

Time-dependent Density Functional Theory:

Fundamentals

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§. System

$$H(t) = T + U + V(t) \quad (1)$$

$$\left\{ \begin{array}{l} T = \sum_{\sigma} \int d^3r \psi_{\sigma}^{\dagger}(r) (-\hbar^2 \nabla^2 / 2m) \psi_{\sigma}(r) \end{array} \right. \quad (2)$$

$$\left\{ \begin{array}{l} U = \frac{1}{2} \sum_{\sigma\sigma'} \int d^3r \int d^3r' \psi_{\sigma}^{\dagger}(r) \psi_{\sigma'}^{\dagger}(r') \mathcal{U}(r-r') \psi_{\sigma'}(r') \psi_{\sigma}(r) \end{array} \right. \quad (3)$$

$$\left\{ \begin{array}{l} V(t) = \int d^3r \rho(r) V(r,t) \end{array} \right. \quad (4)$$

where $\rho(r) = \sum_{\sigma} \psi_{\sigma}^{\dagger}(r) \psi_{\sigma}(r)$.

We define a map G such that

$$G: V(r,t) \longmapsto n(r,t) = \langle \psi(t) | \rho(r) | \psi(t) \rangle \quad (5)$$

where $|\psi(t)\rangle$ is the state which satisfies

$$[i\hbar \partial/\partial t - H(t)] |\psi(t)\rangle = 0, \quad |\psi(t_0)\rangle = |\psi_0\rangle \quad (6)$$

§. Invertibility Theorem

If $V(r,t) - V'(r,t) \neq C(t)$ and can be expanded into a Taylor series around t_0 , then $n(r,t) \neq n'(r,t)$, i.e., the map $\{G | V(r,t) + C(t) \longmapsto n(r,t)\}$ is one-to-one and is invertible.

$$j(r) = \frac{1}{2} \sum_{\sigma} [\psi_{\sigma}^{\dagger}(r) \frac{\hbar \nabla}{im} \psi_{\sigma}(r) - (\frac{\hbar \nabla}{im} \psi_{\sigma}^{\dagger}(r)) \psi_{\sigma}(r)] \quad (7)$$

$$i\hbar \frac{\partial}{\partial t} [\underbrace{j(r,t) - j'(r,t)}_{\Delta j(r,t)}] = \langle \psi(t) | \underbrace{[j(r), H(t) - H'(t)]}_{\int d^3x \rho(x) [\underbrace{v(x,t) - v'(x,t)}_{\Delta v(x,t)}}] | \psi(t) \rangle$$

$$[j(r), \Delta H(t)] = \frac{\hbar}{2im} \sum_{\sigma} \int d^3x \Delta v(x,t) [\underbrace{\psi_{\sigma}^{\dagger}(r) \nabla_r \psi_{\sigma}(r) - (\nabla_r \psi_{\sigma}^{\dagger}(r)) \psi_{\sigma}(r)}_{\psi_{\sigma}^{\dagger}(r) [\nabla_r \delta_{\sigma\lambda} \delta(x-r)] \psi_{\lambda}(x) - \psi_{\lambda}^{\dagger}(x) \delta_{\sigma\lambda} \delta(x-r) \nabla_r \psi_{\sigma}(r)}$$

$$- \nabla_x \delta_{\sigma\lambda} \delta(x-r) - (\nabla_r \psi_{\sigma}^{\dagger}(r)) \delta_{\sigma\lambda} \delta(x-r) \psi_{\lambda}(x) + \psi_{\lambda}^{\dagger}(x) [\nabla_r \delta_{\sigma\lambda} \delta(x-r)] \psi_{\sigma}(r)$$

$$- \nabla_x \delta_{\sigma\lambda} \delta(x-r)]$$

$$= \frac{\hbar}{2im} \sum_{\sigma} \left\{ - \psi_{\sigma}^{\dagger}(r) \int d^3x [\nabla_x \delta(x-r)] \psi_{\lambda}(x) \Delta v(x,t) \right.$$

$$- \psi_{\sigma}^{\dagger}(r) [\nabla_r \psi_{\sigma}(r)] \Delta v(r,t)$$

$$- [\nabla_r \psi_{\sigma}^{\dagger}(r)] \psi_{\sigma}(r) \Delta v(r,t)$$

$$\left. - \int d^3x [\nabla_x \delta(x-r)] \psi_{\lambda}^{\dagger}(x) \Delta v(x,t) \cdot \psi_{\sigma}(r) \right\}$$

$$= \frac{\hbar}{2im} \sum_{\sigma} \left\{ \psi_{\sigma}^{\dagger}(r) \nabla [\psi_{\sigma}(r) \Delta v(r,t)] - \psi_{\sigma}^{\dagger}(r) [\nabla \psi_{\sigma}(r)] \Delta v(r,t) \right.$$

$$\left. - [\nabla \psi_{\sigma}^{\dagger}(r)] \psi_{\sigma}(r) \Delta v(r,t) + \nabla [\psi_{\sigma}^{\dagger}(r) \Delta v(r,t)] \psi_{\sigma}(r) \right\}$$

$$= \frac{\hbar}{2im} \sum_{\sigma} \left(\cancel{\psi^{\dagger} \nabla \psi \Delta v} + \cancel{\psi^{\dagger} \psi \nabla \Delta v} - \cancel{\psi^{\dagger} \nabla \psi \Delta v} \right.$$

$$\left. - \cancel{\nabla \psi^{\dagger} \psi \Delta v} + \cancel{\nabla \psi^{\dagger} \psi \Delta v} + \psi^{\dagger} \psi \nabla \Delta v \right)$$

$$= \psi_{\sigma}^{\dagger}(r) \psi_{\sigma}(r) \nabla [\Delta v(r,t)]$$

$$= \frac{\hbar}{im} \rho(r) \nabla [\Delta v(r,t)]$$

$$\therefore i\hbar \frac{\partial}{\partial t} \Delta j(r,t) = \langle \psi(t) | \frac{\hbar}{im} \rho(r) \nabla [\Delta U(r,t)] | \psi(t) \rangle \quad (8)$$

$$\begin{aligned} (i\hbar \frac{\partial}{\partial t})^2 \Delta j(r,t) &= \langle \psi(t) | \frac{\hbar}{im} [\rho(r), \Delta H(t)] \nabla [\Delta U(r,t)] \\ &\quad + \frac{\hbar}{im} \rho(r) \nabla [\Delta i\hbar \frac{\partial U}{\partial t}] | \psi(t) \rangle \\ &= 0 \quad (\text{☺ } \Delta H(t) \text{ contains no momentum}) \\ &= \frac{\hbar}{im} \langle \psi(t) | \rho(r) \nabla [\Delta i\hbar \frac{\partial U}{\partial t}] | \psi(t) \rangle \end{aligned}$$

$$\therefore (i\hbar \frac{\partial}{\partial t})^{k+1} \Delta j(r,t) = \frac{\hbar}{im} n(r,t) \nabla (i\hbar \frac{\partial}{\partial t})^k \Delta U(r,t) \quad (9)$$

$$i\hbar \frac{\partial}{\partial t} \rho(r) = [\rho(r), T] \quad (\text{☺ The other terms do not contain momentum})$$

$$= \sum_{\alpha\lambda} \int d^3x [\psi_{\sigma}^{\dagger}(r) \psi_{\sigma}(r), \psi_{\lambda}^{\dagger}(\alpha) (-\frac{\hbar^2}{2m} \nabla_{\alpha}^2) \psi_{\lambda}(\alpha)]$$

$$\begin{aligned} &\psi_{\sigma}^{\dagger}(r) \delta_{\sigma\lambda} \delta(r-\alpha) (-\frac{\hbar^2}{2m} \nabla_{\alpha}^2) \psi_{\lambda}(\alpha) \\ &\quad - \psi_{\lambda}^{\dagger}(\alpha) (-\frac{\hbar^2}{2m} \nabla_{\alpha}^2) \delta_{\sigma\lambda} \delta(\alpha-r) \psi_{\sigma}(r) \end{aligned}$$

$$= -\frac{\hbar^2}{2m} \sum_{\sigma} \{ \psi_{\sigma}^{\dagger}(r) \nabla^2 \psi_{\sigma}(r) - [\nabla^2 \psi_{\sigma}^{\dagger}(r)] \psi_{\sigma}(r) \}$$

$$\begin{aligned} &\nabla [\psi_{\sigma}^{\dagger}(r) \nabla \psi_{\sigma}(r)] - \nabla \psi_{\sigma}^{\dagger}(r) \nabla \psi_{\sigma}(r) \\ &\quad - \nabla [(\nabla \psi_{\sigma}^{\dagger}(r)) \psi_{\sigma}(r)] + \nabla \psi_{\sigma}^{\dagger}(r) \nabla \psi_{\sigma}(r) \end{aligned}$$

$$\therefore \frac{\partial}{\partial t} \rho(r) = -\frac{\hbar}{2im} \nabla \cdot \sum_{\sigma} [\psi_{\sigma}^{\dagger}(r) \nabla \psi_{\sigma}(r) - (\nabla \psi_{\sigma}^{\dagger}(r)) \psi_{\sigma}(r)]$$

$$= -\nabla \cdot j(r) \quad (10)$$

$\nabla \cdot \text{Eq. (9)}$

$$\left(\frac{\partial}{\partial t}\right)^{k+1} \underbrace{\nabla \cdot \mathbf{j}(\mathbf{r}, t)}_{-\frac{\partial}{\partial t} \Delta n(\mathbf{r}, t)} = \frac{\hbar}{im} \nabla \cdot [n(\mathbf{r}, t) \nabla \left(\frac{\partial}{\partial t}\right)^k \Delta V(\mathbf{r}, t)]$$

☺ continuity equation

$$\therefore \left(\frac{\partial}{\partial t}\right)^{k+2} \Delta n(\mathbf{r}, t) = \frac{i\hbar}{m} \nabla \cdot [n(\mathbf{r}, t) \nabla \left(\frac{\partial}{\partial t}\right)^k \Delta V(\mathbf{r}, t)] \quad (11)$$

Equation (11) tells that if $\left(\frac{\partial}{\partial t}\right)^k [V(\mathbf{r}, t) - V'(\mathbf{r}, t)] \neq C(t)$, then $\left(\frac{\partial}{\partial t}\right)^{k+2} [n(\mathbf{r}, t) - n'(\mathbf{r}, t)] \neq 0$.

☺ (reductio ad absurdum)

Assume $\nabla \cdot [n(\mathbf{r}, t) \nabla u(\mathbf{r})] = 0$ with $u(\mathbf{r}) \neq \text{const}$; then

$$0 = \int d^3r \underbrace{u(\mathbf{r})}_{\downarrow} \underbrace{\nabla \cdot [n(\mathbf{r}, t) \nabla u(\mathbf{r})]}_{\uparrow}$$

$$= \int d^3r \cdot \cancel{(u n \nabla u)} - \int d^3r n (\nabla u)^2 \quad \rightarrow \text{absurdum}$$

$\frac{1}{2} n \nabla u^2 \rightarrow$ if the density falls off rapidly //

§. Action Functional

There exists a mapping

$$n(r,t) \mapsto V(r,t) + C(t) \mapsto e^{i\alpha(t)} |\psi(t)\rangle \mapsto \langle \psi(t) | \hat{O} | \psi(t) \rangle;$$

thus the expectation value of an arbitrary operator \hat{O} is a functional of $n(r,t)$.

⊙ The class of potentials $V(r,t) + C(t)$ give the unique expectation value of $\langle \hat{O} \rangle$:

$$V'(t) = \int d^3r [V(r,t) + C(t)] \rho(r) = V(t) + \frac{N C(t)}{\bar{C}(t)}$$

then $|\psi'(t)\rangle = e^{-i\alpha(t)/\hbar} |\psi(t)\rangle$ where $\dot{\alpha}(t) = C(t)$.

$$\left(\begin{aligned} \odot \quad & i\hbar \frac{\partial}{\partial t} \{ e^{-i\alpha(t)/\hbar} |\psi(t)\rangle \} \\ & = [\dot{\alpha}(t) e^{-i\alpha/\hbar} + e^{-i\alpha/\hbar} (i\hbar \frac{\partial}{\partial t})] |\psi(t)\rangle \\ & = \underbrace{[H(t) + \dot{\alpha}(t)]}_{H'(t)} e^{-i\alpha t/\hbar} |\psi(t)\rangle \quad // \end{aligned} \right)$$

Consequently,

$$\langle \psi'(t) | \hat{O} | \psi'(t) \rangle = \langle \psi(t) | \cancel{e^{i\alpha t/\hbar}} \hat{O} \cancel{e^{-i\alpha t/\hbar}} | \psi(t) \rangle = \langle \psi | \hat{O} | \psi \rangle //$$

In particular,

the action integral

$$A_V[n] \equiv \int_{t_0}^{t_1} dt \langle \psi(t) | i\hbar \frac{\partial}{\partial t} - T - U | \psi(t) \rangle - \int_{t_0}^{t_1} dt \int d^3r n(r,t) V(r,t) \quad (12)$$

is a functional of $n(r,t)$. $A_V[n]$ is stationary at the exact density $n(r,t) = G V(r,t)$

$$\frac{\delta A_V}{\delta n(r,t)} = 0 \quad (13)$$

☺ First,

$$A_V[\psi(t)] = \int_{t_0}^{t_1} dt \langle \psi(t) | i\hbar \partial/\partial t - H_V(t) | \psi(t) \rangle \quad (14)$$

is stationary under variation of $|\psi(t)\rangle$ at the exact point.

$$\left(\begin{aligned} \text{☺ } \delta A &= \int dt \left[\underbrace{\delta \langle \psi(t) | i\hbar \partial/\partial t - H(t) | \psi(t) \rangle}_0 + \underbrace{\langle \psi(t) | i\hbar \partial/\partial t - H(t) | \delta \psi(t) \rangle}_{\{-i\hbar \partial/\partial t \langle \psi(t) | - \langle \psi(t) | H(t) \} | \delta \psi(t)\}} \right] \\ &= 0 \quad // \end{aligned} \right) \quad \left\{ [i\hbar \partial/\partial t - H(t) | \psi(t)\rangle \right\}^\dagger = 0$$

$A_V[n+\delta n]$ corresponding to $A_V[\psi+\delta\psi]$ is thus stationary. //

§. Time-dependent Kohn-Sham Scheme

We define $A_{xc}[n]$ through

$$A[n] \equiv \langle \psi(t) | i\hbar \partial/\partial t - H(t) | \psi(t) \rangle \quad (14)$$

$$= T_S[n] - \int d^3r n(\mathbf{r}, t) V(\mathbf{r}, t) - \frac{1}{2} \int d^3r \int d^3r' U(\mathbf{r}-\mathbf{r}') n(\mathbf{r}, t) n(\mathbf{r}', t) - A_{xc}[n] \quad (15)$$

where

$$T_S[n] = \langle \psi(t) | i\hbar \partial/\partial t - T | \psi(t) \rangle \text{ in a system } U=0 \quad (16)$$

and

$$V(\mathbf{r}, t) \text{ in Eq. (15) is } G^{-1}n(\mathbf{r}, t) \text{ not independent of } n(\mathbf{r}, t) \quad (17)$$

Then,

$$A_V[n] = T_S[n] - \int d^3r n(\mathbf{r}, t) V(\mathbf{r}, t) - \frac{1}{2} \int d^3r \int d^3r' U(\mathbf{r}-\mathbf{r}') n(\mathbf{r}, t) n(\mathbf{r}', t) - A_{xc}[n] \quad (18)$$

$V(\mathbf{r}, t)$ here is independent of $n(\mathbf{r}, t)$.

Thus, the Euler equation

$$\frac{\delta A_V}{\delta n} = \frac{\delta T_S}{\delta n} - V(\mathbf{r}, t) - \int d^3r' U(\mathbf{r}-\mathbf{r}') n(\mathbf{r}', t) - \frac{\delta A_{xc}}{\delta n(\mathbf{r}, t)} \quad (19)$$

states that the true density is obtained by solving the free Fermion problem in the effective potential $\delta A_{xc}/\delta n(\mathbf{r}, t)$.

Suppose that the initial state is given by

$$|\Psi_0\rangle = \prod_{i=1}^N a_i^\dagger |\text{vac}\rangle \quad (20)$$

then we can obtain the true $n(r,t)$ under $V(r,t)$ by solving

$$\left\{ [i\hbar\partial/\partial t + \hbar^2\nabla^2/2m - v_{\text{eff}}(r,t)] \psi_i(r,t) = 0 \right. \quad (21)$$

$$\left. n(r,t) = \sum_{i=1}^N |\psi_i(r,t)|^2 \right. \quad (22)$$

where

$$v_{\text{eff}}(r,t) = V(r,t) + \int d^3r' u(r-r') n(r',t) + v_{\text{xc}}(r,t) \quad (23)$$

$$v_{\text{xc}}(r,t) = \delta A_{\text{xc}} / \delta n(r,t) \quad (24)$$

Invertibility of $G: \{v(r,t) + c(t)\} \mapsto n(r,t):$

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We start at time t_0 with the initial state $|\psi_0\rangle$. For small time $t-t_0$, the induced density $n(r,t)$ is small, thus can be treated in the linear response scheme.

$$n(r,t) = \int d^3r' \int_{t_0}^t dt' \chi(r,t; r',t') v(r',t') \quad (1)$$

where

$$\chi(r,t; r',t') = -i\hbar^{-1} \langle [\rho(r,t), \rho(r',t')] \rangle \quad (2)$$

(Short-time Expansion)

For small time, we can use the short-time expansion of χ ,

$$\chi(r,t; r',t') = -i\hbar^{-1} \sum_{n=1}^{\infty} \frac{(t-t')^n}{n!} \langle [\rho^{(n)}(r,t'), \rho(r',t')] \rangle \quad (3a)$$

$$= \sum_{n=1}^{\infty} \frac{(t-t')^n}{n!} \left(-\frac{i}{\hbar}\right)^{n+1} \langle [[\dots [\rho(r), H], \dots H], \rho(r')] \rangle_{t'} \quad (3b)$$

Noting that

$$\frac{\partial}{\partial t} \rho(r) = -\nabla \cdot j(r) \quad (4)$$

with

$$j(r) = \frac{1}{2} \sum_{\sigma} \left[\psi_{\sigma}^{\dagger}(r) \frac{\hbar \nabla}{im} \psi_{\sigma}(r) - \left(\frac{\hbar \nabla}{im} \psi_{\sigma}^{\dagger}(r) \right) \psi_{\sigma}(r) \right], \quad (5)$$

the first term of the expansion is given by

If

$$\int_{t_0}^t dt' (t-t') [\psi(r,t') - \psi'(r,t')] \neq C(t) \quad (8)$$

for some t , then $n(r,t) - n'(r,t) \neq 0$

☹ (reductio ad absurdum)

Assume $0 = \nabla \cdot [n(r) \nabla u(r,t)]$, then

$$\begin{aligned} 0 &= \int d^3r u(r,t) \nabla \cdot [n(r) \nabla u(r,t)] \\ &\quad \nabla \cdot [n(r) u(r,t) \nabla u(r,t)] - n(r) |\nabla u(r,t)|^2 \\ &= \underbrace{\frac{1}{2} \oint df \cdot n(r) \nabla u^2(r,t)}_{\rightarrow 0} - \int d^3r n(r) |\nabla u(r,t)|^2 \rightarrow \text{nonsense} // \end{aligned}$$

Addendum for I

In the proof by Ng and Singwi, after Eq. (8) of P.3, we need to prove another statement:

If $v(r, t') - v'(r, t') \neq C(t')$ at some time $t' < t$, then

$$\nabla U(r, t') = \int_{t_0}^{t'} dt'' (t' - t'') \nabla [v(r, t'') - v'(r, t'')] \quad (A1)$$

cannot be identically zero at all times t' and all space point r .

☺ (reductio ad absurdum)

$$\begin{cases} \frac{\partial}{\partial t'} \nabla U(r, t') = \cancel{t' \nabla [v(r, t') - v'(r, t')]} + \int_{t_0}^{t'} dt'' \nabla [v(r, t'') - v'(r, t'')] - \cancel{t' \nabla [v(r, t') - v'(r, t')]} \\ \frac{\partial^2}{\partial t'^2} \nabla U(r, t') = \nabla [v(r, t') - v'(r, t')] \end{cases}$$

Suppose $\nabla U(r, t') = 0$ at all times t' and all space point r , then $(\partial/\partial t')^2 \nabla U(r, t') = 0$, too. It contradicts the assumption that $\nabla [v(r, t') - v'(r, t')] \neq 0$ at some time $t' < t$. //

§. Current Operator

$$[a, bc]$$

$$= abc + bac - bac - bca$$

$$= \{a, b\}c - b\{a, c\}$$

$$i\hbar \frac{\partial \rho}{\partial t} = [\rho, T + \cancel{V}]$$

$$= \sum_{\sigma\lambda} \int d^3x \left[\psi_{\sigma}^{\dagger}(r) \psi_{\sigma}(r), \psi_{\lambda}^{\dagger}(x) \left(-\frac{\hbar^2}{2m} \nabla_x^2\right) \psi_{\lambda}(x) \right]$$

$$[\psi_{\sigma}^{\dagger}(r), \psi_{\lambda}^{\dagger}(x) \left(-\frac{\hbar^2}{2m} \nabla_x^2\right) \psi_{\lambda}(x)] \psi_{\sigma}(r) + \psi_{\sigma}^{\dagger}(r) [\psi_{\sigma}(r), \psi_{\lambda}^{\dagger}(x) \left(-\frac{\hbar^2}{2m} \nabla_x^2\right) \psi_{\lambda}(x)]$$

$$= -\psi_{\lambda}^{\dagger}(x) \left(-\frac{\hbar^2}{2m} \nabla_x^2\right) \delta_{\sigma\lambda} \delta(x-r) \psi_{\sigma}(r) + \psi_{\sigma}^{\dagger}(r) \delta_{\sigma\lambda} \delta(x-r) \left(-\frac{\hbar^2}{2m} \nabla_x^2\right) \psi_{\lambda}(x)$$

$$= \left\{ \psi_{\sigma}^{\dagger}(r) \left(-\frac{\hbar^2}{2m} \nabla_x^2\right) \psi_{\lambda}(x) - \left(-\frac{\hbar^2}{2m} \nabla_x^2\right) \psi_{\lambda}^{\dagger}(x) \psi_{\sigma}(r) \right\} \delta_{\sigma\lambda} \delta(x-r)$$

$$= -\frac{\hbar^2}{2m} \left[\psi_{\sigma}^{\dagger}(r) \nabla^2 \psi_{\sigma}(r) - (\nabla^2 \psi_{\sigma}^{\dagger}(r)) \psi_{\sigma}(r) \right]$$

$$\nabla \cdot (\psi^{\dagger} \nabla \psi) - \cancel{\nabla \psi^{\dagger} \cdot \nabla \psi} - \nabla \cdot (\nabla \psi^{\dagger} \psi) + \cancel{\nabla \psi^{\dagger} \cdot \nabla \psi}$$

$$= -\frac{\hbar^2}{2m} \nabla \cdot \left[\psi^{\dagger} \nabla \psi - (\nabla \psi^{\dagger}) \psi \right]$$

$$\frac{\partial \rho}{\partial t} = -\nabla \cdot \mathbf{j}(r) \quad (a1)$$

$$\mathbf{j}(r) = \frac{\hbar}{2mi} \left\{ \psi_{\sigma}^{\dagger}(r) \nabla \psi_{\sigma}(r) - [\nabla \psi_{\sigma}^{\dagger}(r)] \psi_{\sigma}(r) \right\} \quad (a2)$$