

Fully-Nonlocal Pseudopotential

12/14/99

- Problem of seminonlocal pseudopotential.

$$\left\{ V_{ion}^{PP}(r) = V_{ion, \text{local}}^{PP}(r) + \underbrace{\sum_{lm} |lm\rangle \Delta V_l(r) \langle lm|}_{\mathcal{V}_{NL}} \right\} \quad (1)$$

$$\Delta V_l(r) = V_{ion,l}^{PP}(r) - V_{ion,\text{local}}^{PP}(r) \quad \mathcal{V}_{NL} \quad (2)$$

This is local in r and nonlocal (i.e. separable) in the angular coordinates.

$$\langle lk+g_l | \mathcal{V}_{NL} | lk+g_l' \rangle = \sum_l \frac{4\pi(2l+1)}{2} \int dr r^2 j_l(lk+g_l|r) \Delta V_l(r) j_l(lk+g_l'|r) \quad (3)$$

For each ion, this involves $O(N_{PW}^2)$ radial integration, hence the operation count is $O(N_I N_{PW}^2) \propto O(N_I^3)$ where N_I is the number of ions and N_{PW} is the number of plane waves.

- Idea

If the nonlocal pseudopotential is fully (including the radial part) separable, the source and destination integrals are evaluated independently, hence $O(N_I N_{PW}^2) \rightarrow O(N_I N_{PW}) \propto O(N_I^2)$.

(cf. Fast multipole method: source—multipole; destination—Taylor.)

- Fully nonlocal pseudopotential

[L. Kleinman & D.M. Bylander, Phys. Rev. Lett. 48, 1425 ('82)]

Let's replace the local (in radial coordinate) potential

$$\Delta V_\ell(r) \rightarrow \frac{|\Delta V_\ell R_\ell^{PP}\rangle \langle R_\ell^{PP} \Delta V_\ell|}{\langle R_\ell^{PP} | \Delta V_\ell | R_\ell^{PP} \rangle} \quad (4)$$

where

$$\langle R_\ell^{PP} | \Delta V_\ell | R_\ell^{PP} \rangle = \int dr r^2 |R_\ell^{PP}(r)|^2 \Delta V_\ell(r) \quad (5)$$

$$V_\ell^{KB}(r) = V_{ion, local}^{PP}(r) + \sum_{lm} \frac{|lm\rangle |\Delta V_\ell R_\ell^{PP}\rangle \langle R_\ell^{PP} \Delta V_\ell| \langle lm|}{\langle R_\ell^{PP} | \Delta V_\ell | R_\ell^{PP} \rangle} \quad (6)$$

(Prop.) The Kleinman-Bylander pseudopotential is identical to the original seminonlocal pseudopotential, when it operates on the atomic pseudowave function, $R_\ell^{PP}(r)$.



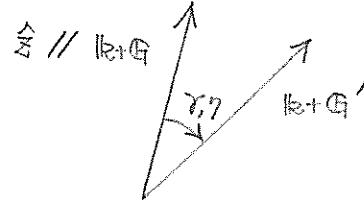
$$\begin{aligned} & \frac{|\Delta V_\ell R_\ell^{PP}\rangle \langle \Delta V_\ell R_\ell^{PP}|}{\langle R_\ell^{PP} | \Delta V_\ell | R_\ell^{PP} \rangle} |R_\ell^{PP}\rangle \\ &= \Delta V_\ell(r) R_\ell^{PP}(r) \times \frac{\int dr r^2 [R_\ell^{PP}(r) \Delta V_\ell(r)] R_\ell^{PP}(r)}{\int dr r^2 |R_\ell^{PP}(r)|^2 \Delta V_\ell(r)} \\ &= \Delta V_\ell(r) R_\ell^{PP}(r) \quad // \end{aligned}$$

- Operation count

$$\mathcal{V}_{GG'}^{\text{NL}} = \sum_{lm} \frac{\langle k+G | lm \rangle |\Delta V_\ell R_\ell^{\text{PP}}\rangle \langle \Delta V_\ell R_\ell^{\text{PP}} | \langle lm | k+G' \rangle}{\langle R_\ell^{\text{PP}} | \Delta V_\ell | R_\ell^{\text{PP}} \rangle} \quad (7)$$

From 12/14/99, (we absorb the volume factor in the plane-wave basis.)

$$\langle lm | k+G' \rangle = 4\pi i^l j_l(|k+G'|r) Y_{lm}^*(r, \eta) \frac{1}{\sqrt{2}} \quad (8)$$



$$\therefore \langle \Delta V_\ell R_\ell^{\text{PP}} | \langle lm | k+G' \rangle \rangle = \frac{4\pi i^l Y_{lm}^*(r, \eta)}{\sqrt{2}} \int dr r^2 R_\ell^{\text{PP}}(r) \Delta V_\ell(r) j_l(|k+G'|r) \quad (9)$$

Similarly from 12/14/99,

$$\langle k+G | lm \rangle = (-i)^l \sqrt{2\pi(2l+1)} j_l(|k+G|r) \delta_{lm} \frac{1}{\sqrt{2}} \quad (10)$$

$$\therefore \langle k+G | lm \rangle |\Delta V_\ell R_\ell^{\text{PP}}\rangle = \frac{(-i)^l \sqrt{2\pi(2l+1)} \delta_{lm}}{\sqrt{2}} \int dr r^2 j_l(|k+G|r) \Delta V_\ell(r) R_\ell^{\text{PP}}(r) \quad (11)$$

Substituting Eqs. (9) and (11) in (7),

$$\begin{aligned} \mathcal{V}_{GG'}^{\text{NL}} &= \sum_{lm} \frac{4\pi i^l}{\sqrt{2}} \underbrace{Y_{lm}^*(r, \eta) (-i)^l \frac{\sqrt{2\pi(2l+1)} \delta_{lm}}{\sqrt{2}}}_{\sqrt{\frac{2l+1}{4\pi}} P_l(\cos\eta)} \int dr r^2 R_\ell^{\text{PP}}(r) \Delta V_\ell(r) j_l(|k+G|r) \\ &\quad \times \int dr r^2 j_l(|k+G|r) \Delta V_\ell(r) R_\ell^{\text{PP}}(r) \\ &\quad \int dr r^2 |R_\ell^{\text{PP}}(r)|^2 \Delta V_\ell(r) \end{aligned}$$

$$\langle \mathbf{V}_{\text{GG}}^{\text{NL}} \rangle = \sum_l \frac{4\pi(2l+1)}{\Omega} P_l(\cos\gamma) \frac{\int dr r^2 R_l^{\text{PP}}(r) \Delta V_l(r) j_l(|k+G|/r) \int dr r^2 R_l^{\text{PP}}(r) \Delta V_l(r) j_l(|k+G'|/r)}{\int dr r^2 |R_l^{\text{PP}}(r)|^2 \Delta V_l(r)}$$
(12)

— Operation count

$$(\mathbf{V}^{\text{a}})_{k+G} \leftarrow 0$$

for each ion I,

$$\text{calculate } A_l = \int dr r^2 |R_l^{\text{PP}}(r)|^2 \Delta V_l(r)$$

for each plane wave $k+G$,

$$\text{calculate } B_l(k+G) = \int dr r^2 R_l^{\text{PP}}(r) \Delta V_l(r) j_l(|k+G|/r)$$

for each plane wave $k+G$,

$$(\mathbf{V}^{\text{a}})_{k+G} += \sum_l \frac{4\pi(2l+1)}{\Omega} P_l(\cos\gamma) \frac{B_l(k+G) B_l(k+G')}{A_l}$$

The operation count is still $O(N_I N_{\text{PW}}^2)$. However, the number of expensive radial integrations, i.e. the calculation of $B_l(k+G)$ is now $O(N_I N_{\text{PW}})$. We precalculate $B_l(k+G)$ before the N_{PW}^2 loop.