

Linear-Scaling Quantum Molecular Dynamics

Aiichiro Nakano

Collaboratory for Advanced Computing & Simulations

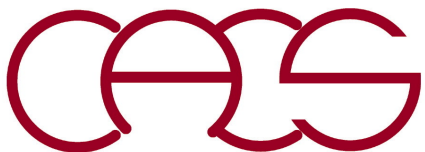
Department of Computer Science

Department of Physics & Astronomy

Department of Quantitative & Computational Biology

University of Southern California

Email: anakano@usc.edu



Quantum Molecular Dynamics (QMD)

$$M_I \frac{d^2}{dt^2} \mathbf{R}_I = - \frac{\partial}{\partial \mathbf{R}_I} E[\{\mathbf{R}_I\}, \psi(\mathbf{r}_1 \dots, \mathbf{r}_N)] \quad (I = 1, \dots, N_{\text{atom}})$$

First molecular dynamics using an empirical interatomic interaction

A. Rahman, *Phys. Rev.* **136**, A405 ('64)



$$\psi(\mathbf{r}_1 \dots, \mathbf{r}_N) \leftarrow \operatorname{argmin} E[\{\mathbf{R}_I\}, \psi(\mathbf{r}_1 \dots, \mathbf{r}_N)]$$

Density functional theory (DFT)

Hohenberg & Kohn, *Phys. Rev.* **136**, B864 ('64)

W. Kohn, *Nobel chemistry prize*, '98

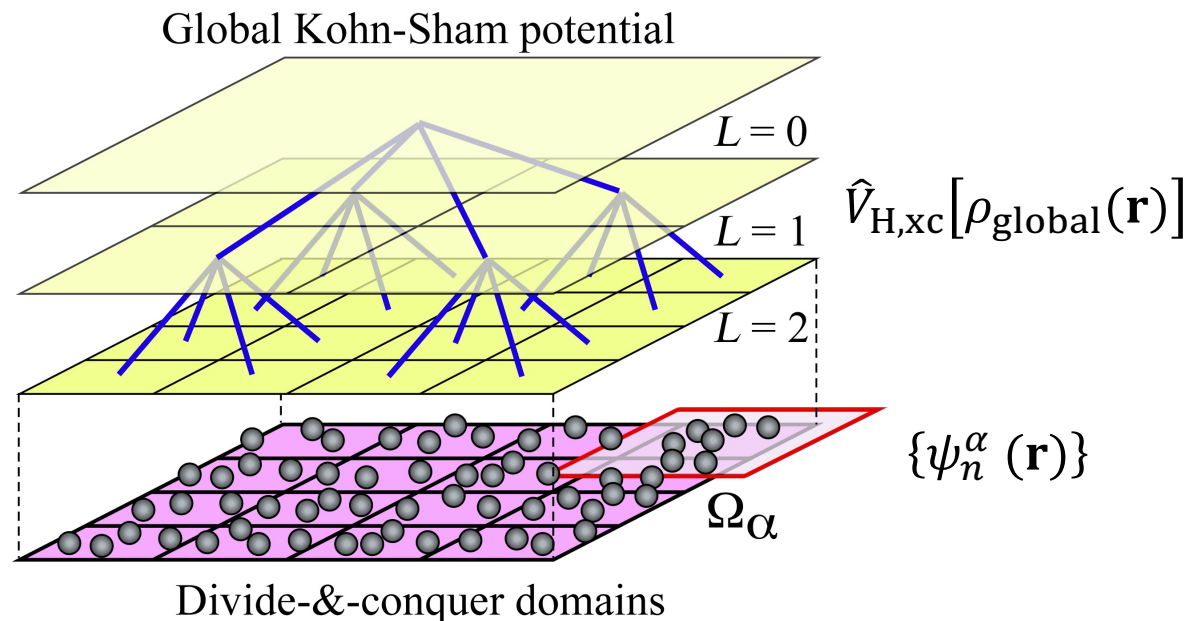
$O(C^N)$ → $O(N^3)$
1 N -electron problem intractable → N 1-electron problems tractable

$$\psi(\mathbf{r}_1 \dots, \mathbf{r}_N) \quad \{\psi_i(\mathbf{r}) | i = 1, \dots, N\}$$

$O(N)$ DFT algorithms

- **Divide-&-conquer DFT** [W. Yang, *Phys. Rev. Lett.* **66**, 1438 ('91); F. Shimojo *et al.*, *Comput. Phys. Commun.* **167**, 151 ('05); *Phys Rev. B* **77**, 085103 ('08); *Appl. Phys. Lett.* **95**, 043114 ('09); *J. Chem. Phys.* **140**, 18A529 ('14)]
- **Quantum nearsightedness principle** [W. Kohn, *Phys. Rev. Lett.* **76**, 3168 ('96); E. Prodan & W. Kohn, *P. Nat. Acad. Sci.* **102**, 11635 ('05)]
- **A recent review** [Bowler & Miyazaki, *Rep. Prog. Phys.* **75**, 036503 ('12)]

Divide-&-Conquer Density Functional Theory



- **Overlapping spatial domains:** $\Omega = \cup_\alpha \Omega_\alpha$
- **Domain Kohn-Sham equations**

Global-local
self-consistent
field (SCF)
iteration

$$\left(-\frac{1}{2}\nabla^2 + \hat{V}_{\text{ion}} + \hat{V}_{H,xc}[\rho_{\text{global}}(\mathbf{r})]\right) \psi_n^\alpha(\mathbf{r}) = \epsilon_n^\alpha \psi_n^\alpha(\mathbf{r})$$

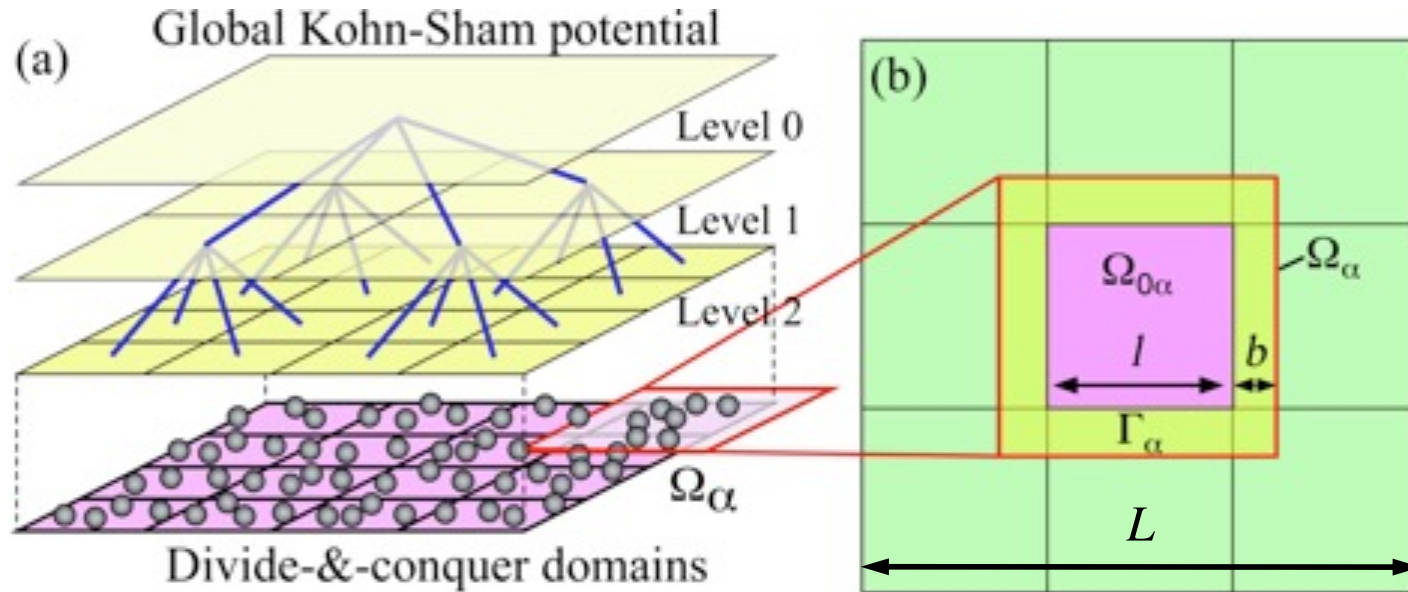
$$\rho_{\text{global}}(\mathbf{r}) = \sum_\alpha p_\alpha(\mathbf{r}) \rho_\alpha(\mathbf{r}) \quad \rho_\alpha(\mathbf{r}) = \sum_n |\psi_n^\alpha|^2 \Theta(\mu - \epsilon_n^\alpha)$$

Domain support function $\sum_\alpha p_\alpha(\mathbf{r}) = 1$

Global chemical potential $N = \int d\mathbf{r} \rho_{\text{global}}(\mathbf{r})$

Optimization of Divide-&-Conquer DFT

- Computational parameters of DC-DFT = domain size (l) + buffer thickness (b)



- Complexity analysis to optimize the domain size l

$$l_* = \operatorname{argmin}(T_{\text{comp}}(l)) = \operatorname{argmin}\left(\left(\frac{L}{l}\right)^3 (l + 2b)^{3\nu}\right) = \frac{2b}{\nu - 1}$$

Per-domain computational complexity of DFT = $O(n^\nu)$: $\nu = 2$ or 3 ($n <$ or $> 10^3$)

- Error analysis: Buffer thickness b is dictated by the accuracy requirement

$$b = \lambda \ln(\max\{|\Delta\rho_\alpha(\mathbf{r})| \mid \mathbf{r} \in \partial\Omega_\alpha\}) / \varepsilon\langle\rho_\alpha(\mathbf{r})\rangle \quad |\Delta\rho|e^{-b/\lambda} = \varepsilon\langle\rho\rangle$$

Decay length

$\rho_\alpha(\mathbf{r}) - \rho_{\text{global}}(\mathbf{r})$

Error tolerance

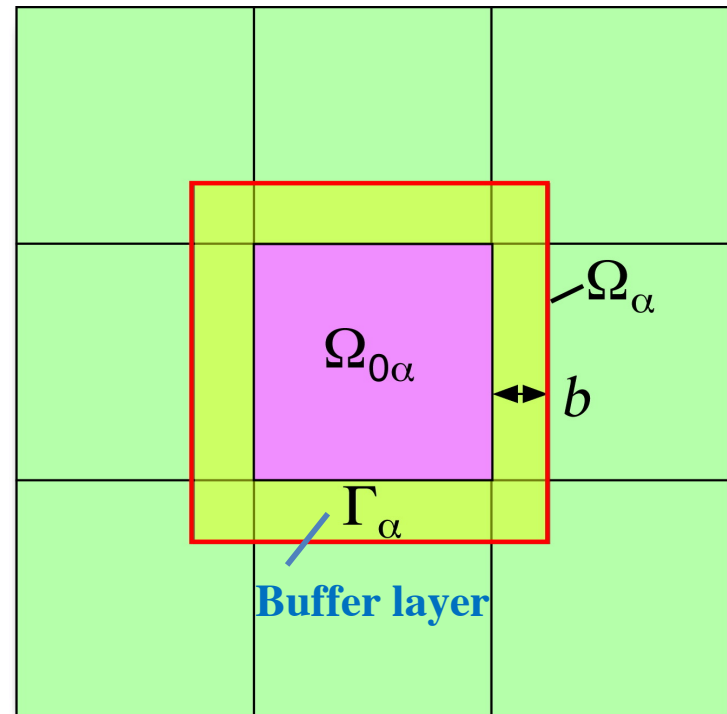
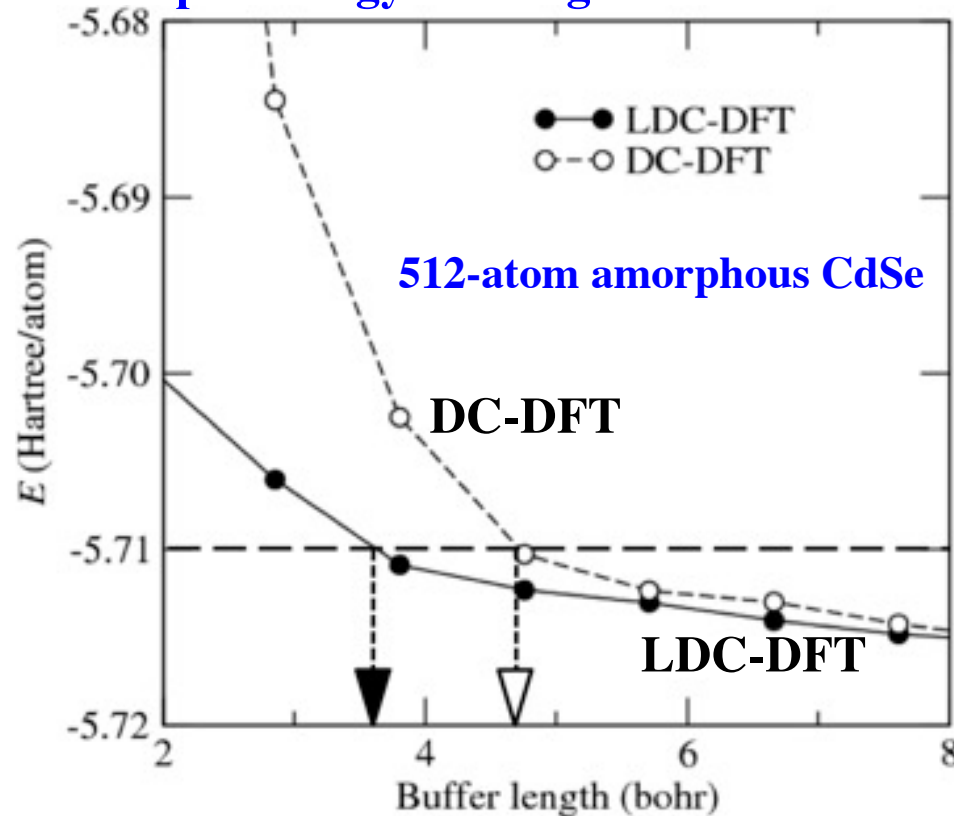
cf. quantum nearsightedness [Kohn, *Phys. Rev. Lett.* **76**, 3168 ('96); Prodan & Kohn, *P. Nat. Acad. Sci.* **102**, 11635 ('05)]

Lean Divide-&-Conquer (LDC) DFT

- Density-adaptive boundary potential to reduce the $O(N)$ prefactor local approximation

$$v_{\alpha}^{\text{bc}}(\mathbf{r}) = \int d\mathbf{r}' \frac{\partial v(\mathbf{r})}{\partial \rho(\mathbf{r}')} \left(\rho_{\alpha}(\mathbf{r}') - \rho_{\text{global}}(\mathbf{r}') \right) \cong \frac{\rho_{\alpha}(\mathbf{r}) - \rho_{\text{global}}(\mathbf{r})}{\xi}$$

- More rapid energy convergence of LDC-DFT compared with nonadaptive DC-DFT

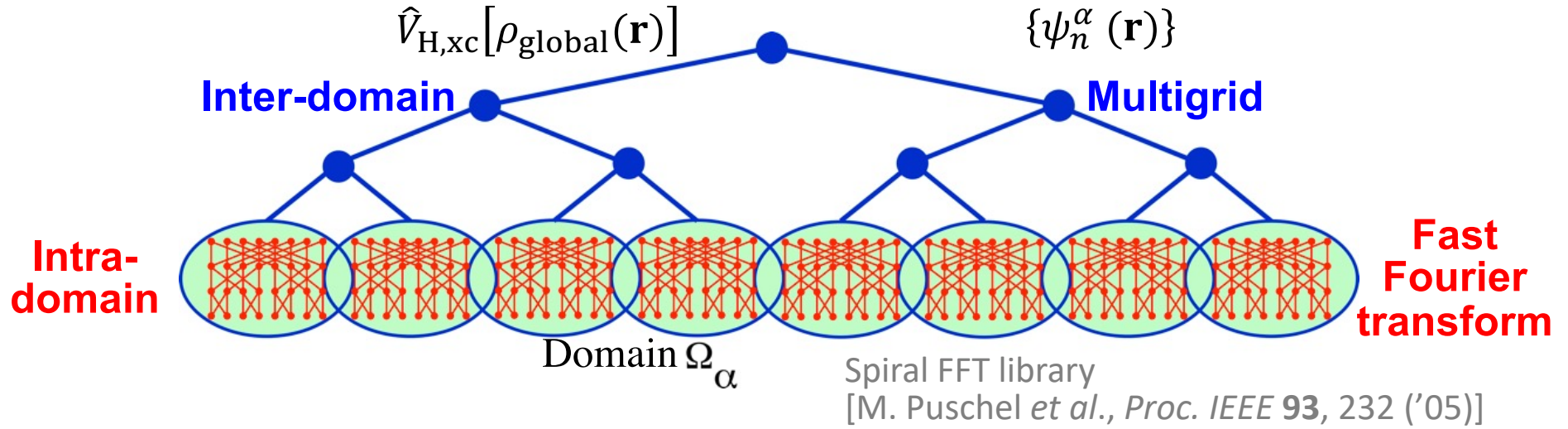


- Factor 2.03 (for $\nu = 2$) \sim 2.89 (for $\nu = 3$) reduction of the computational cost with an error tolerance of 5×10^{-3} a.u. (per-domain complexity: n^{ν})

F. Shimojo *et al.*, *J. Chem. Phys.* **140**, 18A529 ('14);
Phys. Rev. B **77**, 085103 ('08); *Comput. Phys. Commun.* **167**, 151 ('05)

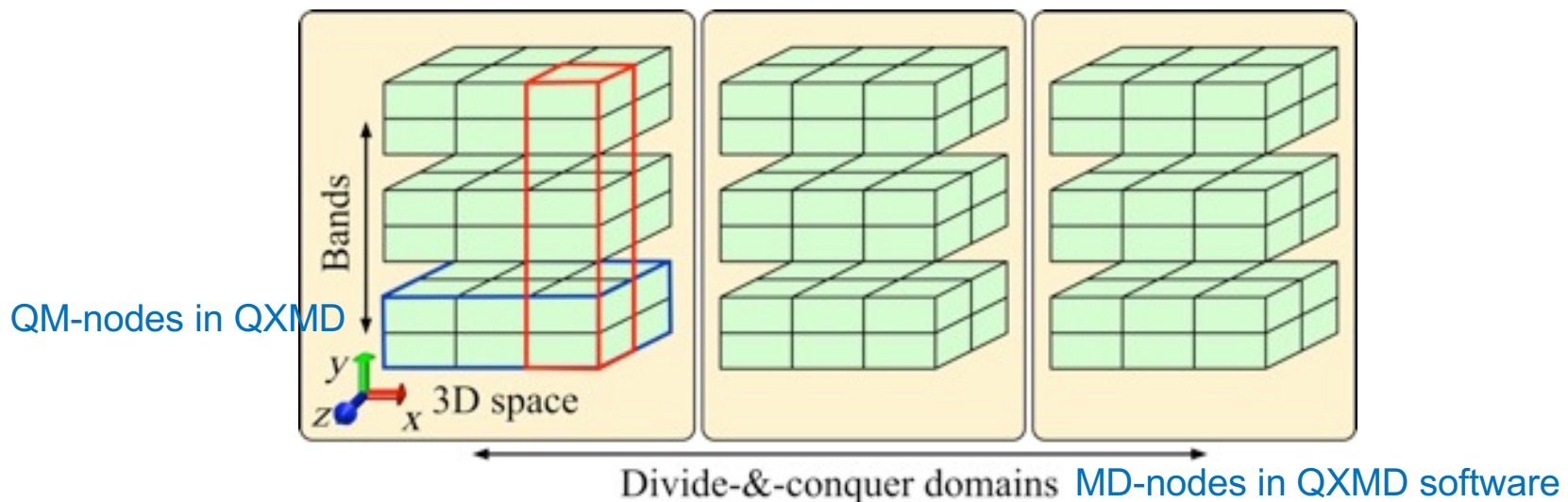
Hierarchical Computing

- Globally scalable (real-space multigrid) + locally fast (plane wave) electronic solver



cf. globally- sparse-yet-locally-dense eigensolver [J. H. Lam et al., Nature Commun. 15, 3479 ('24)]

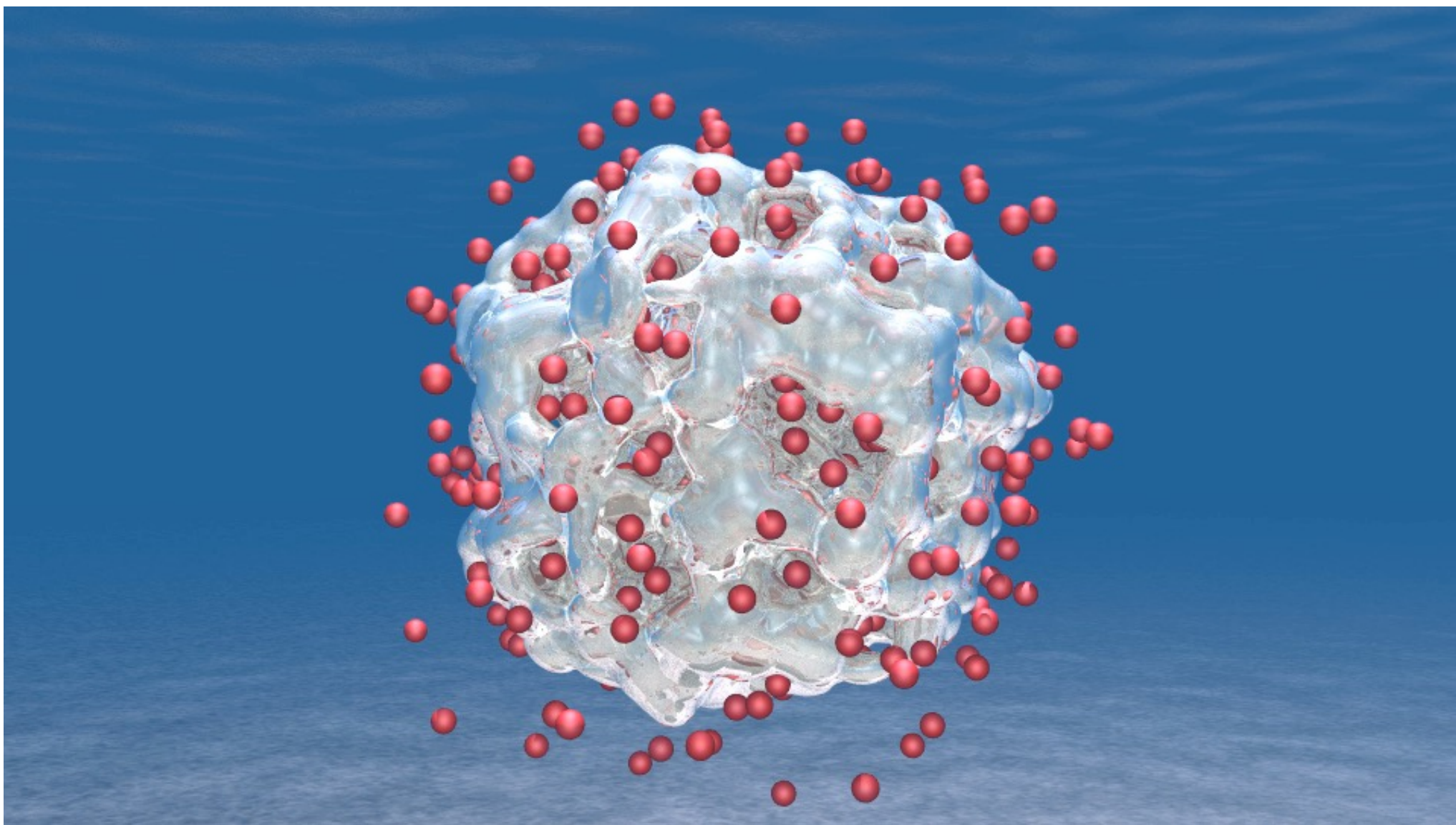
- Hierarchical band (i.e., Kohn-Sham orbital) + space + domain (BSD) decomposition



H₂ Production from Water Using LiAl Particles

16,661-atom QMD simulation of Li₄₄₁Al₄₄₁ in water
on 786,432 IBM Blue Gene/Q cores

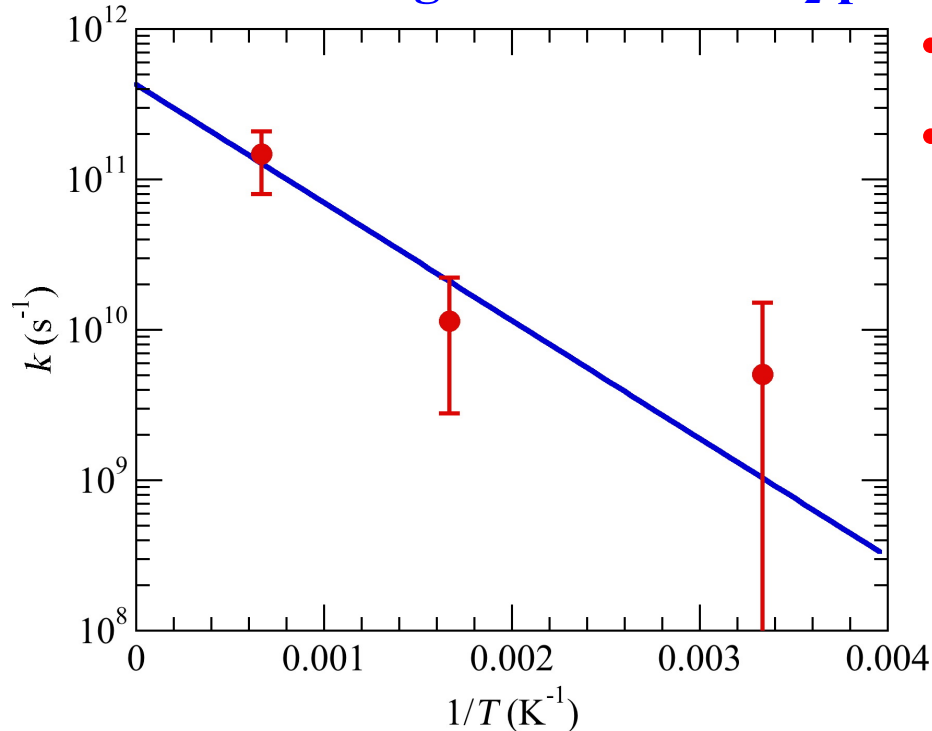
K. Shimamura *et al.*,
Nano Lett. **14**, 4090 ('14)



21,140 time steps (129,208 self-consistent-field iterations)

Rapid & Scalable H₂ Production

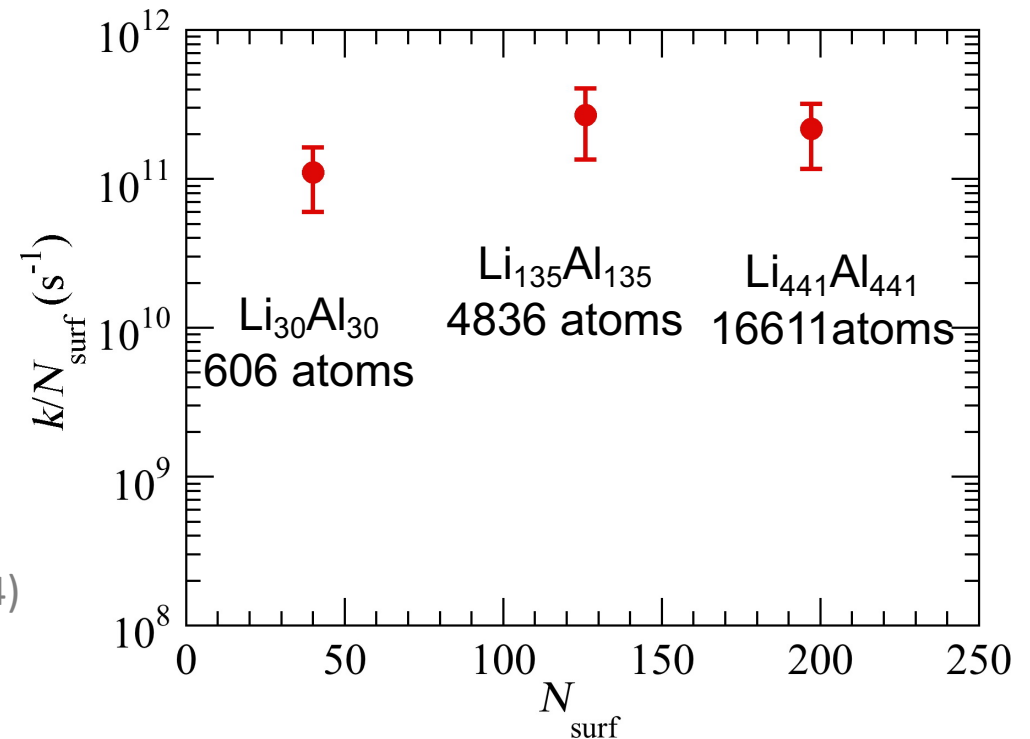
- Orders-of-magnitude faster H₂ production from water than with pure Al



K. Shimamura *et al.*, *Nano Lett.* **14**, 4090 ('14)

K. Nomura *et al.*, *IEEE/ACM SC14* ('14)

- Activation barrier = 0.068 eV
- Reaction rate = 1.04 × 10⁹ (s⁻¹) per LiAl pair at 300 K



- Reaction rate does not decrease for larger particles → industrial scalability

cf. discontinuous Galerkin DFT [Lin *et al.*, *J. Comput. Phys.* **231**, 2140 ('12)]

See notes on (1) [origin of DCDFE](#), (2) [parallel DCDFE](#), (3) [DCDFE data structures](#), (4) [DCDFE algorithm](#), (5) [DC forces](#), & (6) [lean DCDFE](#)

Fermi Operator

- Fermi operator

$$F(\hat{H}) = \frac{2}{\exp\left(\frac{\hat{H} - \mu}{k_B T}\right) + 1}$$

- Projection to the occupied subspace

$$|\psi_{\text{proj}}\rangle = F(\hat{H})|\psi\rangle$$

- The expectation value of any operator A is obtained by

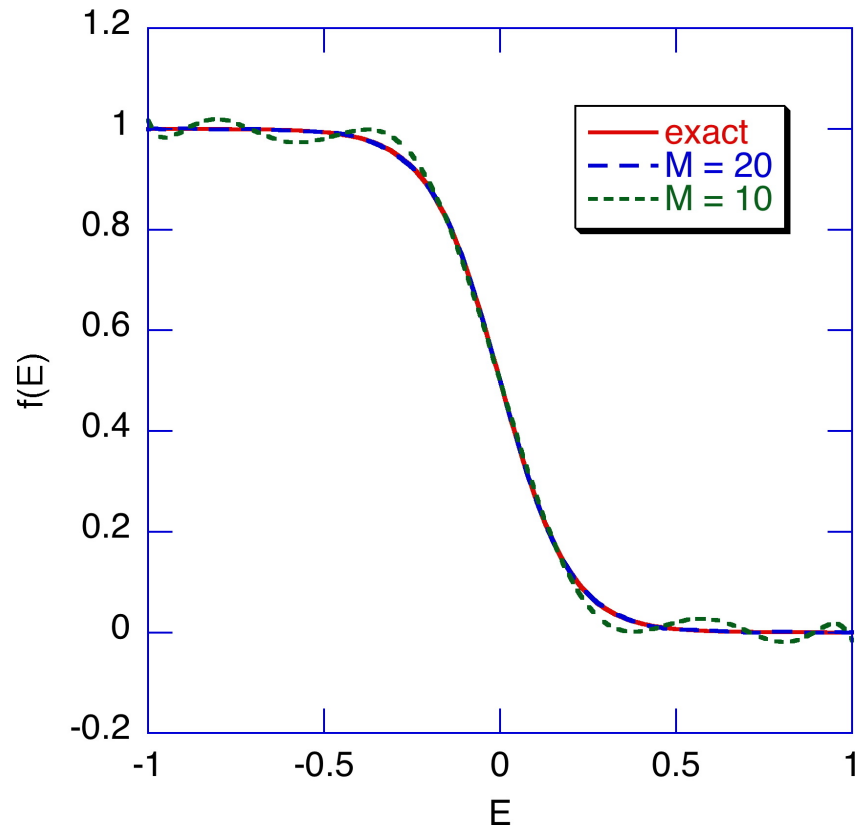
$$\langle \hat{A} \rangle = \text{tr}[\hat{A}\hat{F}]$$

- Widely used in $O(N)$ electronic structure calculations (N = number of electrons) through its sparse representation

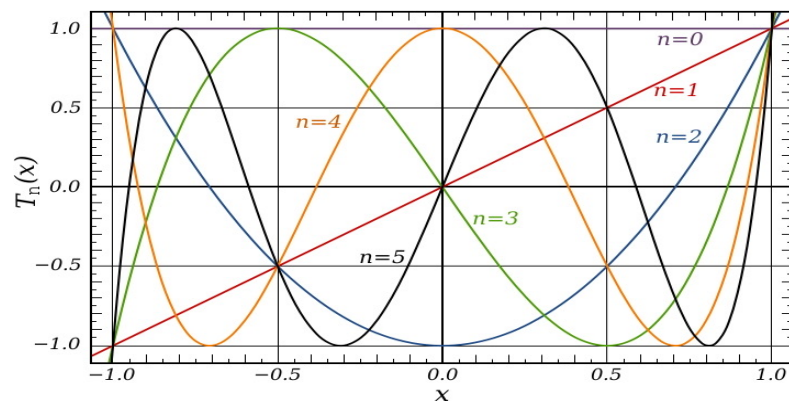
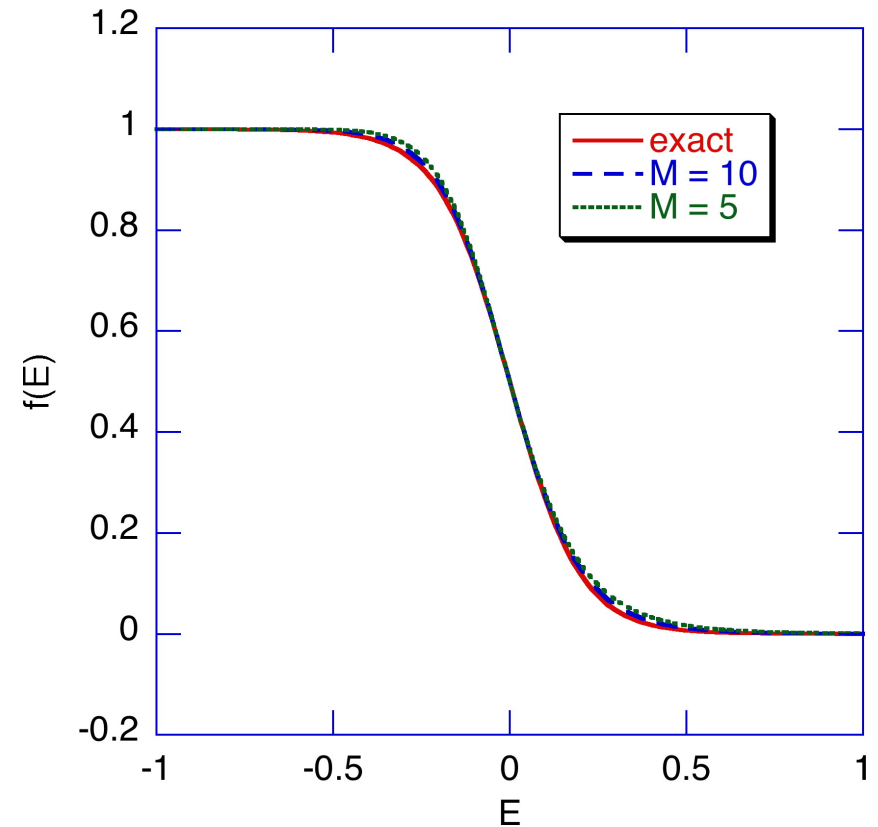
S. Goedecker, *Rev. Mod. Phys.* **71**, 1085 ('99);
K. Tsuruta *et al.*, *Phil. Mag. Lett.* **81**, 357 ('01)

Fermi-Operator Approximations

Chebyshev polynomial



Rational



$$F(\hat{H}) \cong \sum_{\nu=1}^M \frac{R_{\nu}}{\hat{H} - z_{\nu}}$$

$$(\hat{H} - z_{\nu})|\psi_{\text{out}}^{\nu}\rangle = R_{\nu}|\psi_{\text{in}}\rangle$$

Rational Fermi-Operator Expansion

$$f(z) = \frac{1}{\exp(z) + 1} \quad \left(1 + \frac{z}{n}\right)^n \xrightarrow{n \rightarrow \infty} \exp(z)$$

$$\cong \frac{1}{\left(1 + \frac{z}{2M}\right)^{2M} + 1}$$

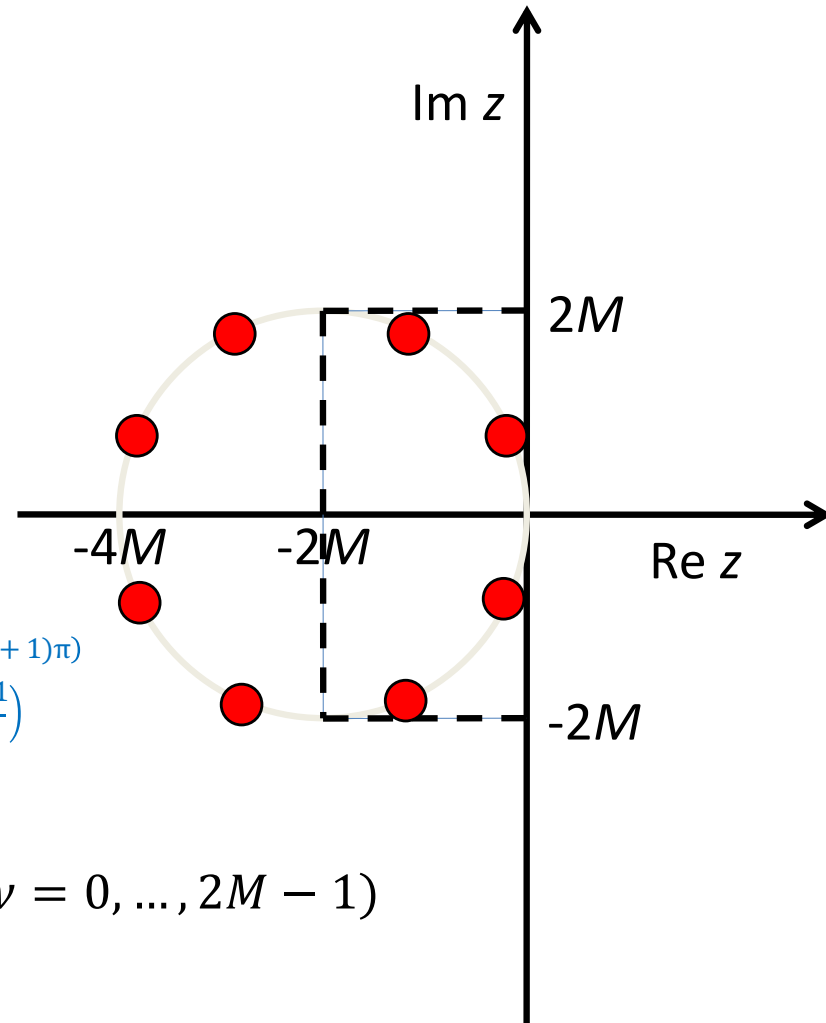
$$\cong \sum_{\nu=0}^{2M-1} \frac{R_{\nu}}{z - z_{\nu}}$$

$$\left(1 + \frac{z_{\nu}}{2M}\right)^{2M} = -1 = \exp(i(2\nu + 1)\pi)$$

$$\therefore 1 + \frac{z_{\nu}}{2M} = \exp\left(i\pi \frac{2\nu + 1}{2M}\right)$$

$$\left\{ \begin{array}{l} \text{Poles} \\ z_{\nu} = 2M \left(\exp\left(i \frac{(2\nu + 1)\pi}{2M}\right) - 1 \right) \\ \\ \text{Residues} \\ R_{\nu} = -\exp\left(i \frac{(2\nu + 1)\pi}{2M}\right) \end{array} \right. \quad (\nu = 0, \dots, 2M - 1)$$

Expand the denominator in $\Delta = z - z^{\nu}$ & keep the linear term



- D. M. C. Nicholson *et al.*, *Phys. Rev. B* **50**, 14686 ('94);
 A. P. Horsfield *et al.*, *Phys. Rev. B* **53**, 12694 ('96);
 L. Lin *et al.*, [J. Phys. Condes. Matter](#) **25**, 1295501 ('13)

$O(N)$ Fermi Operator Expansion

- Truncated expansion of Fermi-operator by Chebyshev polynomial $\{T_p\}$

$$F(\hat{H}) \cong \sum_{p=0}^P c_p T_p(\hat{H})$$

- $O(N)$ algorithm

prepare a basis set of size $O(N)$

(let the size be N for simplicity)

for $l = 1, N$

let an N -dimensional unit vector be $|e_l\rangle = \begin{bmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{bmatrix}_l$

recursively construct the l^{th} column of matrix T_p , $|t_l^p\rangle$, keeping only $O(1)$

off-diagonal elements (*cf.* quantum nearsightedness)

$$\begin{cases} |t_l^0\rangle = |e_l\rangle \\ |t_l^1\rangle = \hat{H}|e_l\rangle \\ |t_l^{p+1}\rangle = 2\hat{H}|t_l^p\rangle - |t_l^{p-1}\rangle \end{cases}$$

build a sparse representation of the l^{th} column of F as

$$|f_l\rangle \cong \sum_{p=0}^P c_p |t_l^p\rangle$$

[See note on Fermi-operator expansion](#)

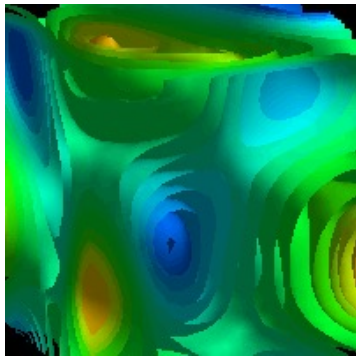
Local Orbital Minimization

$O(N)$ DFT algorithm

- Asymptotic decay of density matrix:
- Localized functions:

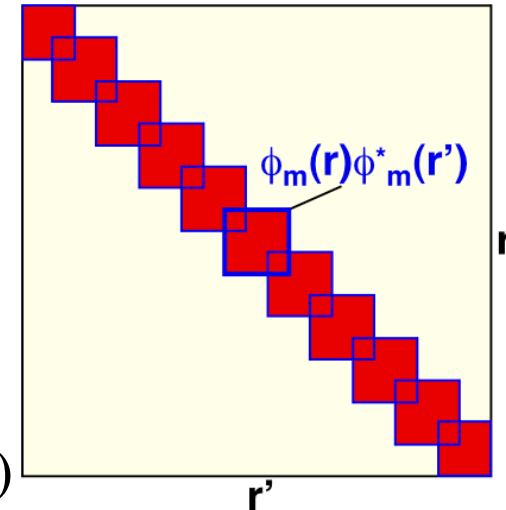
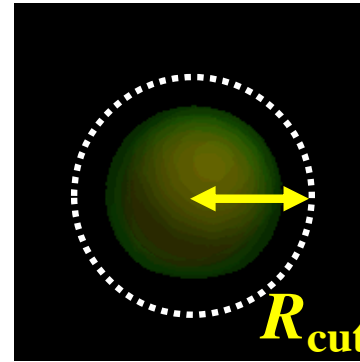
$$\rho(\mathbf{r}, \mathbf{r}') \equiv \sum_{n=1}^{N_{\text{el}}} \psi_n(\mathbf{r}) \psi_n^*(\mathbf{r}')$$

Nearsightedness! $\propto \exp(-C |\mathbf{r} - \mathbf{r}'|)$



$$\psi_n(\mathbf{r}) \xrightarrow{\hspace{10em}} \phi_m(\mathbf{r})$$

$$\phi_m(\mathbf{r}) = \sum_n \psi_n(\mathbf{r}) U_{nm}$$



- Unconstrained minimization:

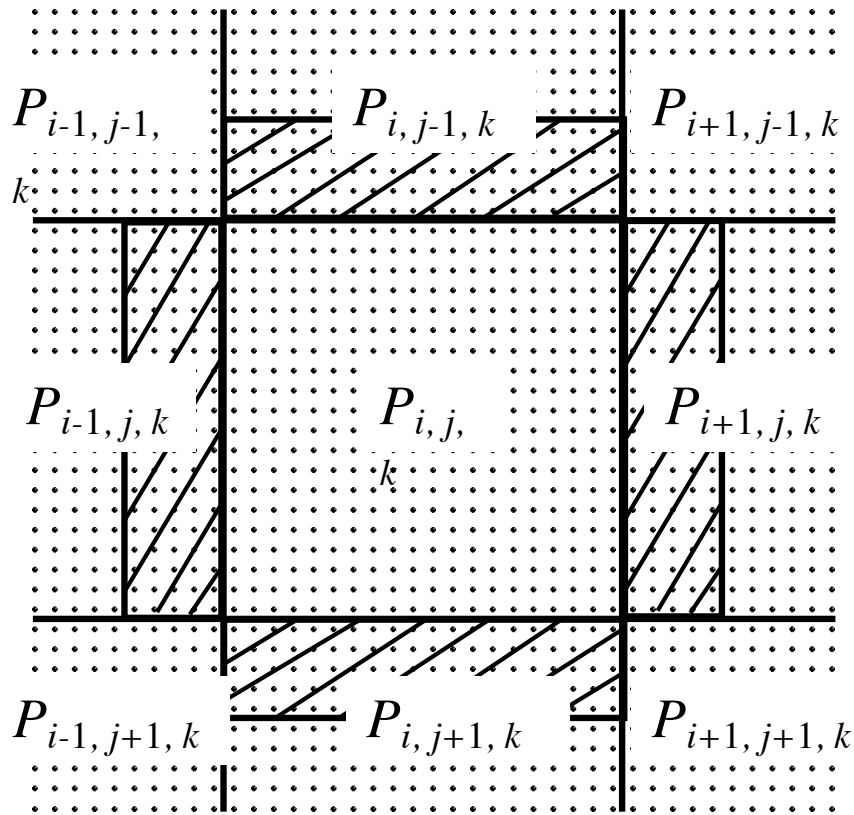
$$\tilde{E}[\{\phi_n\}] = \sum_{m=1}^{N_{\text{wf}}} \sum_{n=1}^{N_{\text{wf}}} \int d^3r \phi_m^*(\mathbf{r}) (H - \eta I) \phi_n(\mathbf{r}) \left(2\delta_{nm} - \int d^3r \phi_n^*(\mathbf{r}) \phi_m(\mathbf{r}) \right) + \eta N_{\text{el}}$$

cf. $O(N^3)$ QR or Cholesky decomposition for orthogonalization

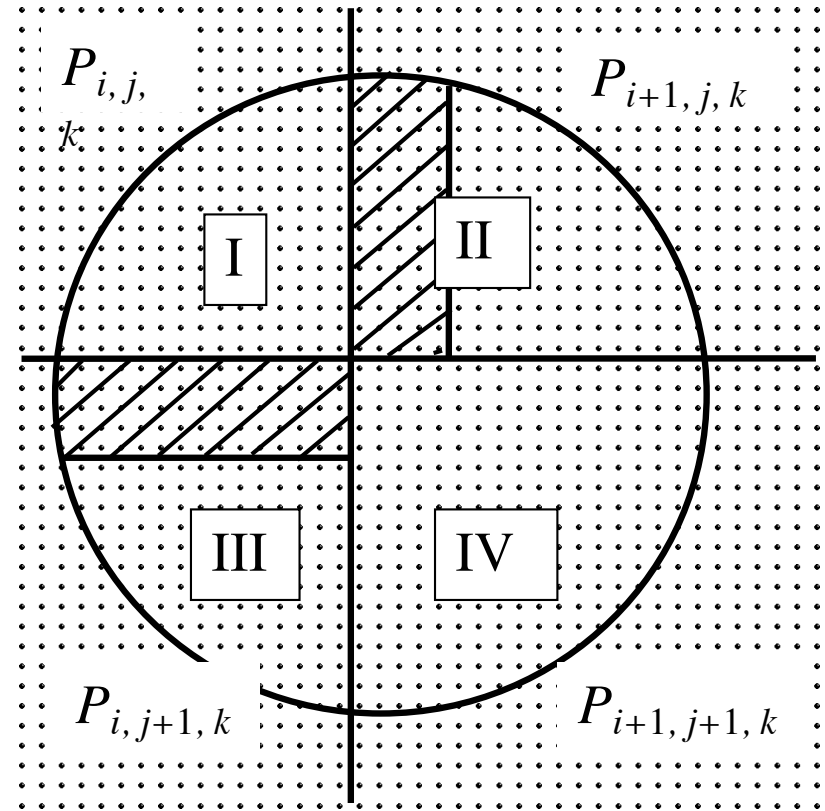
See notes on (1) [nonorthogonal orbitals](#) & (2) [local orbital minimization](#)

Analysis of Parallel DFT Algorithms

Spatial decomposition



DFT



Linear-scaling (LS) DFT

Computation

Communication

DFT

$O(N^3/P)$

$O(N(N/P)^{2/3} + N^2 \log P)$

DC-DFT

$O(N/P)$

$O((N/P)^{2/3})$

Zoo of $O(N)$ DFT Algorithms (Small Subset)

- **Density-matrix minimization (DMM)** [Li *et al.*, *Phys Rev. B* **47**, 10891 ('93); Nunes & Vanderbilt, *ibid.* **50**, 17611 ('94); Hernandez *et al.*, *ibid.* **53**, 7147 ('96)]
See notes on (1) idempotency, (2) orthogonal DMM, (3) nonorthogonal DMM & (4) real-space DMM
- **Filter diagonalization** [Wall & Neuhauser, *J. Chem. Phys.* **102**, 8011 ('95)]
See note on filter diagonalization *cf.* Koshiba's "egg of dream": 小柴昌俊-夢の卵を孵す
- **Green's function (GF) approaches** [Horsfield *et al.*, *Phys. Rev. B* **53**, 12694 ('96); Hoshi *et al.*, *Proc. SCALA16* ('16)]
See (1) slide & notes 1 & 2 on Lanczos tridiagonalization, and (2) note on Pade via Lanczos *cf.* Bethe lattice & Laughlin *et al.*, *Phys. Rev. B* **20**, 5228 ('79)
- **Block tridiagonal divide-&-conquer (DC)** [Gansterer *et al.*, *ACM T. Math. Software* **28**, 45 ('02)]
See note on block tridiagonal DC
- **Embedded-cluster boundary condition (BC): Reduce $O(N)$ prefactor of DCDFT?**
See notes on (1) embedded-cluster BC, (2) orbital BC, (3) multiple scattering & (4) surface GF
cf. **Dynamical mean-field theory (DMFT)** [Georges *et al.*, *Rev. Mod. Phys.* **68**, 13 ('96); Kotliar *et al.*, *ibid.* **78**, 865 ('06)]

Stochastic DFT

- **Project onto a vector space spanned by a set of I stochastic wave functions**

$$\kappa(r)$$

$$\hat{I} = \sum_{\kappa=1}^I |\kappa\rangle\langle\kappa|$$

- **Electron density is obtained by projecting onto the occupied subspace spanned by**

$$|\xi\rangle = \theta(\mu - \hat{H})|\kappa\rangle$$

where the step function θ is approximated by Chebyshev polynomials with μ & \hat{H} being the chemical potential & Kohn-Sham Hamiltonian

- **With sparse representation of \hat{H} , the algorithmic complexity can be sublinear, since the order of Chebyshev expansion to achieve a prescribed accuracy decreases as a function of the number of electrons (self-averaging)**

Baer *et al.*, *Phys. Rev. Lett.* **111**, 106402 ('13); *Annu. Rev. Phys. Chem.* **73**, 255 ('22)

- **Sublinear stochastic algorithm also applies to TDDFT**

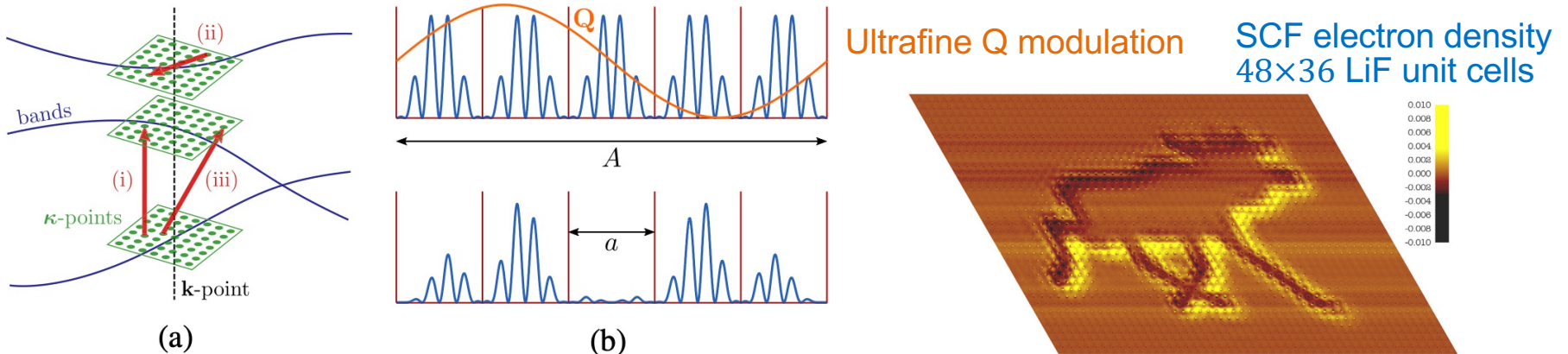
Vlcek *et al.*, *J. Chem. Phys.* **150**, 184118 ('19)

- **Key idea: Projection onto randomized vector space**

cf. randomized linear algebra [Murray *et al.*, *arXiv*: 2302.11474 ('23)]

UltraQ DFT: Recombine in Reciprocal Space

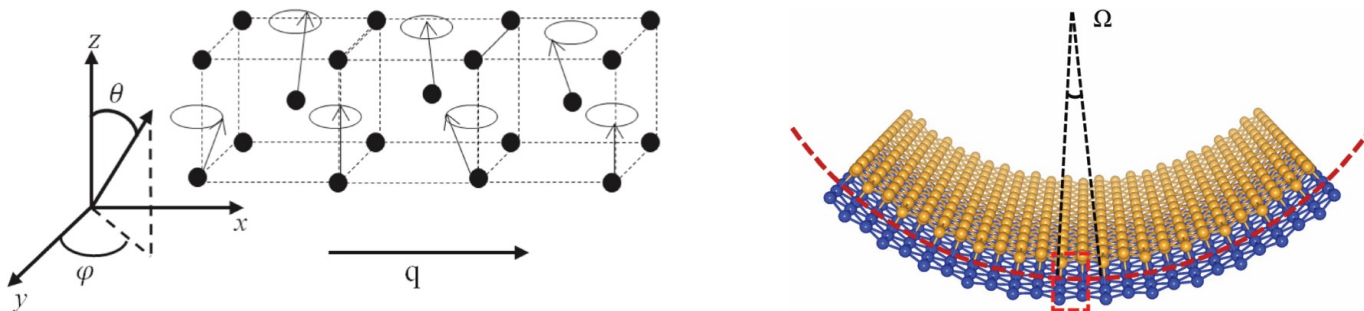
- Additional sum over a finer grid around each k-point in the reciprocal space describes physics at ultra-long length scales (generalized Bloch theorem)



Müller *et al.*, *Phys. Rev. Lett.* **125**, 256402 ('20)

- Generalized Bloch theorem constrains the Hamiltonian invariant under slow spatial modulation (*e.g.*, spiral magnet & bended 2D sheet) *via* Block-like k-point sampling

Prayitno *et al.*, *JPSJ* **87**, 114709 ('18); Shi *et al.*, *PCCP* **22**, 11567 ('20)

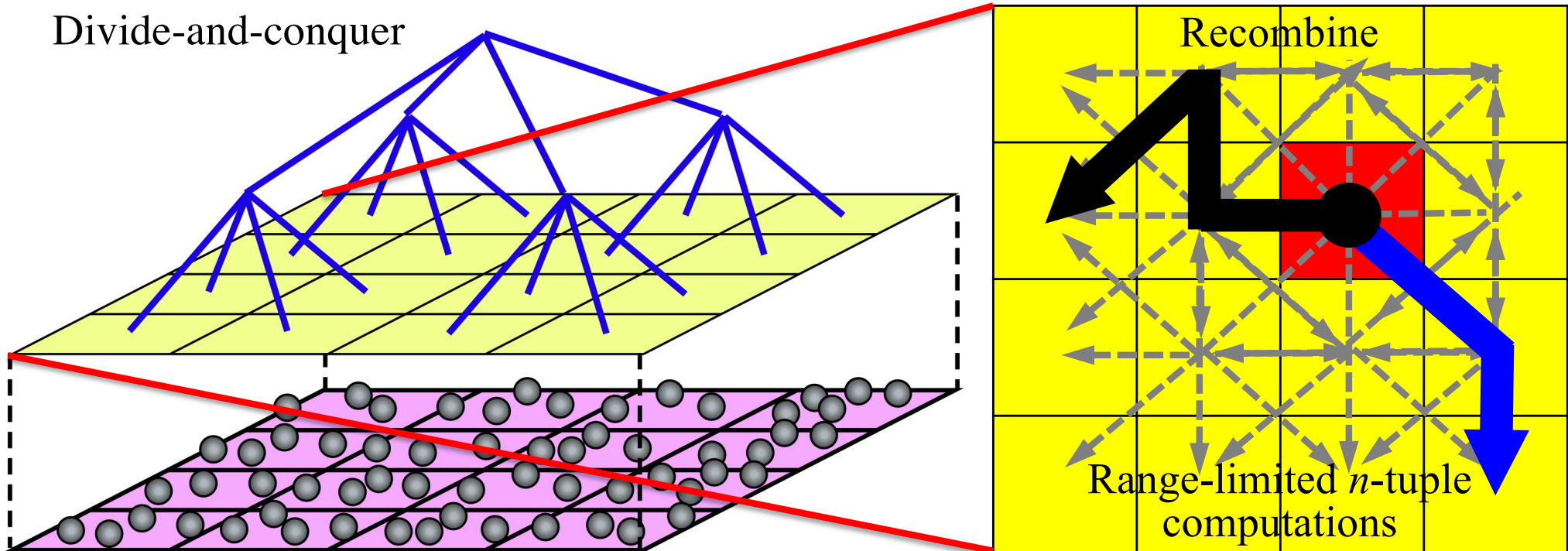


- Wannier interpolation:** Sparse k-point sampling \rightarrow construct smooth Wannier functions in real space (*cf.* tight-binding Hamiltonian) \rightarrow transform back to dense reciprocal space [Wannier-Berri code: Tsirkin, *npjCM* **7**, 33 ('21)]

Related Topics: Research = Directed Random Walk

- **Fragment molecular orbital (FMO) method: fragment dimer & beyond**
Kitaura et al., Chem. Phys. Lett. 312, 319 ('99); Tanaka et al., ibid. 556, 272 ('13)
- **Linearly scaling 3D fragment (LS3DF) method ~ real-space FMO**
Wang et al., Proc. Supercomputing, SC08 ('08)
- **Density matrix renormalization group (DMRG)**
White, *Phys. Rev. B* 48, 10345 ('93)
See notes on (1) singular value decomposition (SVD) & (2) DMRG
- **Tensor networks: modern DMRG — combine with message passing (belief propagation or Bethe lattice) in 3D?**
Chan & Sharma, Annu. Rev. Phys. Chem. 62, 465 ('11)

Next: Divide-Conquer-Recombine (DCR)



M. Kunaseth *et al.*, *ACM/IEEE SC13* ('13)

Globally-informed local DC solutions are used in the recombine phase as compact bases to synthesize global properties in broad applications

F. Shimojo *et al.*, *J. Chem. Phys.* **140**, 18A529 ('14)

K. Nomura *et al.*, *IEEE/ACM SC14* ('14)

T. Razakh *et al.*, *IEEE PDESC* ('24)

Divide-Conquer-Recombine Applications

- **High-order inter-molecular-fragment correlation**

Tanaka *et al.*, *Chem. Phys. Lett.* **556**, 272 ('13)

- **Global frontier orbitals (HOMO & LUMO) via linear combination of domain orbitals (LCDO)**

Yamada *et al.*, *Phys. Rev. B* **95**, 045106 ('17)

It's projection!

Note the projection (P & $Q = 1-P$) — generalized Langevin approach?

Mori, *Prog. Theor. Phys.* **33**, 423 ('65); Kinjo & Hyodo, *Mol. Sim.* **33**, 417 ('07)

- **Dielectric response: Simplified inter-layer coupling in layered materials; equation-of-motion decoupling for density response function, *cf.* linear-response time-dependent DFT in nonadiabatic QMD lecture**

Andersen *et al.*, *Nano Lett.* **15**, 4616 ('15)

- **Electron dynamics: Local plane-wave time-dependent KS equations glued together by Maxwell's equations**

Sato & Yabana *et al.*, *J. Adv. Sim. Sci. Eng.* **1**, 98 ('14)

- **Exciton dynamics: Local nonadiabatic QMD simulations recombined into a global exciton-kinetics graph**

Mou *et al.*, *Appl. Phys. Lett.* **102**, 173301 ('13)

Divide-Conquer-Recombine Approach (1)

- **Dielectric response:** Simplified inter-layer coupling in layered materials; equation-of-motion decoupling for density response function, *cf.* linear-response time-dependent DFT in [nonadiabatic QMD lecture](#)

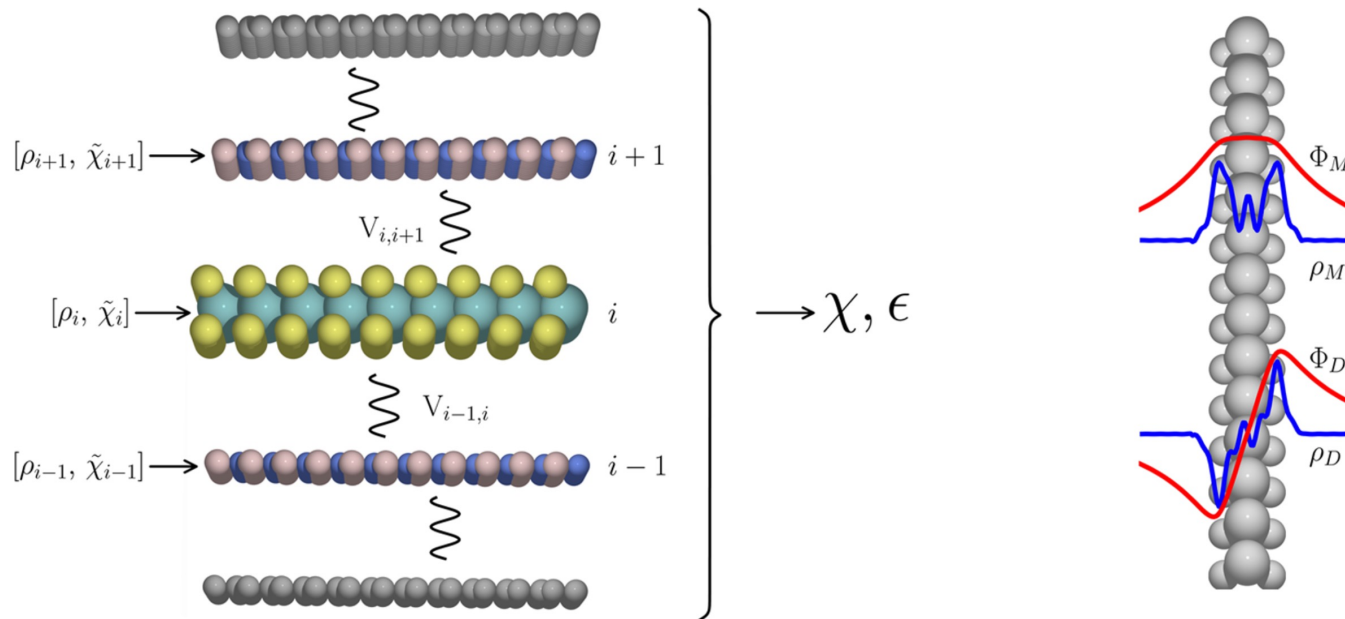
- **Density response function**

$$n_{\text{ind}}(\mathbf{r}, \omega) = \int dr' \chi(\mathbf{r}, \mathbf{r}', \omega) V_{\text{ext}}(\mathbf{r}', \omega)$$

- **Multiscale Dyson equations**

$$\tilde{\chi} = \chi^0 + \chi^0 \tilde{V} \tilde{\chi} \quad \text{Intra-layer (accurate)}$$

$$\chi = \tilde{\chi} + \tilde{\chi} V^{\text{I}} \chi \quad \text{Inter-layer (simplified, 2 DOF)}$$

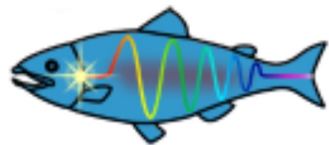
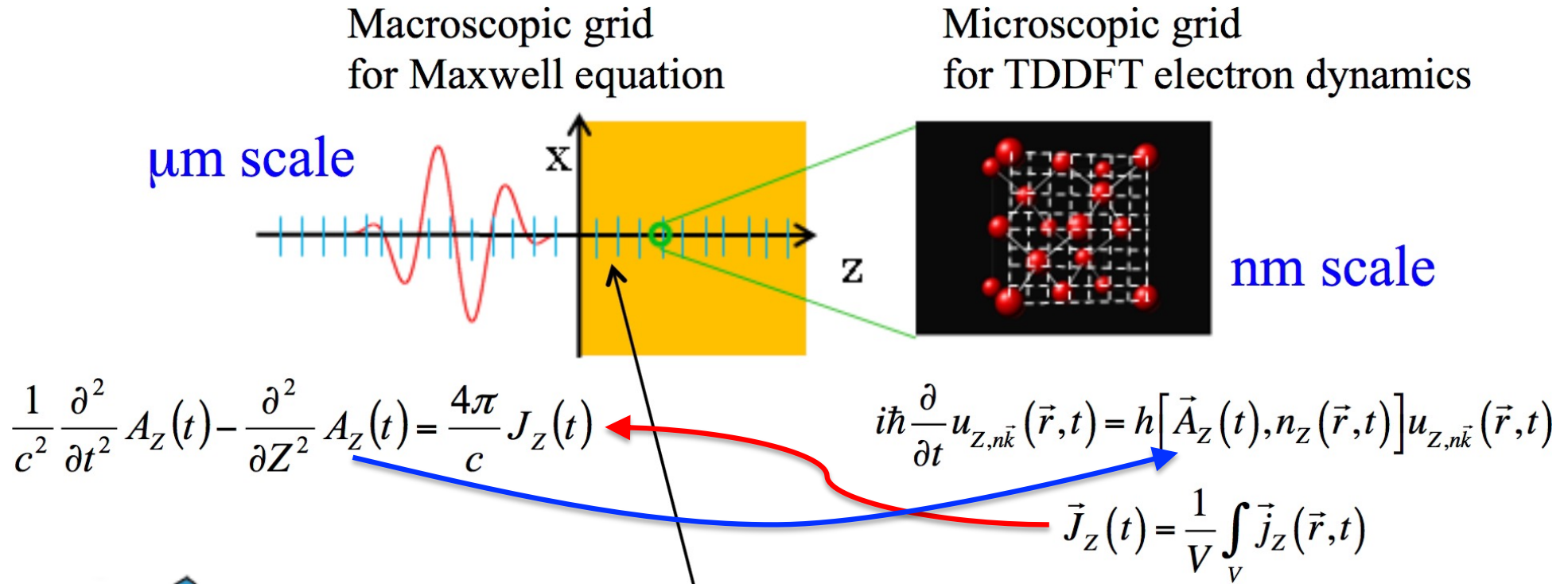


[Andersen et al.,
Nano Lett. 15,
4616 \('15\)](#)

See [Dyson equations in a nutshell](#)

Divide-Conquer-Recombine Approach (2)

- **Electron dynamics — Maxwell + TDDFT: Local plane-wave time-dependent KS equations glued together by global Maxwell's equations**



SALMON

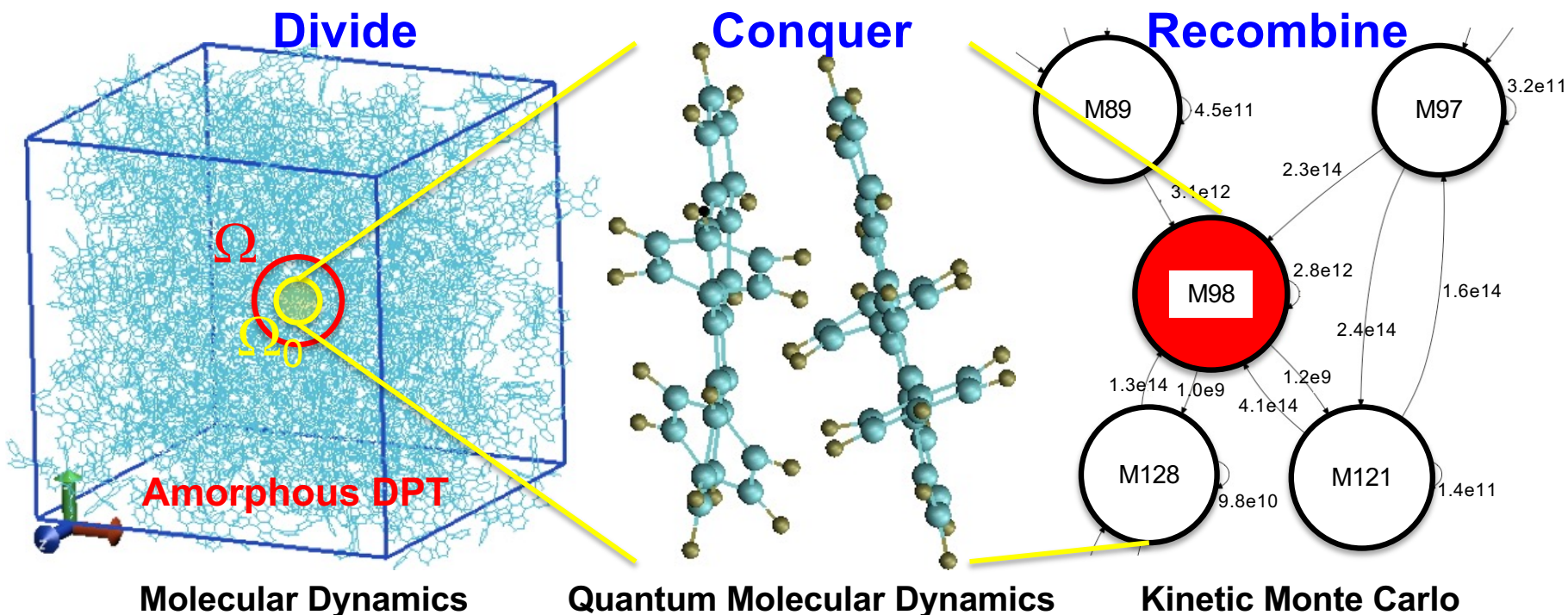
<http://salmon-tddft.jp>

Taken from Prof. Kazuhiro Yabana's presentation

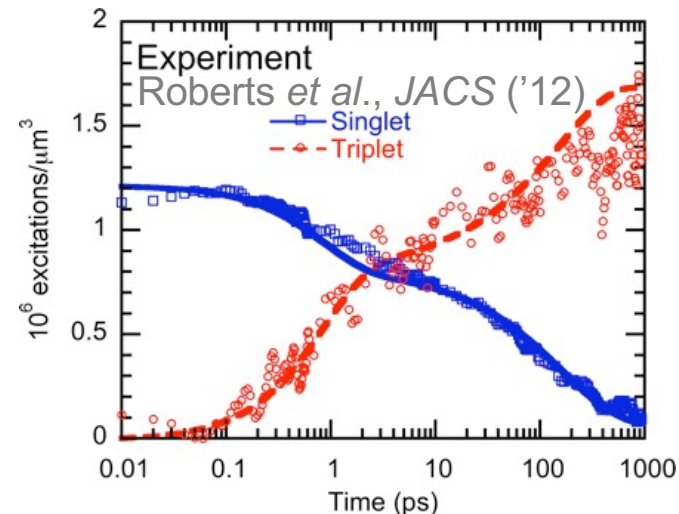
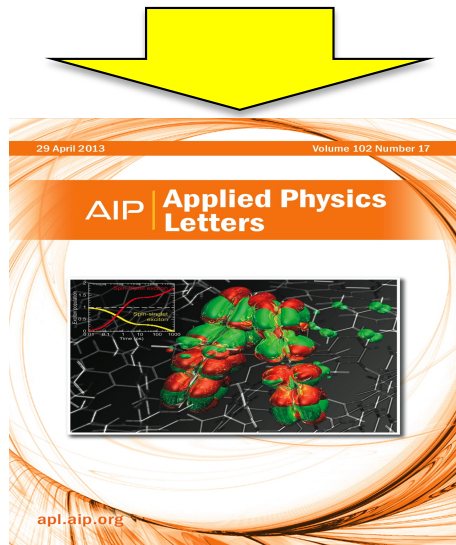
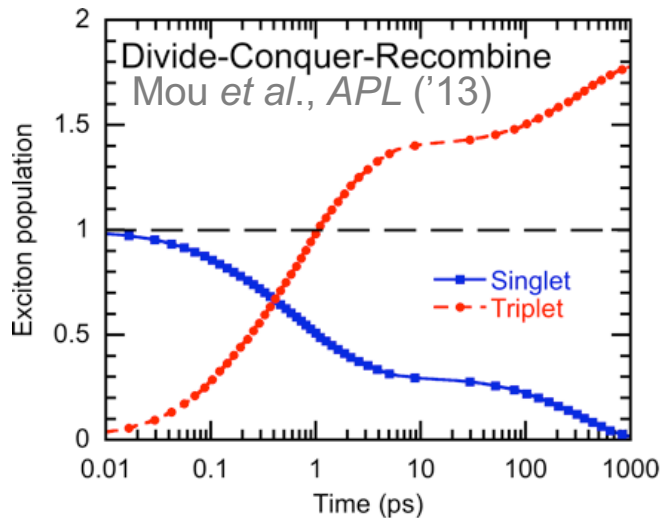
At each macroscopic grid point,
we solve real-time electron dynamics in parallel

[Sato & Yabana et al., J. Adv. Sim. Sci. Eng. 1, 98 \('14\)](#)

Divide-Conquer-Recombine Approach (3)

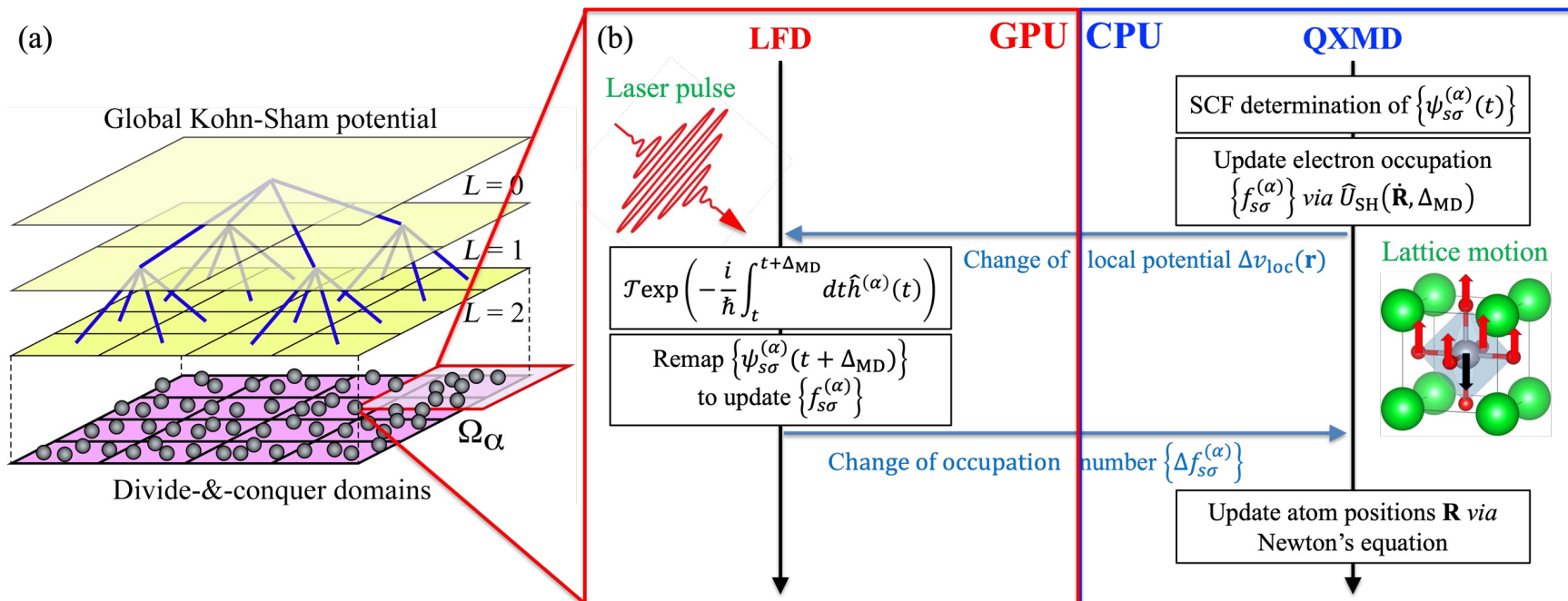


Global kinetic graph: Experimental Length & Time Scales



Divide-Conquer-Recombine Approach (4)

Divide-&-conquer Maxwell-Ehrenfest-surface hopping (DC-MESH) method



- Incorporate multi-physics at appropriate scales & levels of approximation: (1) long-range Hartree coupling of divide-&-conquer (DC) domains; (2) short-range exchange-correlation (e.g., nonlocal xc functional) & light-matter interaction within each domain
- See [DC-MESH lecture](#) [Linker *et al.*, *Science Adv.* **8**, eabk2625 (2022); Razakh *et al.*, *PDSEC* (IEEE, '24)]