

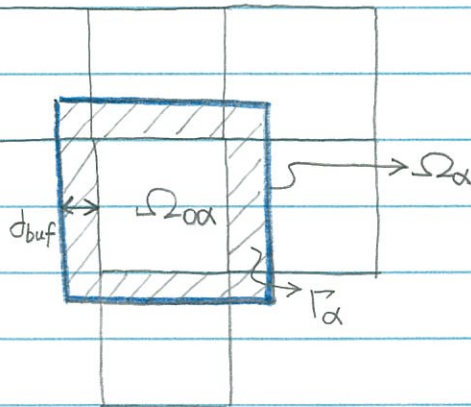
Divide-Conquer-Combine Electronic Structure Calculation

7/11/03

— Bottomline: Processor = domain equivalence.

1. The physical system is covered with nonoverlapping rectangular domains $\Omega_{0\alpha}$; $\Omega = \bigcup_{\alpha} \Omega_{0\alpha}$, $\Omega_{\alpha} \cap \Omega_{\alpha'} = \emptyset$.
2. Each domain is augmented with a buffer layer Γ_{α} of thickness d_{buf} , and the augmented, overlapping domain Ω_{α} is defined as

$$\Omega_{\alpha} = \Omega_{0\alpha} \cup \Gamma_{\alpha} \quad (1)$$



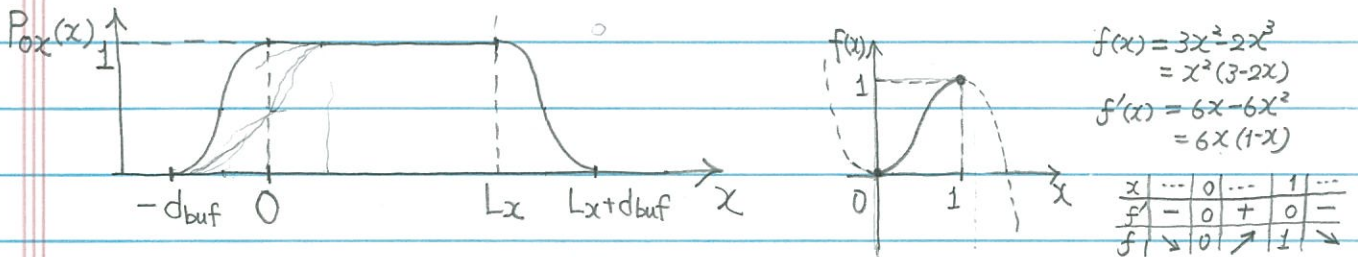
3. The domains are sequentially indexed as $\alpha = 0, 1, \dots, P-1$, where P is the number of processors.
 in multiple domains / processor
4. In this topology-preserving domain decomposition approach, the list $\mathbf{l}_{\text{NN}}^{(\alpha)} = (\alpha_1, \alpha_2, \dots, \alpha_6)$ stores the ID of the nearest-neighbor
 $\xrightarrow{z} \text{NN}(z)$ domains, $\alpha_i \in \{0, 1, \dots, P-1\} \cup \{\text{NIL}\}$ ($i=1$ (x-low), 2 (x-high), 3 (y-low), 4 (y-high), 5 (z-low), 6 (z-high)), and NIL denotes that Ω_{α} is at the edge of the physical system thus has no neighbor domain.
5. Periodic boundary condition is transparent in this "self-centric parallelization" scheme.

Overlapping domain-decomposition support function

$$\begin{cases} \sum_{\alpha=0}^{P-1} P^\alpha(\mathbf{r}) = 1 \end{cases} \quad (2)$$

$$\begin{cases} P^\alpha(\mathbf{r}) = 0 \quad (\mathbf{r} \notin \Omega_\alpha) \end{cases} \quad (3)$$

Specifically, let's consider the rectangular domain core Ω_α with size $L_x \times L_y \times L_z$. Then, let's define



$$P_{0\mu}(t) = \begin{cases} 3\left(\frac{t}{d_{buf}} + 1\right)^2 - 2\left(\frac{t}{d_{buf}} + 1\right)^3 & (-d_{buf} \leq t < 0) \\ 1 & (0 \leq t < L_\mu) \\ 3\left(\frac{L_\mu + d_{buf} - t}{d_{buf}}\right)^2 - 2\left(\frac{L_\mu + d_{buf} - t}{d_{buf}}\right)^3 & (L_\mu \leq t < L_\mu + d_{buf}) \end{cases} \quad (4)$$

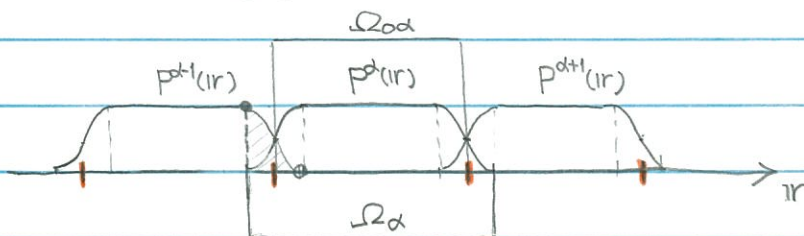
$(\mu = x, y, z)$

$$P_0(\mathbf{r}) = P_{0x}(x) P_{0y}(y) P_{0z}(z) \quad (5)$$

$$P_0^\alpha(\mathbf{r}) = P_0(\mathbf{r} - \mathbb{O}_\alpha) \quad (6)$$

where \mathbb{O}_α is the origin (low- x , low- y , low- z corner) of the domain core $\Omega_{0\alpha}$. Now the support function is

$$P^\alpha(\mathbf{r}) = \frac{P_0^\alpha(\mathbf{r})}{\sum_{\alpha=0}^{P-1} P_0^\alpha(\mathbf{r})} \quad (7)$$



In the self-centric parallelization, $P^\alpha(\mathbf{r})$ can be constructed with up to 26 non-NIL neighbors' $P_0^\alpha(\mathbf{r})$. (Need NN caching.)

Density decomposition

$$\rho(r) = \underbrace{\left(\sum_{\alpha} \rho^{\alpha}(r)\right)}_1 \rho(r) = \sum_{\alpha} \underbrace{\rho^{\alpha}(r) \rho(r)}_{\equiv \rho^{\alpha}(r)} = \sum_{\alpha} \rho^{\alpha}(r) \quad (8)$$

This decomposition can be expressed "exactly" as

$$\rho^{\alpha}(r) = \rho^{\alpha}(r) \langle r | \frac{1}{\exp[\beta(\hat{H}-\mu)] + 1} | r \rangle \Big|_{\beta \rightarrow 0} \quad (9)$$

one-electron Hamiltonian "global" chemical potential.

The one-electron Hamiltonian in the screened-pseudopotential case is

$$\hat{H} = -\frac{1}{2}\nabla^2 + V_{loc}(r) \quad (10)$$

$$V_{loc}(r) = \sum_I V_I(|r-R_I|) \quad (11)$$

where R_I is the position of the I th atom, and we use the atomic unit such that the length and energy units are

$$\left\{ \begin{array}{l} \text{length: } a_B = \hbar^2 / m e^2 = 0.5291772 \text{ \AA} \\ \text{energy: } E_{au} = m e^4 / \hbar^2 = 27.2116 \text{ eV } (= 2Ry) \end{array} \right.$$

$$\frac{e^2}{a} = \frac{\hbar^2}{m a^2}$$

$$\frac{e^2}{a} = e^2 \cdot \frac{m e^2}{\hbar^2}$$

For hexagonal CdSe,

$$V_I(r) = \int \frac{d^3q}{(2\pi)^3} V_I(q) e^{i q \cdot r} \quad (12)$$

$$V_I(q) = \frac{a_{1I}(q^2 - a_{2I})}{a_{3I} \exp(a_{4I} q^2) + 1} \quad (13)$$

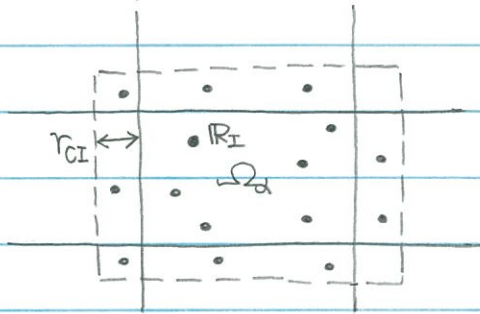
APP1(4)

I ↗	a_1 (a.u.)	a_2 (a.u.)	a_3 (a.u.)	a_4 (a.u.)
Cd ↗	.193	.936	.196	1.68
Se ↗	-.0291	4.40	-1.20	.318

APP2(4)

radial values of

1. We represent the screened local potentials on a one-dimensional numerical mesh with cut-off radius r_{CI} ($I = Cd$ or Se).
2. In the self-centric parallelization, the positions of atoms $\{R_I\}$ within a skin of depth r_{CI} must be cached from the neighbor domains to calculate global $V_{loc}(r)$, which we will use. (r_{CI} could be larger than d_{buf} , i.e., $\exists R_I \notin \Omega_\alpha$.)



The chemical potential in Eq.(9) is determined by solving

$$N_{el} = \int dr \rho(r) = \int dr \langle r | \frac{2}{\exp[\beta(\hat{H} - \mu)] + 1} | r \rangle \quad (14)$$

— Crux of the linear-scaling "approximation"

The exact decomposed density $\rho^\alpha(r)$, Eq.(9), is now approximated as

$$\rho^\alpha(r) \equiv \rho^\alpha(r) \langle r | \frac{2}{\exp[\beta(\hat{H}-\mu)]+1} | r \rangle$$

$$\approx \rho^\alpha(r) \langle r | \frac{2}{\exp[\beta(\hat{H}^\alpha-\mu)]+1} | r \rangle \quad (14)$$

so that the eigenstates of \hat{H}^α , thus $\rho^\alpha(r)$, can be computed locally on processor α .

Here the local Hamiltonian \hat{H}^α is defined with "global" potential (i.e., including $V_I(|r-R_I|)$ from $R_I \notin \Omega_\alpha$) but the basis restricted in Ω_α .

$$\hat{H}^\alpha \equiv \int_{\Omega_\alpha} dr \int_{\Omega_\alpha} dr' |r\rangle \left(-\frac{1}{2} \nabla_r^2 + \sum_{R_I \in \Omega} V_I(r'-R_I) \right) \langle r'| \quad (15)$$

— Divide-conquer-combine algorithm

1. Divide the space Ω into nonoverlapping domains $\Omega = \bigcup_{\alpha} \Omega_{\alpha}$
 $\Omega_{\alpha} \cap \Omega_{\alpha'} = \emptyset$; augment each domain core Ω_{α} with a
 buffer layer $\Omega_{\alpha} = \Omega_{\alpha} + \Gamma_{\alpha}$.

2. Conquer: Solve the sub-domain eigenvalue problems independently
 in each Ω_{α}

$$\hat{H}^{\alpha} |m^{\alpha}\rangle = E_m^{\alpha} |m^{\alpha}\rangle \quad (m=1, \dots, N_{orbmax}) \quad (16)$$

$$3. \rho^{\alpha}(r) = \sum_m \rho^{\alpha}(r) \frac{2}{\exp[\beta(E_m^{\alpha} - \mu)] + 1} |\psi_m^{\alpha}(r)|^2 \quad (17)$$

4. Determine μ by solving

$$N_{el} = \sum_{\alpha} \int_{dir} \rho^{\alpha}(r) \quad (18)$$

loop to be
consistent

5. Combine: Use inner solutions $\{\psi_n^{\alpha'}(r) \in \Omega_{\alpha'}\}$ of neighbor
 domains, $\alpha' \in \text{LNN}^{(\alpha)}$, as boundary conditions at the outer
 surface S_{α} of Ω_{α} to perturb $|m^{\alpha}\rangle$.

6. Compute

$$\rho(r) = \sum_{\alpha} \rho^{\alpha}(r)$$

etc.

— Computation of physical quantities
(Density)

$$\rho(\mathbf{r}) = \sum_{\alpha} \rho^{\alpha}(\mathbf{r}) \quad (19)$$

Note that

$$\rho^{\alpha}(\mathbf{r}) \approx \sum_m \frac{2}{\exp[\beta(\epsilon_m^{\alpha} - \mu)] + 1} \underbrace{|\psi_m^{\alpha}(\mathbf{r})|^2}_{\langle \psi_m^{\alpha} | \rho^{\alpha}(\mathbf{r}) | \psi_m^{\alpha} \rangle_{\Omega_{\alpha}}} \cdot \rho^{\alpha}(\mathbf{r})$$

$$\therefore \rho^{\alpha}(\mathbf{r}) \approx \sum_m \frac{2}{\exp[\beta(\epsilon_m^{\alpha} - \mu)] + 1} \langle \psi_m^{\alpha} | \rho^{\alpha}(\mathbf{r}) | \psi_m^{\alpha} \rangle_{\Omega_{\alpha}} \quad (20)$$

$\rho^{\alpha}(\mathbf{r})$ is computed locally on each Ω_{α} ; to compute $\rho(\mathbf{r})$, neighbor $\rho^{\alpha'}(\mathbf{r})$ ($\alpha' \in \mathcal{L}_{NN}^{(\alpha)}$) need be cached via 6-step copy operations.

(Energy)

$$E = \text{tr} \frac{2}{\exp[\beta(\hat{H} - \mu)] + 1} \hat{H}$$

$$= \int_{\Omega} d\mathbf{r} \sum_m \langle \mathbf{r} | m \rangle \frac{2}{\exp[\beta(\epsilon_m - \mu)] + 1} \epsilon_m \langle m | \mathbf{r} \rangle$$

$$= \int_{\Omega} d\mathbf{r} \sum_m \frac{2}{\exp[\beta(\epsilon_m - \mu)] + 1} \epsilon_m |\psi_m(\mathbf{r})|^2$$

$$= \sum_{\alpha} \int_{\Omega} d\mathbf{r} \sum_m \frac{2}{\exp[\beta(\epsilon_m - \mu)] + 1} \epsilon_m |\psi_m(\mathbf{r})|^2 \rho^{\alpha}(\mathbf{r}) \quad \left(\odot \sum_{\alpha} \rho^{\alpha}(\mathbf{r}) = 1 \right)$$

$$\approx \sum_{\alpha} \int_{\Omega_{\alpha}} d\mathbf{r} \sum_m \frac{2}{\exp[\beta(\epsilon_m^{\alpha} - \mu)] + 1} \epsilon_m^{\alpha} |\psi_m^{\alpha}(\mathbf{r})|^2 \rho^{\alpha}(\mathbf{r})$$

Thus in the divide-&-conquer approximation,

$$E = \sum_{\alpha} E^{\alpha} \quad (21)$$

$$E^{\alpha} \approx \sum_m \frac{2}{\exp[\beta(\epsilon_m^{\alpha} - \mu)] + 1} \overbrace{\epsilon_m^{\alpha}}^{\text{occ}(m, \alpha)} \overbrace{\langle \psi_m^{\alpha} | \rho^{\alpha}(\mathbf{r}) | \psi_m^{\alpha} \rangle_{\Omega_{\alpha}}}^{\text{dnorb}(m, \alpha)} \quad (22)$$

- Solving local eigenvalue problems

Solve

$$\left[-\frac{1}{2}\nabla^2 + V_{loc}(r) \right] \psi_n^\alpha(r) = \epsilon_n^\alpha \psi_n^\alpha(r) \quad (n=1, \dots, N_{orbmax}) \quad (23)$$

with orthonormal constraints

$$\int_{\Omega_\alpha} d\mathbf{r} \psi_m^{\alpha*}(\mathbf{r}) \psi_n^\alpha(\mathbf{r}) = \delta_{mn} \quad (24)$$

(Boundary condition)

During the divide-&-conquer phase, we try two boundary conditions:

(I) Rigid-wall boundary condition

$$\psi_m^\alpha(r) = 0 \quad (r \in S_\alpha) \quad (25)$$

(II) Wigner-Seitz boundary condition

$$\partial \psi_m^\alpha / \partial n_S = 0 \quad (r \in S_\alpha) \quad (26)$$

In the combine phase, inner solutions from neighbor domains will be cached and used to specify the boundary condition

$$\psi_m^\alpha(r) = \left[\sum_n \frac{|\epsilon_m^\alpha - \epsilon_n^\beta|^{-\xi}}{\sum_{m'} |\epsilon_{m'}^\alpha - \epsilon_n^\beta|^{-\xi}} |\psi_n^\beta(r)|^2 \right]^{1/2} \quad (r \in S_\alpha \cap \underbrace{\Omega_{\beta}}_{S_{\alpha\beta}}) \quad (27)$$

