge is not restricted to connect only two vertices. Formally, a hypergraph  $H = (V, N)$  is defined by a set of vertices  $V$  and a set of nets (hyperedges)  $N$ , where each net  $n_j \in N$  is a non-empty subset of vertices. A non-negative weight  $w_i$  can be assigned to each vertex  $v_i \in V$ . Similarly, a non-negative cost  $c_j$  can be assigned to each net  $n_j \in N$ .

$P = \{V_1, V_2, \dots, V_k\}$  is called a  $k$ -way partition of  $H$  if each part  $V_p, p = 1, 2, \dots, k$ , is a non-empty, pairwise-disjoint subset of  $V$  and  $\cup_{p=1}^k V_p = V$ . A partition is said to be *balanced* if

$$W_p \leq W_{avg}(1 + \epsilon) \quad \text{for } p = 1, 2, \dots, k, \quad (4)$$

where part weight  $W_p = \sum_{v_i \in V_p} w_i$  and  $W_{avg} = (\sum_{v_i \in V} w_i) / k$ , and  $\epsilon > 0$  is a predetermined maximum tolerable imbalance.

In a given partition  $P$ , a net that has at least one vertex in a part is considered to be connected to that part. The *connectivity*  $\lambda_j$  of a net  $n_j$  denotes the number of parts connected by  $n_j$  under the partition  $P$  of  $H$ . A net  $n_j$  is said to be *cut* if it connects more than one part (i.e.,  $\lambda_j > 1$ ).

Let  $CutCost(H, P)$  denote the cost associated with a partition  $P$  of hypergraph  $H$ . There are various ways to define  $CutCost(H, P)$  [32]. The relevant one for our context is known as *connectivity-1* (or  $k-1$ ) metric, defined as follows:

$$CutCost(H, P) = \sum_{n_j \in N} c_j(\lambda_j - 1). \quad (5)$$

We prefer this cost metric because it exactly corresponds to communication volume in parallel computing for important operations like matrix-vector multiplication [11]. The standard hypergraph partitioning problem [32] can then be stated as the task of dividing a hypergraph into  $k$  parts such that the cost (5) is minimized while the balance criterion (4) is maintained.

*Hypergraph partitioning with fixed vertices* is a more constrained version of the standard hypergraph partitioning problem. In this problem, in addition to the input hypergraph  $H$  and the requested number of parts  $k$ , a *fixed part* function  $f(v)$  is also provided as an input to the problem. A vertex is said to be *free* (denoted by  $f(v) = -1$ ) if it is allowed to be in any part in the solution  $P$ , and

it is said to be fixed in part  $q$  ( $f(v) = q$  for  $1 \leq q \leq k$ ) if it is required to be in  $V_q$  in the final solution  $P$ . If a significant portion of the vertices are fixed, it is expected that the partitioning problem becomes easier. Clearly, in the extreme case where all the vertices are fixed (i.e.,  $f(v) \neq -1$  for all  $v \in V$ ), the solution is trivial. Empirical studies of Alpert et al. [1] verify that the presence of fixed vertices can make a partitioning instance considerably easier. However, to the best of our knowledge, there is no theoretical work on the complexity of the problem. Experience shows that if only a very small fraction of vertices are fixed, the problem is almost as “hard” as the standard hypergraph partitioning problem.

#### 3.2. Multilevel partitioning paradigm

Although graph and hypergraph partitioning are NP-hard [22, 32], several algorithms based on multilevel paradigms [7,25,28] have been shown to compute high quality partitions in reasonable time. In addition to serial partitioners for graphs [24,29,43] and hypergraphs [12,30], the multilevel partitioning paradigm has been adopted by parallel graph [43,31] and, quite recently, hypergraph [17,42] partitioners as well.

Multilevel partitioning consists of three phases: *coarsening*, *coarse partitioning* and *refinement*. Instead of partitioning the original hypergraph directly, a hierarchy of smaller hypergraphs that approximate the original one is generated during the *coarsening* phase. The smallest hypergraph obtained at the end of the *coarsening* phase is partitioned in the *coarse partitioning* phase. Finally, in the *refinement* phase, the coarse partition is projected back to the larger hypergraphs in the hierarchy and improved using a local optimization method. The same procedure applies to graphs as well.

In Section 5, we describe a technique for parallel multilevel hypergraph partitioning with fixed vertices [10]. The implementation is based on the parallel hypergraph partitioner [17] in Zoltan.

### 4. Repartitioning hypergraph model

In this section, we present our novel hypergraph model and explain how it accounts for the trade-off between communication and migration costs due to different values of  $\alpha$ . By representing these costs appropriately in a *repartitioning hypergraph*, the proposed approach allows the use of existing hypergraph partitioning tools to optimize the composite objective defined in (3).

We call the period between two subsequent load balancing operations an *epoch* of the application. An epoch consists of one or more computation iterations. The computational load and data dependencies of an epoch are known at the beginning of the epoch and can be accurately modeled with a computational hypergraph [11]. Even though computations in the application are of the same type, a different hypergraph is needed to represent each epoch due to changes in the structure of the hypergraph across epochs. We denote the hypergraph that models the  $j$ th

epoch of the application by  $H^j = (V^j, N^j)$  and the number of computation iterations in that epoch by  $\alpha_j$ .

Load balancing for the first epoch is achieved by partitioning  $H^1$  using a static partitioner. For the remaining epochs, data redistribution cost between the previous and current epochs should also be included during load balancing. Therefore, the actual cost (3) is the sum of the communication cost  $b_{comm}$  for  $H^j$  with the new data distribution, scaled by  $\alpha_j$ , and the migration cost  $b_{mig}$  for moving data between the distributions in epochs  $j - 1$  and  $j$ .

Our new repartitioning hypergraph model appropriately captures both application communication and data migration costs associated with an epoch. To model migration costs in epoch  $j$ , we construct a repartitioning hypergraph  $\bar{H}^j = (\bar{V}^j, \bar{N}^j)$  by augmenting  $H^j$  with  $k$  new vertices corresponding to each of the  $k$  parts, and  $|\bar{V}^j|$  new hyperedges using the following procedure:

- Scale each net's cost (representing application communication) in  $N^j$  by  $\alpha_j$  while keeping the vertex weights intact.
- Add a new *part vertex*  $u_i$  with zero weight for each part  $i$ , and fix those vertices in respective parts; i.e.,  $f(u_i) = i$  for  $i = 1, 2, \dots, k$ . Hence  $\bar{V}^j$  becomes  $V^j \cup \{u_i | i = 1, 2, \dots, k\}$ .
- For each vertex  $v \in V^j$ , add a *migration net*  $n_v$  between  $v$  and  $u_i$  if  $v$  is assigned to part  $i$  at the beginning of epoch  $j$ . Set the migration net's cost  $c_v$  to the size of the data associated with  $v$ , since this migration net represents the cost of moving vertex  $v$  to a different part.

Once the new repartitioning hypergraph  $\bar{H}^j$  that encodes both communication and migration costs is constructed, the repartitioning problem reduces to hypergraph partitioning with *fixed* vertices using the connectivity-1 metric (5).

Let  $\bar{P} = \{\bar{V}_1, \bar{V}_2, \dots, \bar{V}_k\}$  be a valid partition of  $\bar{H}^j$ . Since fixed part vertices have zero weights, part weights are equal to the sum of the computational vertices' weights. Therefore, maintaining the balance criterion (4) in this partition corresponds to having a balanced computation in epoch  $j$ . Minimizing the connectivity-1 cost metric (5) exactly corresponds to minimizing the repartitioning cost  $cost_{vol}$  in (3). That is, for epoch  $j$ ,

$$cost_{vol} = CutCost(\bar{H}^j, \bar{P}^j). \quad (6)$$

Since we obtained  $\bar{H}^j$  by augmenting  $H^j$  we can further expand this formula as

$$cost_{vol} = \alpha_j CutCost(H^j, P^j) + \sum_{n_v \in (\bar{N}^j - N^j)} c_v (\lambda_v - 1), \quad (7)$$

where  $P^j = \{V_1, V_2, \dots, V_k\}$  is the same as  $\bar{P}^j$  except that it does not contain part vertices. In the first term of (7),  $CutCost(H^j, P^j)$ , corresponds to the amount of data sent in each iteration of the application [11] (i.e.,  $b_{comm}$  in (3)) and the second term corresponds to the amount of data sent during migration (i.e.,  $b_{mig}$  in (3)).

Assume that a vertex  $v$  is assigned to part  $p$  in epoch  $j - 1$  and part  $q$  in epoch  $j$ , where  $p \neq q$ . Then, the migration net  $n_v$  between  $v$  and  $u_p$  that represents the migration cost of vertex  $v$ 's data is cut with the connectivity of  $\lambda_v = 2$  (note that  $u_p$  is fixed in part  $p$ ). Therefore, the cost of moving vertex  $v$  from part  $p$  to  $q$ ,  $c_v$ , is appropriately included in the total cost. If a net that represents communication during the computation phase is cut, the cost incurred by communicating the associated data in all  $\alpha_j$  iterations in epoch  $j$  is also accounted for since the net's weight has already been scaled by  $\alpha_j$ . Hence, our repartitioning hypergraph accurately models the sum of communication during computation phase and migration cost due to moved data.

Fig. 1(a) illustrates a sample computational hypergraph  $H^j$  at the beginning of epoch  $j$ . The corresponding repartitioning hypergraph  $\bar{H}^j$  is displayed in Fig. 1(b). A nice feature of our model is that no distinction is required between communication and migration nets as well as computation and part vertices.

However, for clarity in this figure, we represent computation vertices with circles and part vertices with octagons. Similarly, application communication nets are represented with squares, and migration nets are represented with diamonds. In this example, at the beginning of epoch  $j$ , there are twelve computation vertices with various computational loads (represented by the numbers inside the circles). Computational load is initially in three highly imbalanced parts. Three cut nets represent data that need to be communicated among the parts. Two of these nets have connectivity  $\lambda = 3$  and one has  $\lambda = 2$ . Assuming unit cost for each net, the total communication cost (5) is five. In other words, if the application chooses to continue with this partitioning, each iteration of epoch  $j$  incurs a communication cost of five units.

In Fig. 1(b), to construct the repartitioning hypergraph  $\bar{H}^j$  from  $H^j$ , three part vertices  $u_1, u_2$  and  $u_3$  are added and net weights in  $H^j$  are scaled by  $\alpha_j$ . Then, each of the twelve computation vertices is connected via a migration net to the part vertex associated with the part to which the computation vertex was assigned at the beginning of epoch  $j$ .

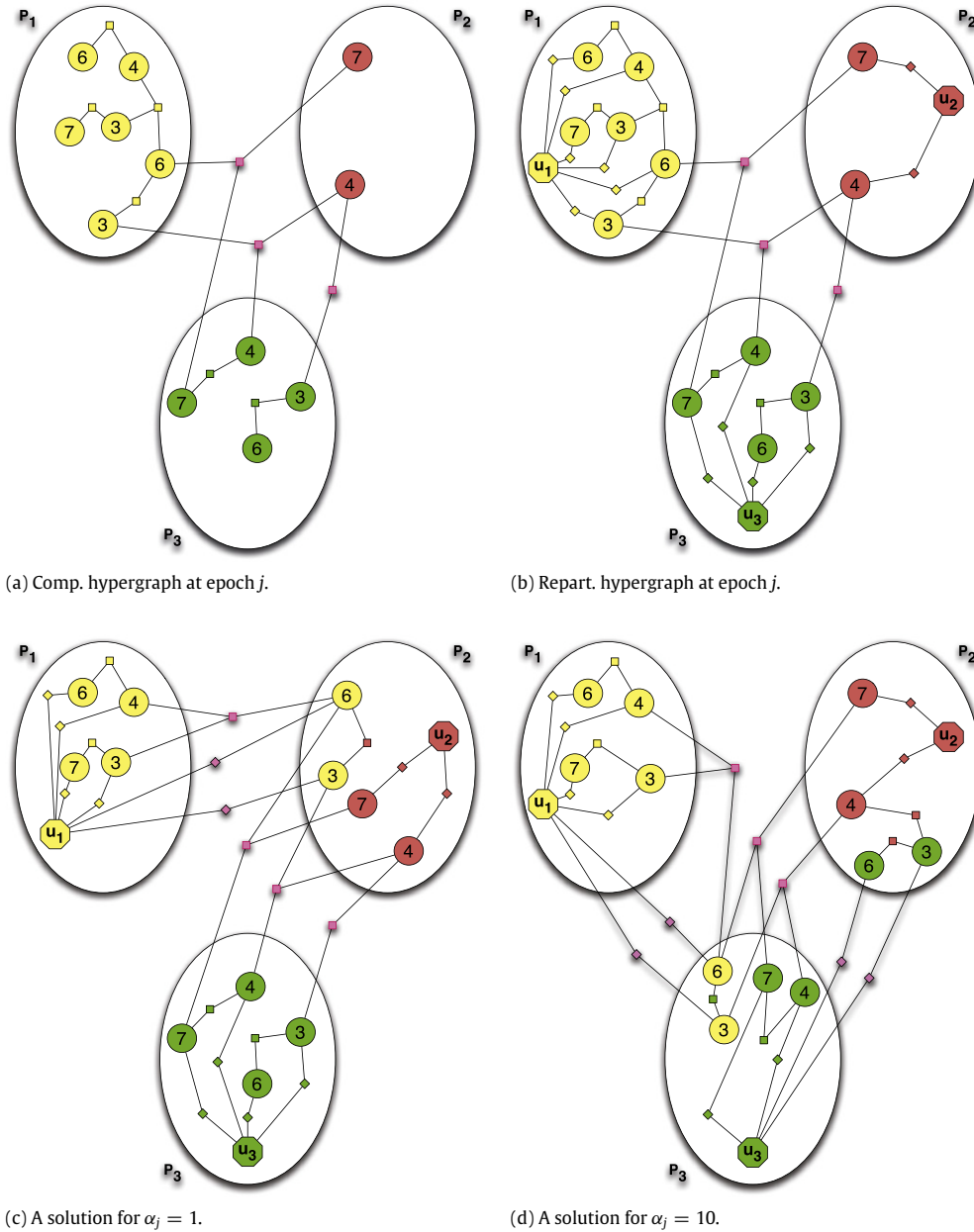
Two balanced sample solutions for the repartitioning problem are depicted in Fig. 1(c) and (d). Assume that the sizes of the data associated with each computation vertex and application communication net are the same; i.e., communication and migration nets have unit costs. In Fig. 1(c), two vertices with weights three and six are migrated from part 1 to part 2, resulting in migration cost of two and communication cost of four units at each iteration, due to four cut nets with connectivity two. In Fig. 1(d), while two vertices with weights three and six are migrated from part 1 to part 3, two vertices of part 3 are migrated to part 2. This distribution results in a migration cost of four and a communication cost of three units at each iteration. These two solutions present an example of the trade-off between communication and migration costs in the repartitioning problem. Assume that the epoch  $j$  consists of only one iteration ( $\alpha_j = 1$ ). Then the solution presented in Fig. 1(c) is better than the solution presented in Fig. 1(d), because the former has a total cost of six, whereas the latter has a total cost of seven. However, if epoch  $j$  consists of ten iterations ( $\alpha_j = 10$ ), the solution presented in Fig. 1(d) is better because it has a total cost of 34, whereas that in Fig. 1(c) has a total cost of 42. With the user-specified  $\alpha_j$  parameter, our repartitioning hypergraph model accurately accounts for this trade-off.

## 5. Parallel repartitioning tool

The dynamic repartitioning model presented in the previous section can be implemented using parallel hypergraph partitioning with fixed vertices. In such an implementation, the multilevel algorithms commonly used for hypergraph partitioning (as described in Section 3) are adapted to handle fixed vertices [3,12]. In each phase of the multilevel partitioning, the fixed part constraints defined by  $f(v)$  must be maintained for each vertex  $v$  and its resulting coarse vertices. In this section, we describe our approach for parallel multilevel hypergraph partitioning with fixed vertices [10]. We first assume that we partition directly into  $k$  parts, and later discuss how fixed vertices are handled when recursive bisection is used to obtain  $k$  parts.

Our implementation uses the parallel hypergraph partitioner [17] in the Zoltan Dynamic Load Balancing toolkit. Zoltan is a toolkit supporting parallel dynamic, adaptive and/or unstructured applications [18]. It includes dynamic load balancing, data migration, graph coloring, graph ordering, and unstructured communication tools. Hypergraph-based, graph-based, and geometry-based partitioners are available in Zoltan, as well as interfaces to partitioning packages PT-Scotch [35] and ParMETIS [31]. The new hypergraph repartitioning algorithm described here is available in Zoltan v3 [5].





**Fig. 1.** A sample illustrating the construction of repartitioning hypergraph, with two possible repartitioning results showing the application communication vs migration trade-off. (a): A sample computational hypergraph representation at the beginning of epoch  $j$ . Nets are depicted as squares and vertices are depicted as circles. The numbers inside the circles are the computational loads of each vertex. (b): Repartitioning hypergraph for epoch  $j$ ; for simplicity in the presentation, migration nets are depicted as diamonds and part vertices are depicted as octagons. (c) and (d): Two alternative sample solutions with  $b_{comm} = 4$ ,  $b_{mig} = 2$ , and  $b_{comm} = 3$ ,  $b_{mig} = 4$ , respectively, under the assumption that the migration cost of each computation vertex and the application communication cost per net are one (i.e., each net’s cost is one).

### 5.1. Coarsening phase

In the coarsening phase of the multilevel algorithms, we approximate the original hypergraph with a succession of smaller hypergraphs with similar connectivity and equal total vertex and edge weights. Coarsening ends when the coarsest hypergraph is “small enough” (e.g., it has fewer than  $2k$  vertices) or when the last coarsening step fails to reduce the hypergraph’s size by a specified amount (typically 10%). To reduce the hypergraph’s size, we merge *similar* vertices, i.e., vertices whose hyperedge connectivity overlaps significantly. In this paper, we use an agglomerative matching technique that has been called as *heavy-connectivity clustering* in PaToH [12,11].

Parallel matching is performed in rounds. In each round, each processor broadcasts a subset of candidate vertices that will be

matched in that round. Then, all processors concurrently compute their best match for those candidates and the global best match for each candidate is selected. In agglomerative matching, candidate vertices are allowed to join already matched vertices to form a larger cluster as long as the final cluster’s size is not larger than a quarter of a target part size.

For fixed vertex partitioning, we constrain matching to propagate fixed vertex constraints to coarser hypergraphs so that coarser hypergraphs truly approximate the finer hypergraphs and their constraints. We do not allow vertices to match if they are fixed to different parts. Thus, there are three scenarios in which two vertices match: (1) both vertices are fixed to the same part, (2) only one of the vertices is fixed to a part, or (3) both are not fixed to any parts (i.e., both are free vertices). In cases 1 and 2, the resulting coarse vertex is fixed to the part in which either of its

constituent vertices was fixed. In case 3, the resulting coarse vertex remains free.

### 5.2. Coarse partitioning phase

In the coarse partitioning phase, we construct an initial partition of the coarsest hypergraph available. If the coarsest hypergraph is small enough, we replicate it on every processor. Each processor then runs a randomized greedy hypergraph growing algorithm to compute a different partition into  $k$  parts, and the partition with the lowest cost is selected. If the coarsest hypergraph is not small enough, each processor contributes to the computation of an initial partition using a localized version of the greedy hypergraph algorithm. In either case, we maintain the fixed part constraints by assigning fixed coarse vertices to their respective parts.

### 5.3. Refinement phase

In the refinement phase, we project our coarse partition to finer hypergraphs and improve it using a local optimization method. Our code is based on a localized version of the successful Fiduccia–Mattheyses [20] method, as described in [17]. The algorithm performs multiple pass-pairs and in each pass, each free vertex is considered to move to another part to reduce the cut metric. We enforce the fixed vertex constraints simply; we do not allow fixed vertices to be moved out of their fixed parts.

### 5.4. Handling fixed vertices in recursive bisection

The Zoltan hypergraph partitioner uses recursive bisection (repeated subdivision of parts into two parts) to obtain a  $k$ -way partition. This recursive bisection approach can be extended easily to accommodate fixed vertices. For example, in the first bisection of recursive bisection, the fixed vertex information of each vertex can be updated so that vertices that are originally fixed to parts  $1 \leq p \leq k/2$  are fixed to part 1, and vertices originally fixed to parts  $k/2 < p \leq k$  are fixed to part 2. Then, the multilevel partitioning algorithm with fixed vertices described above can be executed without any modifications. This scheme is applied recursively in each bisection.

## 6. Experimental results

In this section we present detailed comparisons of various graph- and hypergraph-based repartitioning approaches using dynamic datasets that are synthetically generated using real application base cases, as well as real dynamic data from applications in data mining and adaptive mesh refinement simulations. For most experiments, we select square, structurally symmetric data to allow comparisons between graph and hypergraph methods; the data mining application, however, demonstrates the greater applicability of hypergraph methods to non-symmetric, rectangular data – in this case, term-by-document matrices.

### 6.1. Repartitioning approaches

We consider three aspects of repartitioning methods and compare different options provided by various algorithms as well as the algorithms themselves.

- *Repartitioning technique:* Following the discussion in Section 2, we classify repartitioning techniques into three categories: scratch-remap, incremental and repartitioning. Repartitioning approaches have been shown to outperform diffusive methods in [39]; therefore, we consider only refinement approaches within the incremental techniques category.

- *Cost model:* Hypergraph models accurately represent communication and migration costs for multi-way interactions, while graph models represent approximate costs. We do not consider coordinate-based models here, since they are not general (e.g., they cannot be applied to data without coordinates) and they do not model communication and migration costs explicitly.
- *Optimization method:* We also make a distinction between *single level vs multilevel* partitioners and compare their performance.

We compare six different partitioners given in Table 2 that collectively cover all options with respect to each of the three aspects considered. In our experiments, we use ParMETIS version 3.1 [31] for graph partitioning and Zoltan version 3.0 [5,10,17] for hypergraph partitioning. For the scratch methods, we used a maximal matching heuristic in Zoltan to map part numbers between old and new partitions to reduce migration cost. We do not expect the partitioning-from-scratch methods to be competitive for dynamic problems, but include them as a useful baseline.

### 6.2. Dynamically perturbed data experiments

To perform experiments on large numbers of processors, we collected static data from three real applications and dynamically perturbed the data over a series of time-steps. The properties of the application datasets are shown in Table 3. These datasets provide a range of sparsity and regularity representative of different applications.

Two different methods are used to dynamically perturb the data in the experiments. The first method introduces biased random perturbations that change the structure of the data. In this method, a certain fraction of vertices in the original data is randomly deleted along with the incident edges. At each repartitioning iteration, this operation is repeated independently from previous iterations; hence, a different subset of vertices from the original data is deleted. This operation simulates dynamically changing data that can both lose and gain vertices and edges. The results presented in this section correspond to the case where half of the parts lose or gain 25% of the total number of vertices at each iteration. We tested several other configurations by varying the fraction of vertices lost or gained. The results we obtained in these experiments were similar to those presented in this section.

The second method simulates adaptive mesh refinement. Starting with the initial data, a certain fraction of the parts at each iteration is randomly selected. Then, the sub-domain corresponding to the selected parts performs a simulated mesh refinement, where the weight and size of each vertex are increased by a constant factor. In the experiments in this section, 10% of the parts are selected at each iteration and the weight and size of each vertex in these parts are randomly increased to between 1.5 and 7.5 of their original value. Similarly to the previous method, we tested several other configurations by varying the factor that scales the size and weight of vertices. The results obtained in these experiments were similar to those presented here.

We performed the dynamically perturbed data experiments on Sandia's Thunderbird cluster. Each node of Thunderbird has dual 3.6 GHz Intel EM64T processors with 6 GB of RAM. The nodes are interconnected with an Infiniband network. We use Intel v10.0 compilers with `-OO` optimization flag and OpenMPI v1.2.4. All experiments were run on 64, 256, and 1024 processors. Several ParMETIS experiments failed on Thunderbird under this configuration. We report results for all experiments with ParMETIS that completed successfully.

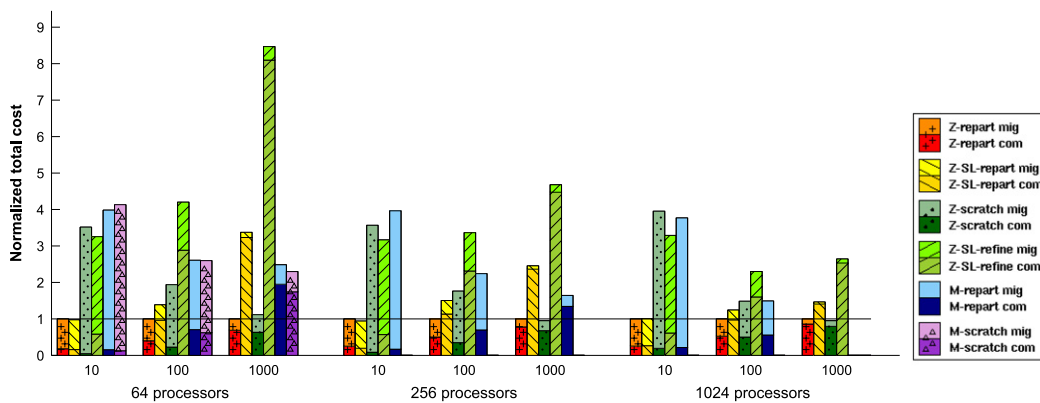
In Figs. 2 through 7, the parameter  $\alpha$ , the number of iterations in an epoch, is varied from 10 to 1000, and total cost (3) is reported for 64, 256 and 1024 processors (parts). Each result is averaged over a

**Table 2**  
Properties of the partitioners used in the experimental evaluation.

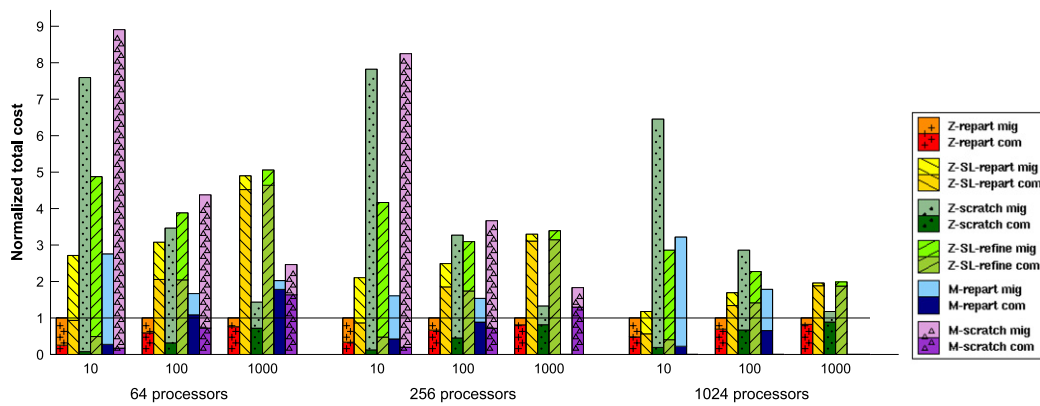
Partitioner	Repartitioning technique	Cost model	Optimization method	Software
<b>Z-repart</b>	<b>Repartitioning</b>	<b>Hypergraph</b>	<b>Multilevel</b>	<b>Zoltan</b>
Z-SL-repart	Repartitioning	Hypergraph	Single level	Zoltan
Z-scratch	Scratch-remap	Hypergraph	Multilevel	Zoltan
Z-SL-refine	Iterative	Hypergraph	Single level	Zoltan
M-repart	Repartitioning	Graph	Multilevel	ParMETIS
M-scratch	Scratch-remap	Graph	Multilevel	ParMETIS

**Table 3**  
Properties of the test datasets;  $|V|$  and  $|E|$  are the numbers of vertices and graph edges, respectively.

Name	$ V $	$ E $	Vertex degree			Application area
			min	max	avg	
xyce680s	682,712	823,232	1	209	2.4	VLSI design
slac6M	5,955,366	11,766,788	2	4	4.0	Finite element mesh
cage15	5,154,859	47,022,346	2	46	18.2	DNA electrophoresis



**Fig. 2.** Normalized total cost for xyce680s with perturbed data structure with  $\alpha = 10, 100, 1000$ .



**Fig. 3.** Normalized total cost for xyce680s with perturbed weights with  $\alpha = 10, 100, 1000$ .

sequence of 20 trials for each experiment. For each configuration, there are six bars representing total cost for Z-repart, Z-SL-repart, Z-scratch, Z-SL-refine, M-repart, and M-scratch, from left to right respectively. The total cost in each bar is normalized by the total cost of Z-repart in the respective configuration and consists of two components: application communication costs (scaled by  $\alpha$ ) on the bottom (darker shade) and migration costs on the top (lighter shade). Results are shown for both the dynamic structure perturbations and the dynamic weight perturbations.

The results indicate that our new hypergraph repartitioning method Z-repart performs better than M-repart in terms of minimizing the total cost in the majority of the test cases. This can be explained by the fact that the migration cost minimization objective is completely integrated into the multilevel scheme

rather than handled in only the refinement phase. Therefore, Z-repart provides a more accurate trade-off between communication and migration costs than M-repart to minimize the total cost. This is more clearly seen for small and moderate  $\alpha$  values where these two costs are comparable. On the other hand, for large  $\alpha$  values, the migration cost is less important relative to the communication cost, and the problem essentially reduces to minimizing the communication cost alone. Therefore, in such cases, Z-repart and M-repart behave similarly to partitioners using scratch methods.

Similar arguments hold when comparing Z-repart against scratch-remap repartitioning methods. Since minimization of migration cost is ignored in Z-scratch and M-scratch, migration cost gets extremely large and dominates the total cost as  $\alpha$  gets smaller. Total cost with Z-scratch and M-scratch is comparable to

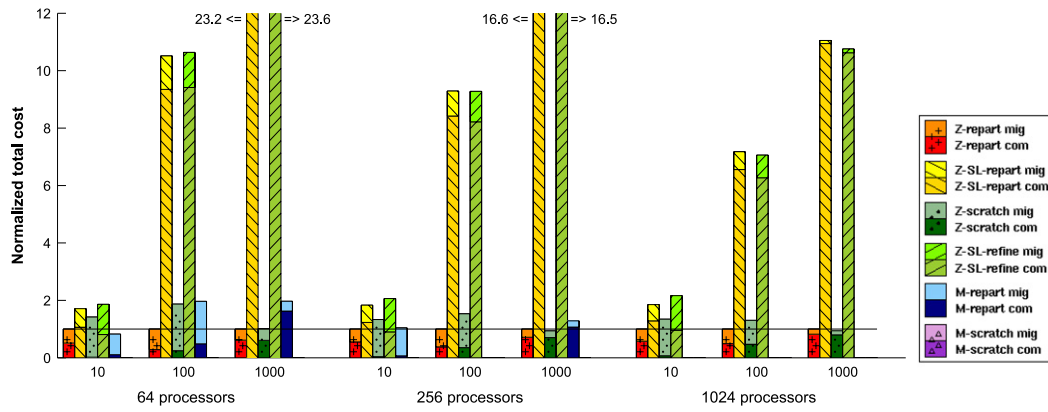


Fig. 4. Normalized total cost for slac6M with perturbed data structure with  $\alpha = 10, 100, 1000$ . Z-SL-repart and Z-SL-refine bars are truncated to enhance readability.

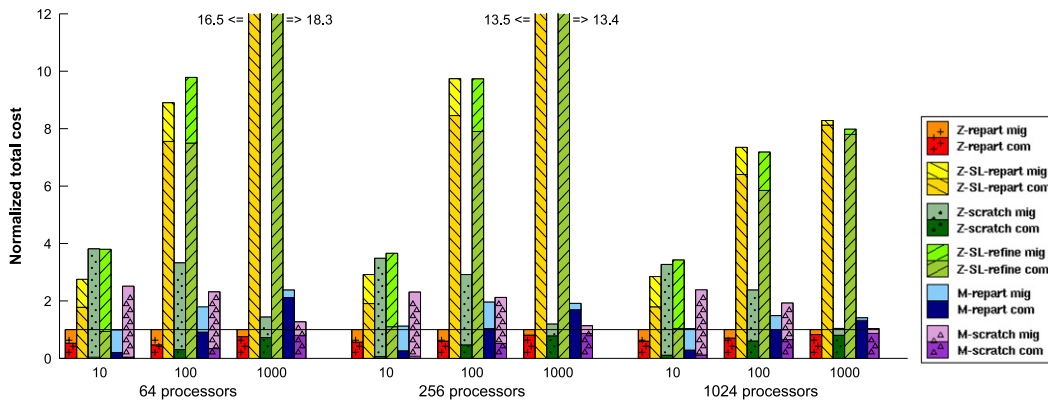


Fig. 5. Normalized total cost for slac6M with perturbed weights with  $\alpha = 10, 100, 1000$ . Z-SL-repart and Z-SL-refine bars are truncated to enhance readability.

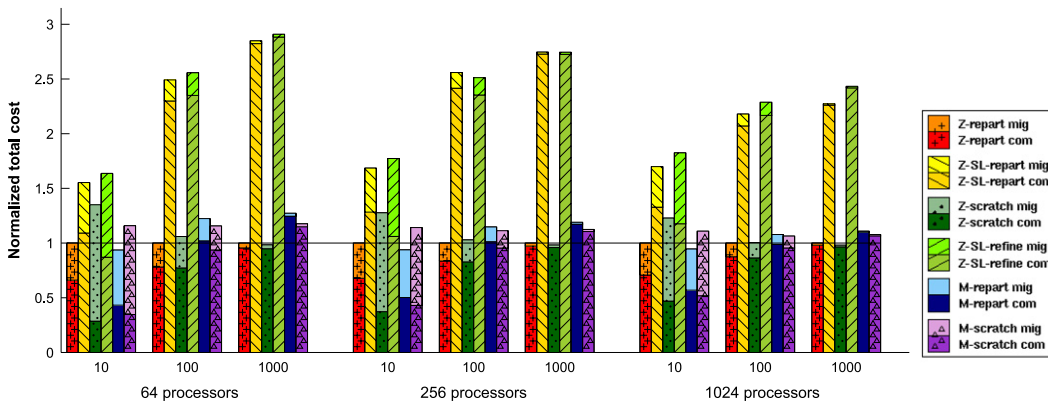


Fig. 6. Normalized total cost for cage15 with perturbed data structure with  $\alpha = 10, 100, 1000$ .

Z-repart only when  $\alpha$  is greater than 100, where communication cost starts to dominate. Z-repart still performs as well as the scratch methods in this range to minimize the total cost.

As the number of parts (processors) increases, the ratio of migration cost to communication cost remains almost the same when using M-repart. On the other hand, when using Z-repart, this ratio decreases to keep the total cost small. This result indicates that Z-repart achieves a better balance between communication and migration costs to minimize the overall cost and also shows that this behavior scales well with the number of processors.

Z-SL-refine and Z-SL-repart attempt to minimize communication volume with relatively fewer vertex movements due to the constrained initial partition. Therefore, the communication cost of these methods is higher than other partitioners, resulting in a relatively higher total cost for large  $\alpha$  values. On the other hand,

both methods produce lower migration costs compared to scratch methods for small  $\alpha$  values. Both Z-SL-refine and Z-SL-repart, however, are outperformed by Z-repart in all of our test cases. Indeed, the benefit of multilevel methods is clearly shown in the comparisons of Z-repart and Z-SL-repart.

Run times of the tested partitioners normalized by that of Z-repart for the perturbed structure and weight experiments are given in Figs. 8–13. We observed two different run time profiles in our test cases. The first one is shown in Figs. 8 and 9 for the xyce680s dataset, where multilevel hypergraph-based methods Z-repart and Z-scratch are at least as fast as their graph-based counterparts M-repart and M-scratch. In some cases (e.g. perturbed data structure, running on 64 processors) hypergraph-based approaches are up to five times faster than graph-based approaches. Z-SL-repart is significantly faster than



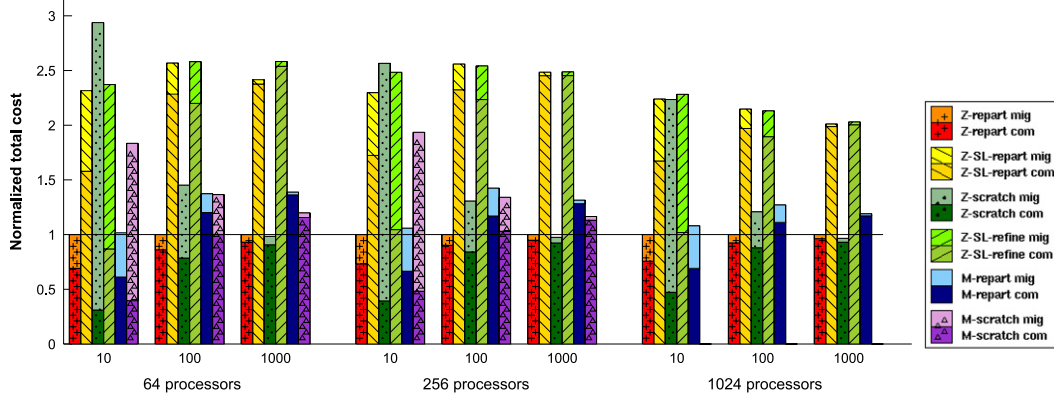


Fig. 7. Normalized total cost for cage15 with perturbed weights with  $\alpha = 10, 100, 1000$ .

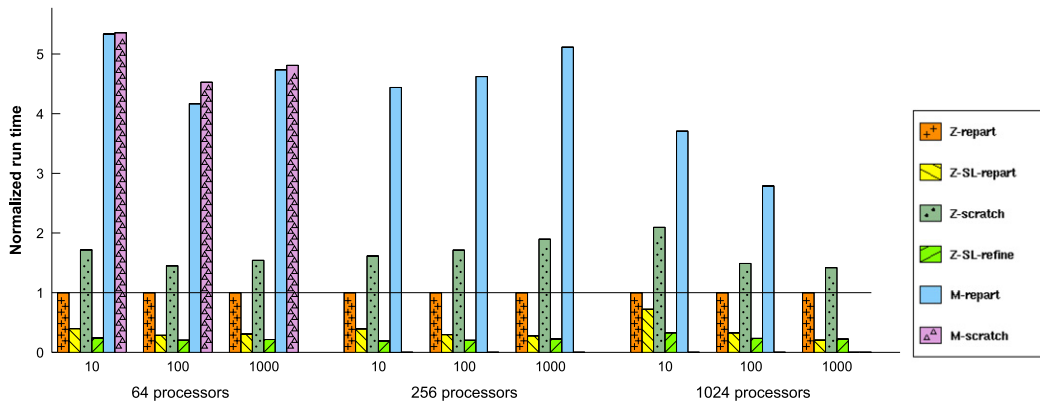


Fig. 8. Normalized run time with perturbed data structure for xyce680s with  $\alpha = 10, 100, 1000$ .

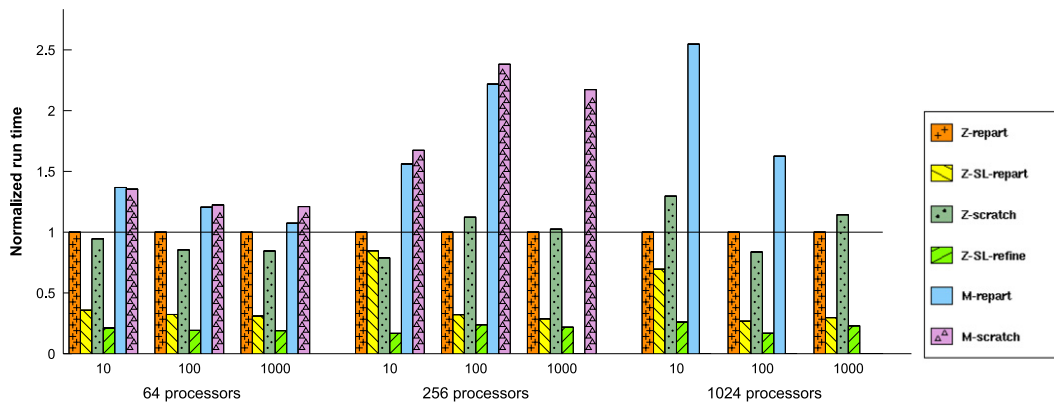


Fig. 9. Normalized run time with perturbed weights for xyce680s with  $\alpha = 10, 100, 1000$ .

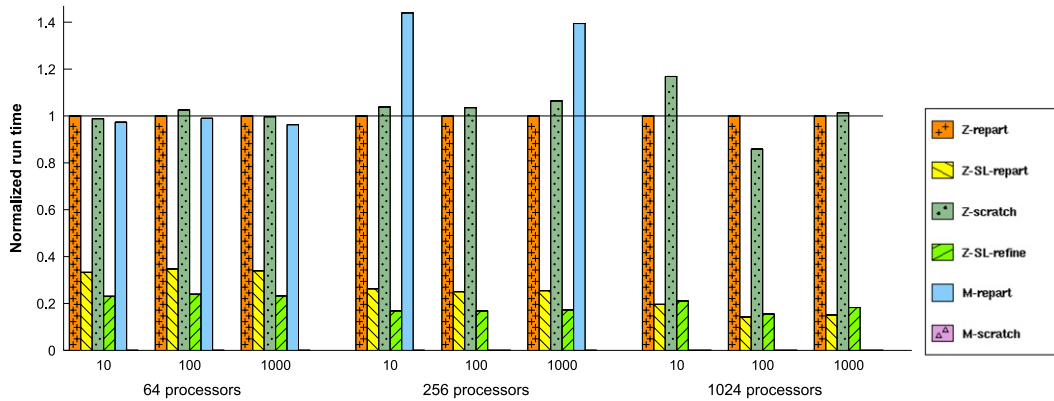


Fig. 10. Normalized run time with perturbed data structure for slac6M with  $\alpha = 10, 100, 1000$ .

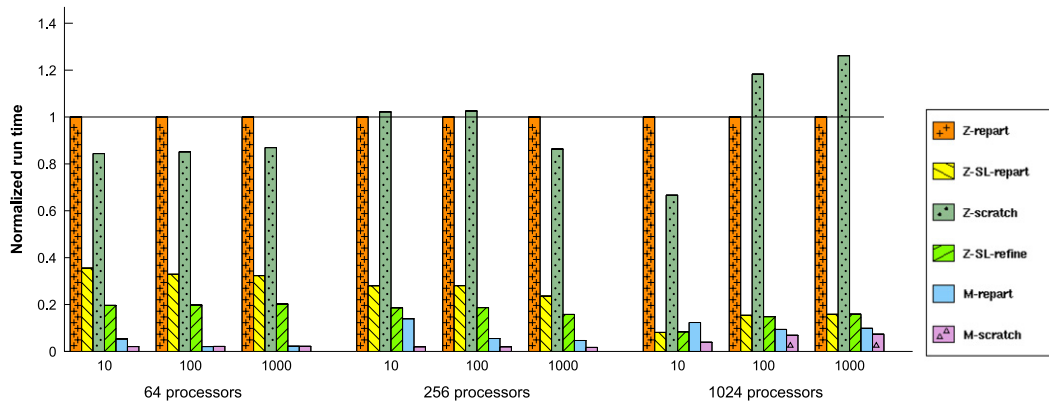


Fig. 11. Normalized run time with perturbed weights for slac6M with  $\alpha = 10, 100, 1000$ .

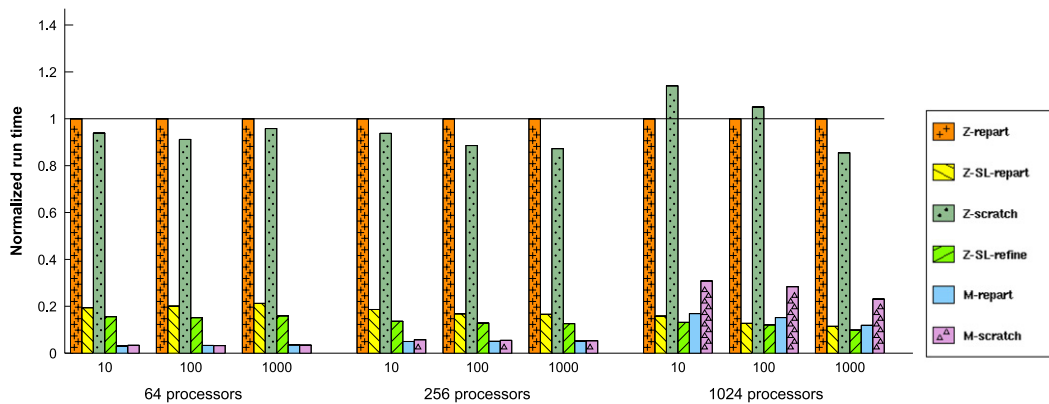


Fig. 12. Normalized run time with perturbed data structure for cage15 with  $\alpha = 10, 100, 1000$ .

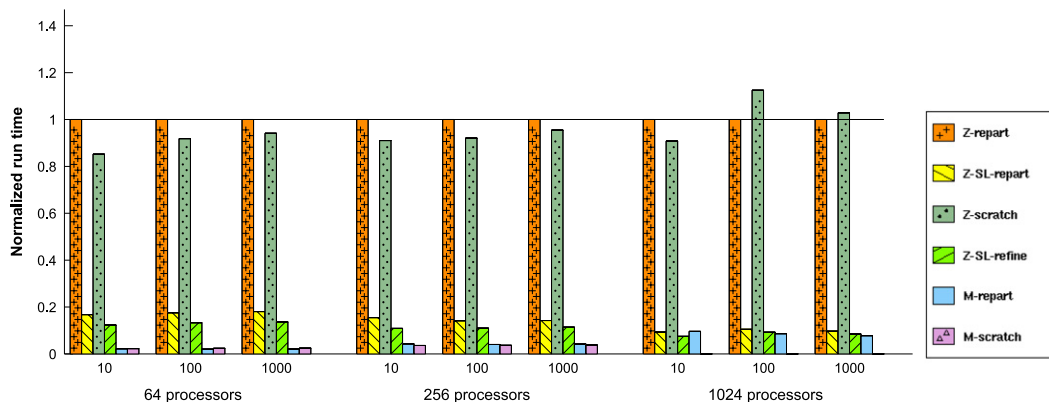


Fig. 13. Normalized run time with perturbed weights for cage15 with  $\alpha = 10, 100, 1000$ .

most other methods in this dataset with relatively low total cost; therefore, it becomes a viable option for applications that require a very fast repartitioner for small  $\alpha$  values. The second run time profile is observed in Figs. 10–13 for the slac6M and cage15 datasets. The results show that hypergraph-based repartitioning can be up to ten times slower than graph-based approaches. As these results show, there is no clear conclusion on which approach is faster. Furthermore, since the application run time is often far greater than the partitioning time, this enhancement may not be important in practice.

As the number of processors increases, the number of requested parts increases as well. This can be thought of as increasing the problem size while applying more processors to solve it. When the number of processors is increased from 64 to 256, normalized run

time decreased by 31% for Z-repart averaged over all test cases, whereas it increased by 26% for M-repart. On the other hand, when the number of processors is increased from 64 to 1024, run time increased by 81% for M-repart, whereas the increase was only 18% for Z-repart. This suggests that in terms of run time, Z-repart scales better than M-repart when the number of processors and the problem size are increased simultaneously.

### 6.3. Adaptive mesh refinement experiments

Adaptive mesh refinement is a decades-old technique used in finite element analysis to obtain desired solution resolution with an optimal number of degrees of freedom. At each time-step, the

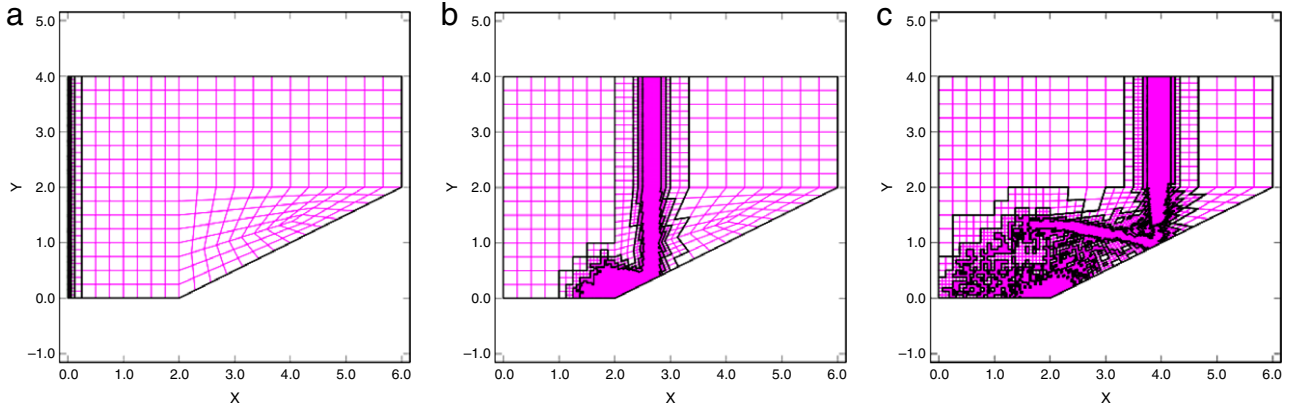


Fig. 14. Hexahedral finite element meshes with adaptive mesh refinement at time-steps 0, 54, and 108, respectively.

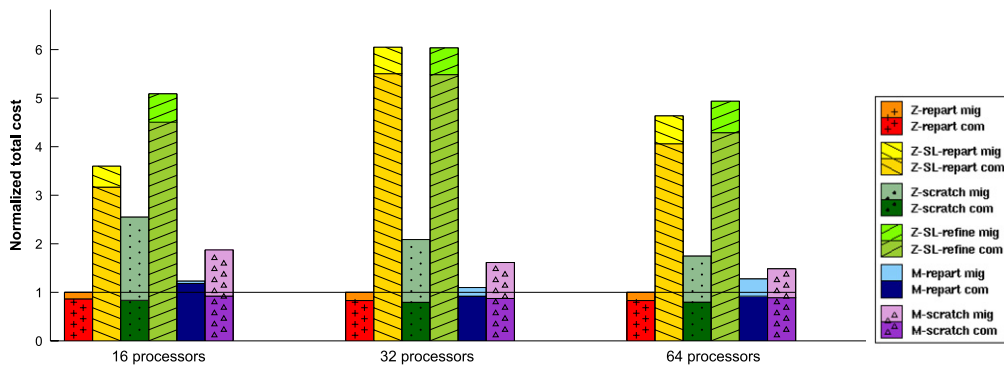


Fig. 15. Normalized total cost for adaptive mesh refinement experiments with  $\alpha = 100$ .

finite element code computes both the solution and an estimate of the error in the solution. Elements in regions with high error are subdivided into many smaller elements, while elements in regions with low error are coalesced into fewer large elements. Subsequent solves, then, obtain greater resolution in the high-error regions without adding unnecessary degrees of freedom in low-error regions.

In parallel simulations with adaptive mesh refinement, the refinement and coalescing of elements cause significant load imbalance. As processors add or remove elements due to refinement, their workloads change. Dynamic load balancing has played an important role in enabling parallel adaptive mesh refinement simulations, redistributing work to accommodate evolving meshes; see, e.g., [4,13,34,19,21,44,38,33]. Coordinate- and graph-based methods have been used with great success, due to mesh data’s relatively regular structure and low vertex degrees. In these experiments, we compare our repartitioning hypergraph model to commonly used graph-based repartitioners.

Our adaptive mesh data is a series of 109 hexahedral meshes from the ALEGRA shock physics explicit finite element code [6]. The series of meshes represents time-steps of the simulation; the mesh refinement tracks the shock moving across the domain and its reflections. (Fig. 14 shows the mesh at the time-steps 0, 54, and 108, respectively.) The smallest mesh (time-step 0) has 132,209 nodes and 103,100 elements; the largest (time-step 108) has 1,380,266 nodes and 1,247,000 elements.

We represent mesh nodes with vertices of the graph and hypergraph models, and create a graph edge between nodes that share a mesh element. These graph edges are used directly in the graph methods, and combined into a single hyperedge per node in the hypergraph methods. The smallest mesh has 1,527,841 graph edges; the largest has 17,391,840 graph edges.

In our experiments, we performed an initial partitioning of the initial mesh (time-step 0). Then at each time-step  $T > 0$ , we assign each node of mesh  $T$  to the same part as its closest node in mesh  $T - 1$  – “closeness” is measured by two nodes’ proximity along a space-filling curve through the nodes of both meshes – and repartition mesh  $T$  using one of the methods in Table 2.

We ran experiments over 109 meshes with  $\alpha = 100$  on 16, 32, and 64 processors of Sandia’s Odin cluster. Each node of Odin has two AMD Opteron 2.2 GHz processors and 4 GB of RAM. Nodes are connected with a Myrinet network. We used MPICH v1.2.7 and gcc v3.4.3.

Total cost (3) and run times for each method are shown in Figs. 15 and 16, respectively. The repartitioning hypergraph method Z-repart produced lower total cost than all other methods in all of the test cases. Execution time for Z-repart was greater than M-repart, indicating the need for faster heuristics in the hypergraph implementation for applications with relatively low and homogeneous connectivity.

#### 6.4. Term-by-document experiments

Our last example is from text analysis and retrieval. Latent Semantic Analysis (LSA) [16] is a popular technique for analysis of large document collections. Given a set of documents, a user can search for specific terms, documents relevant to a specific topic, or find related documents. The method is based on reduced approximations to the term-by-document matrix, where rows represent terms and columns correspond to documents. There is a nonzero matrix entry in position  $(i, j)$  if and only if document  $j$  contains term  $i$ . Note that such matrices are rectangular and non-symmetric, so graph models do not apply. The computationally

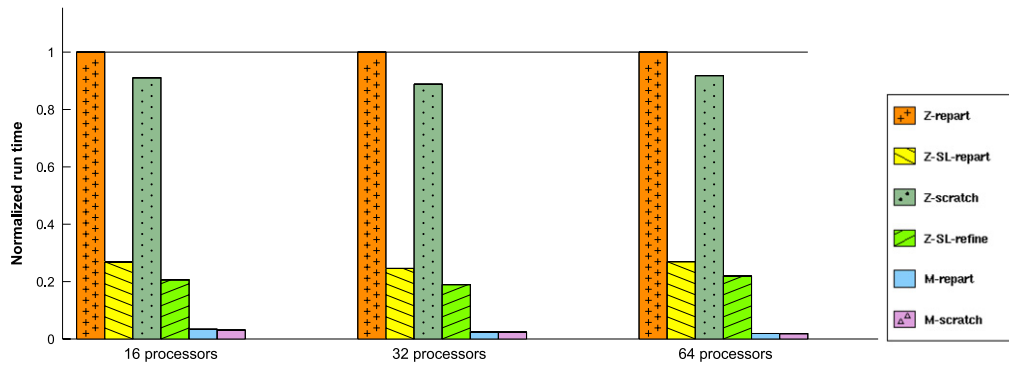


Fig. 16. Normalized run time for adaptive mesh refinement experiments with  $\alpha = 100$ .

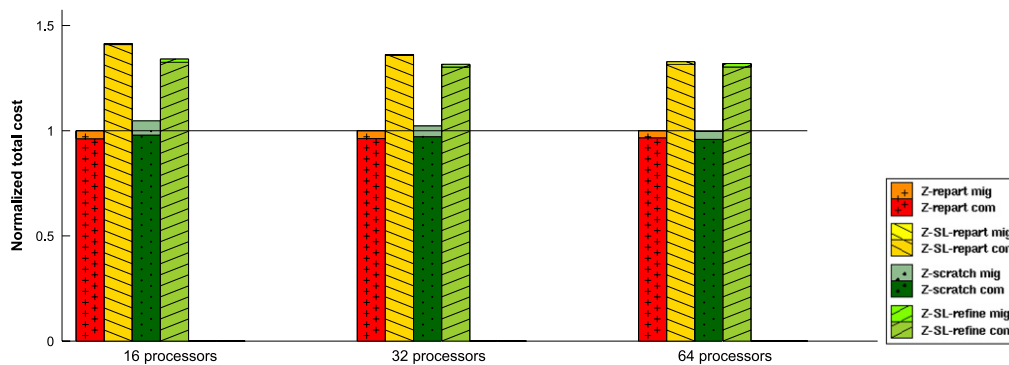


Fig. 17. Normalized total cost for term-by-document with  $\alpha = 100$ .

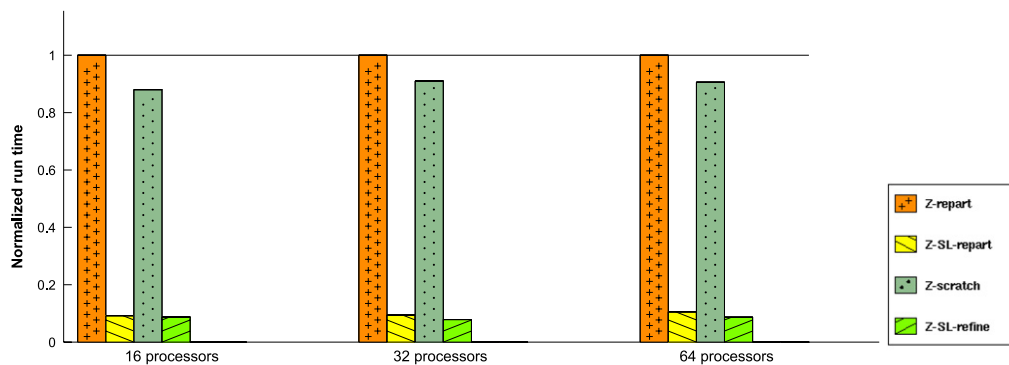


Fig. 18. Normalized run time for term-by-document with  $\alpha = 100$ .

intensive part of LSA is to compute a truncated singular value decomposition (SVD) of the type  $A \approx A_k = U_k \Sigma_k V_k^T$ , where  $\Sigma_k$  is diagonal, and  $k$  is the rank of the approximation. It is known that  $100 \leq k \leq 300$  is a good range for retrieval. An iterative method based on sparse matrix-vector multiplication by  $A$  is used to compute the SVD.

We focus on a parallel strategy for LSA with a dynamic document collection where documents are added over time. (This is motivated by a project at Sandia led by Danny Dunlavy using the LSA LIB software.) Our goal is to find an efficient parallel distribution of documents to processors, to ensure load balance and reduce communication. As an example, we use a large term-by-document matrix corresponding to the Citeseer database up to 2004. Each month, a new set of documents are added, and the SVD must be recomputed. The number of documents added will vary from month to month. By default, documents are assigned to processors in a cyclic fashion. There is a cost associated with

moving documents between processors. We seek load balance with respect to the number of nonzeros in the term-by-document matrix, which corresponds to memory usage.

We started with all the documents that existed on Jan. 1, 1994, and ran a ten year simulation (120 months). The full matrix has about 700,000 documents and 57 million nonzeros. In this application,  $\alpha$  should be in the range 100–600; we tested  $\alpha = 100$ . Experiments were run on Sandia's Odin cluster using 16, 32, and 64 processors; results are presented in Figs. 17 and 18. We compare only hypergraph-based approaches since graph-based methods (ParMETIS) do not apply directly. We see from Fig. 17 that the multilevel methods are clearly performing better than the single level methods, in terms of solution quality. Since in this application, migration cost becomes very small compared to application communication cost, there is only a little difference between repartitioning and scratch-remap.



## 7. Conclusion

In this paper, we presented a new approach to dynamic load balancing based on a single hypergraph model that incorporates both communication volume in the application and data migration cost. Detailed comparison of graph- and hypergraph-based repartitioning using datasets from a range of application areas showed that hypergraph-based repartitioning produces partitions with similar or lower cost than the graph-based repartitioning. The full benefit of hypergraph partitioning is realized on non-symmetric and non-square problems that cannot be represented easily with graph models [11,17].

Our hypergraph-based repartitioning model uses a single user-defined parameter  $\alpha$  to control trade-offs between communication cost and migration cost. Experiments show that the approach works particularly well when migration cost is more important, and does not degrade quality when communication cost is more important. Therefore, we recommend the presented approach as a universal method for dynamic load balancing. The best choice of  $\alpha$  will depend on the application, and can be estimated easily. Reasonable values are in the range 1–1000.

The experiments showed that the hypergraph-based repartitioning approach implemented in Zoltan is scalable in terms of quality of solution, and scales better than its graph-based counterpart in terms of run time when the number of processors and the number of requested parts are increased simultaneously. However, in many cases, it required more time than graph-based repartitioning due to the greater richness of the hypergraph model. We will further investigate exploiting locality given by the data distribution in order to improve the execution time of the hypergraph-based repartitioning implementation. However, since the application run time is often far greater than the partitioning time, this enhancement may not be important in practice.

## Acknowledgments

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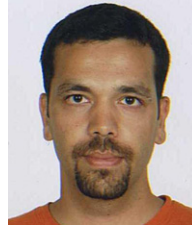
**Umit V. Catalyurek** is an Associate Professor in the Department of Biomedical Informatics at The Ohio State University, and has a joint faculty appointment in the Department of Electrical and Computer Engineering. His research interests include combinatorial scientific computing, run-time systems for data-intensive computing, and high-performance computing in biomedicine. He received his Ph.D., M.S. and B.S. in Computer Engineering and Information Science from Bilkent University, Turkey, in 2000, 1994 and 1992, respectively.



**Erik G. Boman** is a scientist at Sandia National Laboratories, Albuquerque, NM, USA. He received a Ph.D. in Scientific Computing and Computational Mathematics from Stanford University and also holds a M.S. (Cand. Scient.) degree in Computer Science (Informatics) from the University of Bergen, Norway. His current research interests are in combinatorial scientific computing, parallel computing, and sparse matrix algorithms. He is a principal investigator for the CSCAPES (Combinatorial Scientific Computing and Petascale Simulations) SciDAC Institute.



**Karen D. Devine** is a scientist at Sandia National Laboratories in Albuquerque, NM. She earned her Ph.D. and M.S. in Computer Science from Rensselaer Polytechnic Institute, where she studied parallel adaptive finite element methods with Joseph Flaherty. She earned a B.S. in Computer Science from Wilkes University. She is the principal investigator for the Zoltan project, and is interested in combinatorial scientific computing, interoperable software development, and high-performance computing in informatics.



**Doruk Bozdağ** is a post-doctoral researcher in the Department of Biomedical Informatics at The Ohio State University. His research interests include parallel graph algorithms, scheduling algorithms for multiprocessor systems, data mining and bioinformatics. He received his Ph.D. in Electrical and Computer Engineering from The Ohio State University in 2008 and B.S. in Electrical and Electronic Engineering and B.S. in Physics from Boğaziçi University, Turkey, in 2002.

**Robert T. Heaphy** holds a Ph.D. in physics, M.A. in mathematics, and B.S. in physics and mathematics from the University of New Mexico. He has extensive experience in object-oriented design, languages, and databases, including work with GPS tracking systems, data acquisition, networking, and educational software. He is a Certified Quality Engineer with the American Society for Quality.



**Lee Ann Riesen** is a computer software engineer at Sandia National Laboratories in Albuquerque, NM. She earned her M.S. in Computer Science and M.A. in Mathematics from the University of New Mexico and her B.S. in Applied Mathematics from Columbia University. Her work at Sandia has focused on development of scalable software in many scientific application areas, system software for high-performance computing, and parallel scientific visualization.