

# Master Equation

## - Partitioned configuration space

We partition the entire  $3N$ -dimensional configuration space ( $N$  is the number of atoms) as

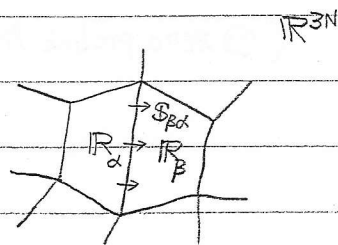
$$\mathbb{R}^{3N} = \bigcup_{\alpha} \mathbb{R}_{\alpha} ; \mathbb{R}_{\alpha} \cap \mathbb{R}_{\beta} = \emptyset, \quad (1)$$

where a  $3N$ -dimensional configuration  $\mathcal{Q} \in \mathbb{R}_{\alpha}$  converges to the  $\alpha$ -th local minimum.

Let's define the probability to find the system in  $\mathbb{R}_{\alpha}$  at time  $t$  as

$$P_{\alpha}(t) = \iint_{\mathbb{R}_{\alpha}} \frac{d\mathcal{Q}d\mathcal{P}}{h^{3N}} f(\mathcal{Q}, \mathcal{P}, t) \quad (2)$$

where  $\mathcal{Q} = (q_1, \dots, q_{3N})$ ,  $\mathcal{P} = (p_1, \dots, p_{3N})$ , and  $f(\mathcal{Q}, \mathcal{P}, t)$  is the phase space distribution.



## - Time derivative

$$\frac{dP_{\alpha}}{dt} = \iint_{\mathbb{R}_{\alpha}} \frac{d\mathcal{Q}d\mathcal{P}}{h^{3N}} \frac{\partial}{\partial t} f(\mathcal{Q}, \mathcal{P}, t) \quad (3)$$

$$= \iint_{\mathbb{R}_{\alpha}} \frac{d\mathcal{Q}d\mathcal{P}}{h^{3N}} [-L f(\mathcal{Q}, \mathcal{P}, t)] \quad (4)$$

$$= \iint_{\mathbb{R}_{\alpha}} \frac{d\mathcal{Q}d\mathcal{P}}{h^{3N}} \left[ - \left( \frac{\partial H}{\partial \mathcal{P}} \cdot \frac{\partial}{\partial \mathcal{Q}} - \frac{\partial H}{\partial \mathcal{Q}} \cdot \frac{\partial}{\partial \mathcal{P}} \right) f(\mathcal{Q}, \mathcal{P}, t) \right] \quad (5)$$

where  $L$  is the Liouville operator and  $H(\mathcal{Q}, \mathcal{P})$  is the Hamiltonian.

Consider a Hamiltonian

$$H(Q, P) = \sum_{i=1}^{3N} \frac{P_i^2}{2m_i} + V(Q) \tag{6}$$

where  $m_i$  is the mass associated with the  $i$ -th degree of freedom.

Substituting Eq. (6) in (5),

$$\frac{dP_\alpha}{dt} = \iint_{R_\alpha} \frac{dQ dP}{h^{3N}} \sum_{i=1}^{3N} \frac{P_i}{m_i} \frac{\partial}{\partial Q_i} f(Q, P, t) + \underbrace{\iint_{R_\alpha} \frac{dQ dP}{h^{3N}} \sum_{i=1}^{3N} \frac{\partial V}{\partial Q_i} \frac{\partial}{\partial P_i} f(Q, P, t)}_{\mathcal{Q}} \tag{7}$$

The second term in Eq. (7) is

$$\mathcal{Q} = \sum_{i=1}^{3N} \int_{R_\alpha} \frac{dQ}{h^{3N}} \frac{\partial V}{\partial Q_i} \int_{-\infty}^{\infty} dP_1 \dots dP_{i-1} dP_{i+1} \dots dP_{3N} \int_{-\infty}^{\infty} dP_i \frac{\partial}{\partial P_i} f(Q, P, t)$$

$$= \left[ f(Q, P, t) \right]_{P_i=-\infty}^{P_i=+\infty} = 0$$

(☺ zero probability for infinite momentum)

= 0

$$\therefore \frac{dP_\alpha}{dt} = - \iint_{R_\alpha} \frac{dQ dP}{h^{3N}} \sum_{i=1}^{3N} \frac{\partial}{\partial Q_i} \left( \frac{P_i}{m_i} f(Q, P, t) \right) \quad (\ominus \frac{\partial}{\partial Q_i} \nmid P_i \text{ commute})$$

$$= - \int_{\partial R_\alpha} \sum_{i=1}^{3N} dS_i \int \frac{dP}{h^{3N}} \frac{P_i}{m_i} f(Q, P, t) \quad \downarrow \text{Gauss theorem} \tag{8}$$

Here,  $dS$  is the surface element pointing outward normal to the surface  $\partial R_\alpha$ , and thus Eq. (8) is the negative of the outward flux through the surface  $\partial R_\alpha$ .

Let's partition  $\partial R_\alpha$  into

$$\partial R_\alpha = \bigcup_{\beta} \mathbb{S}_{\beta\alpha} \quad (9)$$

where  $\mathbb{S}_{\beta\alpha}$  is the surface splitting  $R_\alpha$  and  $R_\beta$ , with normal pointing from  $\alpha$  to  $\beta$ . We also distinguish the outgoing ( $\sum_i dS_i \frac{P_i}{m_i} > 0$ ) and incoming ( $\sum_i dS_i \frac{P_i}{m_i} < 0$ ) fluxes.

Then

$$1 = \Theta(x) + \Theta(-x) \quad \checkmark$$

Obama Hilary.

$$\begin{aligned} \frac{dP_\alpha}{dt} = & - \sum_{\beta} \int_{\mathbb{S}_{\beta\alpha}} \sum_{i=1}^{3N} dS_i \int \frac{dP}{h^{3N}} \frac{P_i}{m_i} \Theta \left( \sum_i dS_i \frac{P_i}{m_i} \right) f(q, P, t) \\ & - \sum_{\beta} \int_{\mathbb{S}_{\beta\alpha}} \sum_{i=1}^{3N} dS_i \int \frac{dP}{h^{3N}} \frac{P_i}{m_i} \Theta \left( - \sum_i dS_i \frac{P_i}{m_i} \right) f(q, P, t) \end{aligned} \quad (10)$$

Redefining the surface  $\mathbb{S}_{\beta\alpha} \rightarrow \mathbb{S}_{\alpha\beta}$  (or flipping the surface normal  $dS \rightarrow -dS$ ) in the second term,

$$\begin{aligned} \frac{dP_\alpha}{dt} = & - \sum_{\beta} \int_{\mathbb{S}_{\beta\alpha}} \sum_{i=1}^{3N} dS_i \int \frac{dP}{h^{3N}} \frac{P_i}{m_i} \Theta \left( \sum_i dS_i \frac{P_i}{m_i} \right) f(q, P, t) \\ & + \sum_{\beta} \int_{\mathbb{S}_{\alpha\beta}} \sum_{i=1}^{3N} dS_i \int \frac{dP}{h^{3N}} \frac{P_i}{m_i} \Theta \left( \sum_i dS_i \frac{P_i}{m_i} \right) f(q, P, t) \end{aligned} \quad (11)$$

In the above  $\Theta(x) = 1 (x > 0)$  and  $0 (x < 0)$  is the step function.

## Transition state theory (TST) approximation

In the TST approximation, we assume that within each  $R_\alpha$  the phase-space distribution is locally in thermal equilibrium weighted to reproduce the current probability, i.e.,

$$f(\mathcal{Q}, \mathcal{P}, t) = \frac{P_\alpha(t)}{P_\alpha(\text{eq})} f_{\text{eq}}(\mathcal{Q}, \mathcal{P}) \quad (\mathcal{Q} \in R_\alpha) \quad (12)$$

where

$$f_{\text{eq}}(\mathcal{Q}, \mathcal{P}) = \frac{1}{Q} e^{-\beta H(\mathcal{Q}, \mathcal{P})} \quad (13)$$

$$Q = \iint_{h^{3N}} e^{-\beta H(\mathcal{Q}, \mathcal{P})} \quad (14a)$$

$$= \sum_\alpha \iint_{R_\alpha} \frac{d\mathcal{Q} d\mathcal{P}}{h^{3N}} e^{-\beta H(\mathcal{Q}, \mathcal{P})} = \sum_\alpha Q_\alpha \quad (14b)$$

which region to pick.

$$P_\alpha(\text{eq}) = \frac{Q_\alpha}{Q} = \frac{1}{Q} \iint_{R_\alpha} \frac{d\mathcal{Q} d\mathcal{P}}{h^{3N}} e^{-\beta H(\mathcal{Q}, \mathcal{P})} \quad (15)$$

and  $\beta = 1/k_B T$  is the inverse temperature.

Substituting the TST approximation (12) in Eq. (11),

$$\begin{aligned} \frac{dP_\alpha}{dt} = & - \sum_\beta \int_{S_{\alpha\beta}} \sum_{i=1}^{3N} dS_i \int \frac{d\mathcal{P}}{h^{3N}} \frac{P_i}{m_i} \Theta\left(\sum_i dS_i \frac{P_i}{m}\right) f_{\text{eq}}(\mathcal{Q}, \mathcal{P}) \frac{P_\alpha(t)}{P_\alpha(\text{eq})} \quad (\oplus \text{ only } R_\alpha \text{ contributes to this integral}) \\ & + \sum_\beta \int_{S_{\beta\alpha}} \sum_{i=1}^{3N} dS_i \int \frac{d\mathcal{P}}{h^{3N}} \frac{P_i}{m_i} \Theta\left(\sum_i dS_i \frac{P_i}{m}\right) f_{\text{eq}}(\mathcal{Q}, \mathcal{P}) \frac{P_\beta(t)}{P_\beta(\text{eq})} \quad (\ominus \text{ only } R_\beta \text{ contributes to this integral}) \end{aligned} \quad (16)$$

(5)

$$\therefore \frac{dP_\alpha}{dt} = - \sum_{\beta} W_{\beta\alpha} P_\alpha(t) + \sum_{\beta} W_{\alpha\beta} P_\beta(t) \quad (17)$$

where

$$W_{\alpha\beta} = \int_{S_{\alpha\beta}} \sum_{i=1}^{3N} dS_i \int \frac{dP}{h^{3N}} \frac{P_i}{m_i} \Theta \left( \sum_i dS_i \frac{P_i}{m_i} \right) \int_{e\beta} \rho(\mathcal{Q}, P) / P_\beta(e\beta) \quad (18a)$$

$$= \int_{S_{\alpha\beta}} \sum_{i=1}^{3N} dS_i \int \frac{dP}{h^{3N}} \frac{P_i}{m_i} \Theta \left( \sum_i dS_i \frac{P_i}{m_i} \right) e^{-\beta H(\mathcal{Q}, P)} / \int_{R_{\alpha\beta}} \frac{d\mathcal{Q} dP}{h^{3N}} e^{-\beta H(\mathcal{Q}, P)} \quad (18b)$$