

Linear-Response Time-Dependent Density Functional Theory

6/15/11

- Kohn-Sham basis

Consider an orthonormal set of eigenstates, $\{\phi_{k\sigma}(\mathbf{r})\}$ (where k & σ are orbital & spin quantum numbers), which satisfy self-consistent Kohn-Sham equations,

$$\hat{h}_0(\mathbf{r}) \phi_{k\sigma}(\mathbf{r}) = \epsilon_{k\sigma} \phi_{k\sigma}(\mathbf{r}) \quad (1)$$

$$\hat{h}(\mathbf{r}) = \frac{\hbar^2}{2m} \nabla^2 + v_{\text{ion}}(\mathbf{r}) + \underbrace{\int d\mathbf{r}' \frac{e^2 P(\mathbf{r}')}{|\mathbf{r}-\mathbf{r}'|}}_{v_H(\mathbf{r})} + \underbrace{\frac{\delta E_{\text{xc}}}{\delta P(\mathbf{r})}}_{v_{\text{xc}}[\mathbf{r}; P(\mathbf{r})]} \quad (2)$$

$$v_{\text{Hxc}}(\mathbf{r})$$

$$\rho(\mathbf{r}) = \sum_{k\sigma} f_{k\sigma} |\phi_{k\sigma}(\mathbf{r})|^2 \quad (3)$$

In Eq.(3), $f_{k\sigma} \in [0, 1]$ is an occupation number. Here, we restrict ourselves to $f_{k\sigma} = 0$ or 1, and further to the ground state, Φ_0 , which is a Slater determinant consisting of the lowest-energy Kohn-Sham orbitals,

$$\Phi_0 = \frac{1}{\sqrt{N_e!}} \begin{vmatrix} \phi_{1\uparrow}(\mathbf{r}_1) & \dots & \phi_{1\uparrow}(\mathbf{r}_{N_e}) \\ \vdots & & \vdots \\ \phi_{N_e/2\downarrow}(\mathbf{r}_1) & \dots & \phi_{N_e/2\downarrow}(\mathbf{r}_{N_e}) \end{vmatrix} \quad (4)$$

where N_e is the number of electrons and we have assumed a closed shell. Note that, rather than introducing spin coordinates, we simply impose orthogonality conditions,

$$\langle \phi_{k\sigma} | \phi_{k'\sigma'} \rangle \equiv \int d\mathbf{r} \phi_{k\sigma}^*(\mathbf{r}) \phi_{k'\sigma'}(\mathbf{r}) = \delta_{kk'} \delta_{\sigma\sigma'} \quad (5)$$

- Time-dependent Kohn-Sham equation

The temporal evolution of a many-electron system is governed by

$$i\hbar \frac{\partial}{\partial t} \phi_{k\sigma}(\mathbf{r}, t) = [h(\mathbf{r}, t) + v_{\text{ext}}(\mathbf{r}, t)] \phi_{k\sigma}(\mathbf{r}, t) \quad (6)$$

$$h(\mathbf{r}, t) = -\frac{\hbar^2}{2m} \nabla^2 + v_{\text{ion}}(\mathbf{r}) + \underbrace{\int d\mathbf{r}' \frac{e^2 \rho(\mathbf{r}', t)}{|\mathbf{r} - \mathbf{r}'|}}_{V_H(\mathbf{r}, t)} + \underbrace{\frac{\delta A_{xc}}{\delta \rho(\mathbf{r}, t)}}_{V_{xc}(\mathbf{r}, t)} \quad (7)$$

$$V_{Hxc}(\mathbf{r}, t)$$

$$\rho(\mathbf{r}, t) = \sum_{k\sigma} f_{k\sigma} |\phi_{k\sigma}(\mathbf{r}, t)|^2 \quad (8)$$

where $v(\mathbf{r}, t)$ is an external potential. We assume that the system was in the ground state, Φ_0 , at remote past, $t = -\infty$, after which $v_{\text{ext}}(\mathbf{r}, t)$ was turned on. The system at time t is a Slater determinant,

$$\Phi(t) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_{1\uparrow}(\mathbf{r}_1, t) & \dots & \phi_{1\uparrow}(\mathbf{r}_{N_{\uparrow}}, t) \\ \vdots & & \vdots \\ \phi_{N_{\downarrow}/2\downarrow}(\mathbf{r}_1, t) & \dots & \phi_{N_{\downarrow}/2\downarrow}(\mathbf{r}_{N_{\downarrow}}, t) \end{vmatrix} \quad (9)$$

- Perturbation

Consider perturbation of $\Phi(t)$ on $\psi(r, t)$, where

$$\begin{aligned}
 \hat{H}(r, t) = & \frac{\hbar^2}{2m} \nabla^2 + \psi_{ion}(r) + \int dr' \frac{e^2 \rho(r')}{|r-r'|} + \frac{\delta E_{xc}}{\delta \rho(r)} \\
 & + \psi_{ext}(r, t) + \underbrace{\int dr' \frac{e^2 \delta \rho(r', t)}{|r-r'|}}_{\delta V_H(r, t)} + \underbrace{\int dr' \int dt' \frac{\delta^2 A_{xc}}{\delta \rho(r, t) \delta \rho(r', t')}}_{\delta V_{xc}(r, t)} \overbrace{\rho(r', t')}^{(10)} \quad (10) \\
 & \hspace{15em} \delta V_{Hxc}(r, t)
 \end{aligned}$$

$$= \hat{H}(r) + \underbrace{\psi_{ext}(r, t) + \delta V_{Hxc}(r, t)}_{\psi(r, t)} \quad (11)$$

(4)

- Linear response

We seek the solution of

$$i\hbar \frac{\partial}{\partial t} \phi_{k\sigma}(\mathbf{r}, t) = [\hat{H}_k(\mathbf{r}) + \hat{V}(\mathbf{r}, t)] \phi_{k\sigma}(\mathbf{r}, t) \quad (12)$$

in the form

$$\phi_{k\sigma}(\mathbf{r}, t) = e^{-i\hat{H}_k t/\hbar} \hat{S}(t, -\infty) \phi_{k\sigma}(\mathbf{r}). \quad (13)$$

The formal solution (2/11/10) is

$$\hat{S}(t, -\infty) = T \exp \left(-\frac{i}{\hbar} \int_{-\infty}^t dt' \hat{V}_R(t') \right) \quad (14a)$$

$$= 1 - \frac{i}{\hbar} \int_{-\infty}^t dt' \hat{V}_R(t') + O(v^2) \quad (14b)$$

where

$$\hat{V}_R(t) = e^{i\hat{H}_k t/\hbar} \hat{V}(t) e^{-i\hat{H}_k t/\hbar} \quad (15)$$

Substituting Eq. (14b) in (13)

$$\phi_{k\sigma}(\mathbf{r}, t) = \left[e^{-i\hat{H}_k t/\hbar} - \frac{i}{\hbar} \int_{-\infty}^t dt' e^{-i\hat{H}_k(t-t')/\hbar} \hat{V}(t') e^{-i\hat{H}_k t'/\hbar} \right] \phi_{k\sigma}(\mathbf{r}) + O(v^2) \quad (16)$$

- Density response

$$\delta\rho(\mathbf{r}, t) \equiv \rho(\mathbf{r}, t) - \rho(\mathbf{r}) \quad (17)$$

where

$$\rho(\mathbf{r}) = \sum_{\mathbf{k}\sigma} f_{\mathbf{k}\sigma} |\phi_{\mathbf{k}\sigma}(\mathbf{r})|^2 \quad (18)$$

Substituting Eq. (16) in (17),

$$\delta\rho(\mathbf{r}, t) = \sum_{\mathbf{k}\sigma} f_{\mathbf{k}\sigma} \left(e^{i\hat{h}t/\hbar} + \frac{i}{\hbar} \int_{-\infty}^t dt' e^{i\hat{h}(t-t')/\hbar} \hat{v}(t') e^{i\hat{h}t'/\hbar} \right) \phi_{\mathbf{k}\sigma}^*(\mathbf{r}) \\ \times \left(e^{-i\hat{h}t/\hbar} - \frac{i}{\hbar} \int_{-\infty}^t dt' e^{-i\hat{h}(t-t')/\hbar} \hat{v}(t') e^{-i\hat{h}t'/\hbar} \right) \phi_{\mathbf{k}\sigma}(\mathbf{r})$$

$$- \sum_{\mathbf{k}\sigma} f_{\mathbf{k}\sigma} |\phi_{\mathbf{k}\sigma}(\mathbf{r})|^2$$

$$= \sum_{\mathbf{k}\sigma} f_{\mathbf{k}\sigma} \left(e^{i\epsilon_{\mathbf{k}\sigma}t/\hbar} \phi_{\mathbf{k}\sigma}^*(\mathbf{r}) + \frac{i}{\hbar} \int_{-\infty}^t dt' e^{i\hat{h}(t-t')/\hbar} \hat{v}(t') e^{i\epsilon_{\mathbf{k}\sigma}t'/\hbar} \phi_{\mathbf{k}\sigma}^*(\mathbf{r}) \right) \\ \times \left(e^{-i\epsilon_{\mathbf{k}\sigma}t/\hbar} \phi_{\mathbf{k}\sigma}(\mathbf{r}) - \frac{i}{\hbar} \int_{-\infty}^t dt' e^{-i\hat{h}(t-t')/\hbar} \hat{v}(t') e^{-i\epsilon_{\mathbf{k}\sigma}t'/\hbar} \phi_{\mathbf{k}\sigma}(\mathbf{r}) \right)$$

$$- \sum_{\mathbf{k}\sigma} f_{\mathbf{k}\sigma} |\phi_{\mathbf{k}\sigma}(\mathbf{r})|^2$$

⑥

$$S\rho(r, t) = \sum_{k\sigma} f_{k\sigma} \left[\left(-\frac{i}{\hbar}\right) \phi_{k\sigma}^*(r) \int_{-\infty}^t dt' e^{-i\hat{h}(t-t')/\hbar} \hat{v}(t') e^{i\epsilon_{k\sigma}(t-t')/\hbar} \phi_{k\sigma}(r) \right. \\ \left. + \frac{i}{\hbar} \phi_{k\sigma}(r) \int_{-\infty}^t dt' e^{i\hat{h}(t-t')/\hbar} \hat{v}(t') e^{-i\epsilon_{k\sigma}(t-t')/\hbar} \phi_{k\sigma}^*(r) \right]$$

$$= -\frac{i}{\hbar} \sum_{k\sigma} f_{k\sigma} \left[\phi_{k\sigma}^*(r) \int_{-\infty}^t dt' e^{-i(\hat{h}-\epsilon_{k\sigma})(t-t')/\hbar} \hat{v}(t') \phi_{k\sigma}(r) \right. \\ \left. - \phi_{k\sigma}(r) \int_{-\infty}^t dt' e^{i(\hat{h}-\epsilon_{k\sigma})(t-t')/\hbar} \hat{v}(t') \phi_{k\sigma}^*(r) \right]$$

$$= \int_{-\infty}^{\infty} dt' \left(-\frac{i}{\hbar}\right) \Theta(t-t') \sum_{k\sigma} f_{k\sigma}$$

$$\times \left[\phi_{k\sigma}^*(r) e^{-i(\hat{h}-\epsilon_{k\sigma})(t-t')/\hbar} \hat{v}(t') \phi_{k\sigma}(r) \right]$$

$$- \phi_{k\sigma}(r) e^{i(\hat{h}-\epsilon_{k\sigma})(t-t')/\hbar} \hat{v}(t') \phi_{k\sigma}^*(r) \quad (19)$$

Note that

$$v(r, t) \phi_{k\sigma}(r)$$

$$= \hat{v} |k\sigma\rangle$$

$$= \sum_j |j\sigma\rangle \langle j\sigma | \hat{v} |k\sigma\rangle$$

$$= \sum_j \phi_{j\sigma}(r) \int dr' \phi_{j\sigma}^*(r') v(r', t) \phi_{k\sigma}(r') \quad (20)$$

(7)

Using the completeness relation, Eq. (20), in Eq. (19),

$$\begin{aligned}
 S\rho(r, t) &= \int dr' \int_{-\infty}^{\infty} dt' \left(-\frac{i}{\hbar} \right) \Theta(t-t') \sum_j \sum_{k\sigma} f_{k\sigma} \\
 &\quad \times \left[\phi_{k\sigma}^*(r) e^{-i(\hat{h} - \epsilon_{k\sigma})(t-t')/\hbar} \phi_{j\sigma}(r) \phi_{j\sigma}^*(r') \phi_{k\sigma}(r') \right. \\
 &\quad \left. - \phi_{k\sigma}(r) e^{i(\hat{h} - \epsilon_{k\sigma})(t-t')/\hbar} \phi_{j\sigma}^*(r) \phi_{j\sigma}(r') \phi_{k\sigma}^*(r') \right] \mathcal{V}(r, t') \\
 &= \int dr' \int_{-\infty}^{\infty} dt' \left(-\frac{i}{\hbar} \right) \Theta(t-t') \sum_j \sum_{k\sigma} f_{k\sigma} \\
 &\quad \times \left[\phi_{k\sigma}^*(r) \phi_{j\sigma}(r) e^{-i\omega_{jk\sigma}(t-t')} \phi_{j\sigma}^*(r') \phi_{k\sigma}(r') \right. \\
 &\quad \left. - \phi_{k\sigma}(r) \phi_{j\sigma}^*(r) e^{i\omega_{jk\sigma}(t-t')} \phi_{j\sigma}(r') \phi_{k\sigma}^*(r') \right] \mathcal{V}(r, t') \quad (21)
 \end{aligned}$$

where

$$\omega_{jk\sigma}^{\uparrow} \equiv \frac{\epsilon_{j\sigma} - \epsilon_{k\sigma}}{\hbar} \quad (22)$$

$$\therefore \frac{S\rho(r, t)}{S\mathcal{V}(r, t)} \equiv \mathcal{X}_{k\sigma}(r, r'; t-t') \quad (23)$$

$$\begin{aligned}
 &= \left(-\frac{i}{\hbar} \right) \Theta(t-t') \sum_{j k\sigma} f_{k\sigma} \\
 &\quad \times \left[\phi_{k\sigma}^*(r) \phi_{j\sigma}(r) e^{-i\omega_{jk\sigma}(t-t')} \phi_{j\sigma}^*(r') \phi_{k\sigma}(r') \right. \\
 &\quad \left. - \phi_{k\sigma}(r) \phi_{j\sigma}^*(r) e^{i\omega_{jk\sigma}(t-t')} \phi_{j\sigma}(r') \phi_{k\sigma}^*(r') \right] \quad (24)
 \end{aligned}$$

(8)

The density-density response function is defined as

$$\chi(r, r'; t-t') \equiv \frac{\delta \rho(r, t)}{\delta V_{\text{ext}}(r', t')} \quad (25)$$

Using the chain rule,

$$\begin{aligned} \chi(r, r''; t-t'') &= \frac{\delta \rho(r, t)}{\delta V_{\text{ext}}(r'', t'')} \\ &= \int dr' \int_{-\infty}^{\infty} dt' \frac{\delta \rho(r, t)}{\delta V(r', t')} \frac{\delta V(r', t')}{\delta V_{\text{ext}}(r'', t'')} \\ &\quad \chi_{\text{KS}}(r, r'; t-t') \end{aligned} \quad (26)$$

From Eqs. (10) and (11),

$$\begin{aligned} \frac{\delta V(r', t')}{\delta V_{\text{ext}}(r'', t'')} &= \delta(r'-r'') \delta(t'-t'') + \int dr''' \frac{e^2}{|r'-r'''|} \frac{\delta \rho(r''', t''')}{\delta V_{\text{ext}}(r'', t'')} \\ &\quad \chi(r''', r''; t'-t'') \\ &\quad + \int dr''' \int dt''' \frac{\delta^2 A_{\text{cc}}}{\delta \rho(r', t') \delta \rho(r''', t''')} \frac{\delta \rho(r''', t''')}{\delta V_{\text{ext}}(r'', t'')} \\ &\quad f_{\text{xc}}(r', r''; t'-t''') \chi(r''', r''; t''-t'') \\ &= \delta(r'-r'') \delta(t'-t'') \\ &\quad + \int dr''' \int_{-\infty}^{\infty} dt''' \left[\frac{e^2}{|r'-r'''|} \delta(t'-t''') + f_{\text{xc}}(r', r''; t'-t''') \right] \\ &\quad \times \chi(r''', r''; t''-t'') \end{aligned} \quad (27)$$

where

$$f_{\text{xc}}(r, r'; t-t') \equiv \frac{\delta^2 A_{\text{xc}}}{\delta \rho(r, t) \delta \rho(r', t')} \quad (28)$$

(9)

Substituting Eq. (27) in (26)

$$\chi(r, r''; t-t'') = \chi_{KS}(r, r''; t-t'')$$

$$+ \int dr' \int_{-\infty}^{\infty} dt' \chi_{KS}(r, r'; t-t') \int dr''' \int_{-\infty}^{\infty} dt''' \left[\frac{e^2}{|r'-r''|} \delta(t'-t''') + f_{xc}(r', r''; t'-t''') \right] \\ \times \chi(r''', r''; t'''-t'') \quad (29)$$

- Fourier transform

Let's define

$$\chi(r, r'; t-t') = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \chi(r, r'; \omega) e^{-i\omega(t-t')} \quad (30)$$

$$\chi_{KS}(r, r'; t-t') = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \chi_{KS}(r, r'; \omega) e^{-i\omega(t-t')} \quad (31)$$

Recall (2/25/10 note)

$$\Theta(t) = - \int_{-\infty}^{\infty} \frac{d\omega}{2\pi i} \frac{e^{-i\omega t}}{\omega + i0} \quad (32)$$

Substituting Eq. (32) in (24)

$$\begin{aligned} \chi_{KS}(r, r'; t-t') &= \frac{i}{\hbar} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi i} \frac{e^{-i\omega(t-t')}}{\omega + i0} \sum_{j\mathbf{k}\sigma} f_{\mathbf{k}\sigma} \\ &\quad \times \left[\phi_{\mathbf{k}\sigma}^*(r) \phi_{j\sigma}(r) e^{-i\omega_{j\mathbf{k}\sigma}(t-t')} \phi_{j\sigma}^*(r') \phi_{\mathbf{k}\sigma}(r') \right. \\ &\quad \left. - \phi_{\mathbf{k}\sigma}(r) \phi_{j\sigma}^*(r) e^{i\omega_{j\mathbf{k}\sigma}(t-t')} \phi_{j\sigma}(r') \phi_{\mathbf{k}\sigma}^*(r') \right] \\ &= \frac{i}{\hbar} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \sum_{j\mathbf{k}\sigma} f_{\mathbf{k}\sigma} \\ &\quad \times \left[\phi_{\mathbf{k}\sigma}^*(r) \phi_{j\sigma}(r) \frac{e^{-i(\omega + \omega_{j\mathbf{k}\sigma})(t-t')}}{\omega + i0} \phi_{j\sigma}^*(r') \phi_{\mathbf{k}\sigma}(r') \right. \\ &\quad \left. - \phi_{\mathbf{k}\sigma}(r) \phi_{j\sigma}^*(r) \frac{e^{-i(\omega - \omega_{j\mathbf{k}\sigma})(t-t')}}{\omega + i0} \phi_{j\sigma}(r') \phi_{\mathbf{k}\sigma}^*(r') \right] \end{aligned}$$

$$\chi_{KS}(r, r'; t-t') = \frac{1}{\hbar} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} e^{-i\omega(t-t')} \sum_{j k \sigma} f_{k\sigma} \times \left[\frac{\phi_{k\sigma}^*(r) \phi_{j\sigma}(r) \phi_{j\sigma}^*(r') \phi_{k\sigma}(r')}{\omega - \omega_{jk\sigma} + i0} - \frac{\phi_{k\sigma}(r) \phi_{j\sigma}^*(r) \phi_{j\sigma}(r') \phi_{k\sigma}^*(r')}{\omega + \omega_{jk\sigma} + i0} \right] \quad (33)$$

Comparing Eqs. (31) and (33), we obtain

$$\chi_{KS}(r, r'; \omega) = \frac{1}{\hbar} \sum_{j k \sigma} f_{k\sigma} \left[\frac{\phi_{k\sigma}^*(r) \phi_{j\sigma}(r) \phi_{j\sigma}^*(r') \phi_{k\sigma}(r')}{\omega - \omega_{jk\sigma} + i0} - \frac{\phi_{k\sigma}(r) \phi_{j\sigma}^*(r) \phi_{j\sigma}(r') \phi_{k\sigma}^*(r')}{\omega + \omega_{jk\sigma} + i0} \right] \quad (34)$$

Or, interchanging the indices $j \leftrightarrow k$ in the second term,

$$\chi_{KS}(r, r'; \omega) = \frac{1}{\hbar} \sum_{j k \sigma} (f_{k\sigma} - f_{j\sigma}) \frac{\phi_{k\sigma}^*(r) \phi_{j\sigma}(r) \phi_{j\sigma}^*(r') \phi_{k\sigma}(r')}{\omega - \omega_{jk\sigma} + i0} \quad (35)$$

Note that

$$f_{k\sigma}(1 - f_{j\sigma}) - f_{j\sigma}(1 - f_{k\sigma}) \\ = f_{k\sigma} - \cancel{f_{k\sigma} f_{j\sigma}} - f_{j\sigma} + \cancel{f_{j\sigma} f_{k\sigma}}$$

Thus,

$$\chi_{KS}(r, r'; \omega) = \frac{1}{\hbar} \sum_{j k \sigma} [f_{k\sigma}(1 - f_{j\sigma}) - f_{j\sigma}(1 - f_{k\sigma})] \times \frac{\phi_{k\sigma}^*(r) \phi_{j\sigma}(r) \phi_{j\sigma}^*(r') \phi_{k\sigma}(r')}{\omega - \omega_{jk\sigma} + i0} \quad (36)$$

Interchanging the indices back $j \leftrightarrow k$ in the second term,

excitation
 ↓
 occupied unoccupied
 hole electron

$$\chi_{KS}(r, r'; \omega) = \frac{1}{\hbar} \sum_{j k \sigma} f_{k\sigma} (1 - f_{j\sigma}) \times \left[\frac{\phi_{k\sigma}^*(r) \phi_{j\sigma}(r) \phi_{j\sigma}^*(r') \phi_{k\sigma}(r')}{\omega - \omega_{jk\sigma} + i0} - \frac{\phi_{k\sigma}(r) \phi_{j\sigma}^*(r) \phi_{j\sigma}(r') \phi_{k\sigma}^*(r')}{\omega + \omega_{jk\sigma} + i0} \right] \quad (27)$$

Note that

$$\delta(t - t') = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} e^{-i\omega t} \quad (38)$$

Using Eq. (38) and convolution in Eq. (29),

$$\begin{aligned} \chi(r, r''; \omega) &= \chi_{KS}(r, r''; \omega) \\ &+ \int dr' \chi_{KS}(r, r'; \omega) \int dr''' \left[\frac{e^2}{|r' - r''|} + f_{xc}(r', r''; \omega) \right] \chi(r', r''; \omega) \end{aligned} \quad (39)$$