

Preconditioned Conjugate Gradient Iteration for Kohn-Sham Orbitals

9/28/03

$$\text{Gram-Schmidt: } |\psi_n\rangle \leftarrow |\psi_n\rangle - \sum_{m=1}^{n-1} |\psi_m\rangle \langle \psi_m | \psi_n \rangle$$

$$\text{normalize: } |\psi_n\rangle \leftarrow |\psi_n\rangle / \sqrt{\langle \psi_n | \psi_n \rangle}$$

$$\text{initial gradient: } R(ir) \leftarrow -\hat{H} \psi_n(ir) + \underbrace{\langle \psi_n | \hat{H} | \psi_n \rangle}_{\equiv h_{pp}} \psi_n(ir) \quad \xrightarrow{\text{HPP}}$$

do $icg = 1$, I_{cgmax}

$$\text{preconditioning: solve } [-\frac{1}{2}\nabla^2 + v(ir)] Z(ir) = R(ir)$$

$$\xrightarrow{\text{GAMMA1}} \gamma_1 = \langle R | Z \rangle$$

if $icg = 1$

$$Y(ir) = Z(ir)$$

else

$$Y(ir) \leftarrow Z(ir) + \frac{\gamma_1}{\gamma_0} Y(ir) \quad \xrightarrow{\text{GAMMA1}}$$

endif

$$\text{Gram-Schmidt: } |Y\rangle \leftarrow |Y\rangle - \sum_{m=1}^n |\psi_m\rangle \langle \psi_m | Y \rangle$$

$$\text{normalize: } |Y\rangle \leftarrow |Y\rangle / \sqrt{\langle Y | Y \rangle}$$

$$\text{calculate } h_{py} = \underbrace{2 \langle \psi_n | \hat{H} | Y \rangle}_{\text{HPSI}} \quad h_{yy} = \langle Y | \hat{H} | Y \rangle \quad \xrightarrow{\text{HYY}}$$

$$\cos 2\theta_{min} = \frac{h_{pp} - h_{yy}}{\sqrt{(h_{pp} - h_{yy})^2 + h_{py}^2}} \quad ; \quad \sin 2\theta_{min} = \frac{h_{py}}{\sqrt{(h_{pp} - h_{yy})^2 + h_{py}^2}} \quad \text{ANORM}$$

$$\cos \theta_{min} = \sqrt{\frac{1 + \cos 2\theta_{min}}{2}} \quad ; \quad \sin \theta_{min} = \frac{\sin 2\theta_{min}}{2 \cos \theta_{min}}$$

$$E_{min} = \frac{h_{pp} + h_{yy}}{2} - \frac{\sqrt{(h_{pp} - h_{yy})^2 + h_{py}^2}}{2}$$

DELE

$$\Delta = (E_{min} - h_{pp}) / |h_{pp}|$$

if $\Delta > 0$

exit // discard the attempt, keep the old ψ_n & h_{pp}

else // accept the attempt, update ψ_n & h_{pp}

$$\psi_n(r) \leftarrow \cos\theta_{\min} \psi_n(r) + \sin\theta_{\min} Y(r)$$

$$R(r) \leftarrow -\hat{h}(r) \psi_n(r) + \langle \psi_n | \hat{h} | \psi_n \rangle \psi_n(r)$$

$\hookrightarrow H_{PP}$

if $|\Delta| < \epsilon$ exit

endif

$$\gamma_0 \leftarrow \gamma_1$$

enddo

$$E_n \leftarrow h_{pp}$$

$$\text{residue}_n \leftarrow \langle R | R \rangle$$

Multigrid Preconditioning

9/30/03

- Error vector

$$\left[-\frac{1}{2}\nabla^2 + v(r)\right] \psi(r) - \underbrace{\langle \psi | h | \psi \rangle}_{\epsilon} \psi(r) = -g(r) \quad (1)$$

$$\left[-\frac{1}{2}\nabla^2 + v(r)\right] \psi^*(r) - \underbrace{\langle \psi^* | h | \psi^* \rangle}_{\epsilon^*} \psi^*(r) = 0 \quad (2)$$

-)

$$\left[-\frac{1}{2}\nabla^2 + v(r)\right] [\psi^*(r) - \psi(r)] - \underbrace{\epsilon^* \psi^*(r) + \epsilon \psi(r)}_{\simeq \epsilon [\psi^*(r) - \psi(r)]} = g(r) \quad (3)$$

$$\left[-\frac{1}{2}\nabla^2 + v(r) - \epsilon\right] [\psi^*(r) - \psi(r)] = g(r) \quad (4)$$

$$\therefore \psi(r) \leftarrow \psi(r) + z(r) \quad (5)$$

where the error vector $z(r)$ is

$$\left[-\frac{1}{2}\nabla^2 + v(r) - \underbrace{\langle \psi | h | \psi \rangle}_{\text{HPP}}\right] \underbrace{z(r)}_{ZV} = \underbrace{g(r)}_{RV} \quad (6)$$

- Relaxation

$$\frac{\partial z(r)}{\partial t} = -\left[-\frac{1}{2}\nabla^2 + v_{mg}(r)\right] z(r) + g(r) \quad (7)$$

Let's discretize

$$z(r) \rightarrow z(i\overset{\rightarrow}{\Delta x}, j\overset{\rightarrow}{\Delta y}, z\overset{\rightarrow}{\Delta z})$$

Equation (7) becomes

$$\frac{z_{ijk}^{new} - z_{ijk}}{\Delta t} = \frac{1}{2} \left[\frac{z_{i-1jk} - 2z_{ijk} + z_{i+1jk}}{\Delta x^2} + \frac{z_{ij-1k} - 2z_{ijk} + z_{ij+1k}}{\Delta y^2} + \frac{z_{ijk-1} - 2z_{ijk} + z_{ijk+1}}{\Delta z^2} \right] - v_{ijk}^{mg} z_{ijk} + g_{ijk}$$

$$z_{ijk} \leftarrow z_{ijk} + \left(\frac{\Delta t}{2\Delta x^2} \right) (z_{i-1jk} - 2z_{ijk} + z_{i+1jk}) + \left(\frac{\Delta t}{2\Delta y^2} \right) (z_{ij-1k} - 2z_{ijk} + z_{ij+1k}) + \left(\frac{\Delta t}{2\Delta z^2} \right) (z_{ijk-1} - 2z_{ijk} + z_{ijk+1}) - \Delta t v_{ijk}^{mg} z_{ijk} + \Delta t g_{ijk} \tag{8}$$

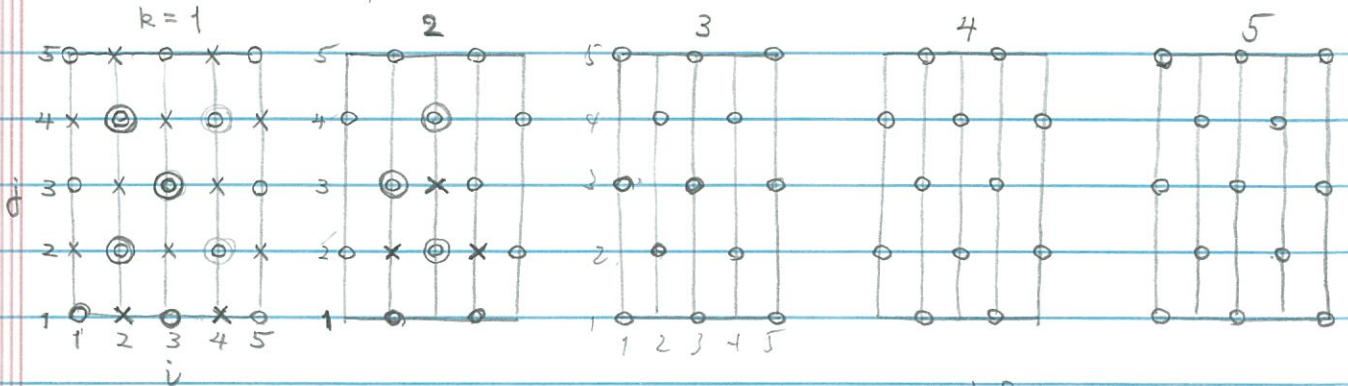
Use $\Delta t = \frac{\alpha}{3} \min(\Delta x^2, \Delta y^2, \Delta z^2)$ (see p.6) \rightarrow ALMG \rightarrow DTMG \rightarrow VDT (MSHSZ, MSHSZ, MSHSZ) Δt very big on coarse grid? $\tag{9}$

(Coarsest solution)

$$\left(\frac{1}{\Delta x^2} + \frac{1}{\Delta y^2} + \frac{1}{\Delta z^2} \right) z_{222} - v_{222}^{mg} z_{222} + g_{222} = 0$$

$$z_{222} = \frac{g_{222}}{\frac{1}{\Delta x^2} + \frac{1}{\Delta y^2} + \frac{1}{\Delta z^2} + v_{222}^{mg}} = \sum_{\alpha=1}^3 \frac{z_{\alpha}^2}{d_k(\alpha, \max dpth)} \bar{z}(\bar{v}(\max dpth)) - \text{eshft}$$

Red-black Gauss-Seidel iteration



Red: \circ $i+j+k = \text{odd}$

Black: \times $i+j+k = \text{even}$

do $k = 2, N_x - 1$

do $j = 2, N_y - 1$

if $(k+j) \bmod 2 = 0$

if $(k+j) \bmod 2 = 0$

$i_{bgn} = 3$

$i_{bgn} = 2$

$i_{end} = N_x - 2$

$i_{end} = N_x - 1$

else

else

$i_{bgn} = 2$

$i_{bgn} = 3$

$i_{end} = N_x - 1$

$i_{end} = N_x - 2$

endif

endif

do $i = i_{bgn}, i_{end}$ step 2

update Z_{ijk}

enddo_j

enddo_j

enddo_k

○ - Multigrid V cycle

\rightarrow Hamiltonian at depth d

$$H_d \bar{Z}_d - g_d \equiv -\delta_d \quad \delta_d \rightarrow \text{defect} \quad (10)$$

$$\rightarrow H_d \bar{Z}_d^* - g_d = 0 \quad (11)$$

$$H_d (\underbrace{\bar{Z}_d^* - \bar{Z}_d}_{U_d}) = \delta_d$$

$$\therefore \bar{Z}_d^* \leftarrow \bar{Z}_d + \underbrace{U_d}_{\rightarrow \text{error}} \quad (12)$$

where

$$H_d U_d = \delta_d$$

(Single V cycle)

Relax $H_d \bar{Z}_d = g_d$

Compute residual $\delta_d = -H_d \bar{Z}_d + g_d$

Restrict $\delta_d \rightarrow \delta_{d+1}$

Solve $H_{d+1} U_{d+1} = \delta_{d+1}$

Interpolate $U_{d+1} \rightarrow U_d$

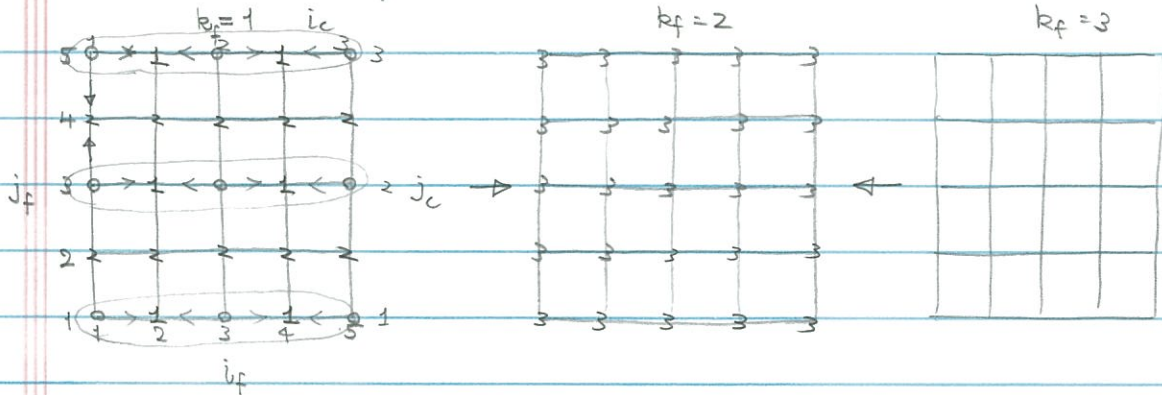
$\bar{Z}_d \leftarrow \bar{Z}_d + U_d$

Relax $H_d \bar{Z}_d = g_d$

$$\delta_{ijk} = \left[\frac{Z_{i-1jk} - 2Z_{ijk} + Z_{i+1jk}}{2\Delta_x^2}, \frac{Z_{ij-1k} - 2Z_{ijk} + Z_{ij+1k}}{2\Delta_y^2}, \frac{Z_{ijk-1} - 2Z_{ijk} + Z_{ijk+1}}{2\Delta_z^2} \right]$$

$$- (v_{ijk} - \epsilon) Z_{ijk} + g_{ijk}$$

- Bilinear interpolation



- Gauss-Seidel for $\Delta x = \Delta y = \Delta z = \Delta$, $\alpha = 1$

$$\bar{z}_{ijk} \leftarrow \bar{z}_{ijk} + \frac{1}{6}(\bar{z}_{i-1} - 2\bar{z} + \bar{z}_{i+1}) + \frac{1}{6}(\bar{z}_{j-1} - 2\bar{z} + \bar{z}_{j+1}) + \frac{1}{6}(\bar{z}_{k-1} - 2\bar{z} + \bar{z}_{k+1}) - \frac{\Delta^2}{3}(v-e)z + \frac{\Delta^2}{3}g$$

$$\therefore \bar{z}_{ijk} \leftarrow \frac{1}{6}(\bar{z}_{i-1jk} + \bar{z}_{i+1jk} + \bar{z}_{ij-1k} + \bar{z}_{ij+1k} + \bar{z}_{ijk-1} + \bar{z}_{ijk+1}) - \frac{\Delta^2}{3}(v_{ijk} - h_{pp}) + \frac{\Delta^2}{3}g_{ijk}$$

Presmoothing start with $\bar{z}_{ijk} \leftarrow 0$

$$\therefore \bar{z}_{ijk} = -\frac{\Delta^2}{3}(v_{ijk} - h_{pp}) + \frac{\Delta^2}{3}g_{ijk}$$

— MG array size

For res, rbs, u, v

$$M = 4 \sum_{l=1}^L [(2^l + 1)^3 + 1]$$

\downarrow MEMLEN \downarrow array size \downarrow size info

$$= 4 \sum_{l=1}^L (8^l + 3 \cdot 4^l + 3 \cdot 2^l + \underbrace{1 + 1})$$

$$= 4 \left[\frac{8(8^L - 1)}{8 - 1} + 3 \cdot \frac{4(4^L - 1)}{4 - 1} + 3 \cdot \frac{2(2^L - 1)}{2 - 1} + 2L \right]$$

$$= 4 \left[\frac{8}{7} (8^L - 1) + 4(4^L - 1) + 6(2^L - 1) + 2L \right]$$

- Note on Δt for relaxation

For coarser levels, $\min(\Delta_x^2, \Delta_y^2, \Delta_z^2)$ is large, and $\Delta t(v_{ijk} - h_{pp})$ may become too large!

Experiment on 10/1/03 shows $\alpha = 10^{-2}$ & NPRE=NPOST=1000 very quickly converges to the answer!

(Recipe)

$$\Delta t = \min \left(\overset{\alpha}{\frac{1}{3}} \min(\Delta_x^2, \Delta_y^2, \Delta_z^2), \frac{1}{\max |v_{ijk} - h_{pp}|} \right)$$

\downarrow
 compute in mginit

Trace of mglin (slvsml ~~res~~ → rhs connected)

12/30/03

$N_x = N_y = N_z = 17$

dpth	mesh
0	$17 = 2^4 + 1$
1	$9 = 2^3 + 1$
2	$5 = 2^2 + 1$
3	$3 = 2^1 + 1$

```

0 copy rhs0 ← u
0 u0 ← 0
0 relax u0 ← u0 & rhs0 & v0
0 resid res0 ← u0 & rhs0 & v0
1 rstrct rhs1 ← res0(j-1)
1 u1 ← 0
1 relax u1 ← u1 & rhs1 & v1
1 resid res1 ← u1 & rhs1 & v1
2 rstrct rhs2 ← res1(j-1)
2 u2 ← 0
2 relax u2 ← u2 & rhs2 & v2
2 resid res2 ← u2 & rhs2 & v2
3 rstrct rhs3 ← res2(j-1)
3 slvsml u3 ← rhs3 & v3
2 addint u2 ← u2 + interp u3(j+1)
2 relax u2 ← u2 & rhs2 & v2
1 addint u1 ← u1 + interp u2(j+1)
1 relax u1 ← u1 & rhs1 & v1
0 addint u0 ← u0 + interp u1(j+1)
0 relax u0 ← u0 & rhs0 & v0
    
```

maxdpth = 3